

• Lattice & basis functions

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- Lattice & basis functions
- Reciprocal lattice for FCC

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Reading Assignment: Chapter 5.4

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Reading Assignment: Chapter 5.4

Homework Assignment #04: Chapter 4: 2,4,6,7,10 due Monday, October 14, 2024

- Lattice & basis functions
- Reciprocal lattice for FCC
- Equivalence of Laue & Bragg conditions
- Crystal structure factor
- Lattices & space groups

Reading Assignment: Chapter 5.4

Homework Assignment #04: Chapter 4: 2,4,6,7,10 due Monday, October 14, 2024 Homework Assignment #05: Chapter 5: 1,3,7,9,10 due Monday, October 28, 2024

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Another aspect of kinematical scattering is what is obtained from ordered crystalline materials.

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We will now proceed to develop a model for this kind of scattering starting with some definitions in 2D space.

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$$
\vec{R}_n = n_1 \vec{a}_1 + n_2 \vec{a}_2
$$

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Miller indices

planes designated (hk), intercept the unit cell axes at

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planes designated (hk), intercept the unit cell axes at

$$
\frac{a_1}{h}, \quad \frac{a_2}{k}
$$

for a lattice with orthogonal unit vectors

$$
\frac{1}{d_{hk}^2} = \frac{h^2}{a_1^2} + \frac{k^2}{a_2^2}
$$

Reciprocal lattice

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Reciprocal lattice

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\vec{a}_1^*=\frac{2\pi}{V_c}\vec{a}_2\times\vec{a}_3 \hspace{1cm} \vec{a}_2^*=\frac{2\pi}{V_c}\vec{a}_3\times\vec{a}_1 \hspace{1cm} \vec{a}_3^*=\frac{2\pi}{V_c}\vec{a}_1\times\vec{a}_2
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Scattering amplitude

$$
F^{crystal}(\vec{Q}) = \sum_{l}^{N} f_{l}(\vec{Q}) e^{i \vec{Q} \cdot \vec{r}_{l}}
$$

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$$
F^{crystal}(\vec{Q}) = \sum_{l}^{N} f_{l}(\vec{Q}) e^{i \vec{Q} \cdot \vec{r}_{l}} = \sum_{\vec{R}_{n} + \vec{r}_{j}}^{N} f_{j}(\vec{Q}) e^{i \vec{Q} \cdot (\vec{R}_{n} + \vec{r}_{j})}
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$$

$$
= \sum_{j} f_{j}(\vec{Q}) e^{i\vec{Q}\cdot\vec{r}_{j}} \sum_{n} e^{i\vec{Q}\cdot\vec{R}_{n}} = F^{unit \ cell} F^{lattice}
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Since $F^{crystal}(\vec{Q})$ is simply the Fourier Transform of the crystal function, $\mathcal{C}(x)=\mathcal{L}(x)\star\mathcal{B}(x),$ it must be the product of the Fourier Transforms of $\mathcal{L}(x)$ and $\mathcal{B}(x)$.

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\vec{Q} \cdot \vec{R}_n = 2\pi m, \quad m = \text{integer} \qquad \qquad \vec{G}_{hkl} = h\vec{a}_1^* + k\vec{a}_2^* + l\vec{a}_3^*, \quad h, k, l = \text{integer}
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\n
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\vec{G}_{hkl} \cdot \vec{R}_n = (n_1\vec{a}_1 + n_2\vec{a}_2 + n_3\vec{a}_3) \cdot (h\vec{a}_1^* + k\vec{a}_2^* + l\vec{a}_3^*) = 2\pi(hn_1 + kn_2 + ln_3) = 2\pi m
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\n
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\vec{Q} = \vec{G}_{hkl}
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\vec{a}_1 = \frac{a}{2}(\hat{y} + \hat{z}), \quad \vec{a}_2 = \frac{a}{2}(\hat{z} + \hat{x}),
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The primitive lattice vectors of the face-centered cubic lattice are

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\vec{a}_1 = \frac{a}{2}(\hat{y} + \hat{z}), \quad \vec{a}_2 = \frac{a}{2}(\hat{z} + \hat{x}), \quad \vec{a}_3 = \frac{a}{2}(\hat{x} + \hat{y})
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The volume of the unit cell is

$$
v_c = \vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3
$$

a

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 $\vec a_2$

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\vec{a}_2^* = \frac{4\pi}{a} \left(\frac{\hat{z}}{2} + \frac{\hat{x}}{2} - \frac{\hat{y}}{2} \right), \quad \vec{a}_3^* = \frac{4\pi}{a} \left(\frac{\hat{x}}{2} + \frac{\hat{y}}{2} - \frac{\hat{z}}{2} \right)
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 $\mathbf{\bar{a}^{\star}_3}$

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The volume of the unit cell is

$$
v_c = \vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3 = \vec{a}_1 \cdot \frac{a^2}{4} (\hat{y} + \hat{z} - \hat{x}) = \frac{a^3}{4}
$$

$$
\vec{a}_1^* = \frac{2\pi}{v_c} \vec{a}_2 \times \vec{a}_3 = \frac{2\pi}{v_c} \frac{a^2}{4} (\hat{y} + \hat{z} - \hat{x}) = \frac{4\pi}{a} \left(\frac{\hat{y}}{2} + \frac{\hat{z}}{2} - \frac{\hat{x}}{2} \right)
$$

$$
\vec{a}_2^* = \frac{4\pi}{a} \left(\frac{\hat{z}}{2} + \frac{\hat{x}}{2} - \frac{\hat{y}}{2} \right), \quad \vec{a}_3^* = \frac{4\pi}{a} \left(\frac{\hat{x}}{2} + \frac{\hat{y}}{2} - \frac{\hat{z}}{2} \right)
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which is a body-centered cubic lattice

4π/a

 $\mathbf{\bar{a}^{\star}_{1}}$

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$$
|S_N(Q)| \to 0, \qquad N\pi\xi = \pi, \qquad \xi_{1/2} \approx \frac{1}{2N}
$$

$$
\int_{-1/2N}^{+1/2N} |S_N(\xi)| d\xi = \int_{-1/2N}^{+1/2N} \frac{\sin(N\pi\xi)}{\sin(\pi\xi)} d\xi
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$$

$$
= N \int_{-1/2N}^{+1/2N} d\xi = N \Big[\xi \Big|_{-1/2N}^{+1/2N} \Big]
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|S_{N}(\xi)|\rightarrow \delta(\xi),
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|S_N(\xi)| \to \delta(\xi), \qquad \qquad \xi = \frac{Q - ha^*}{a^*}
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since $\delta(a^*\xi) = \delta(\xi)/a^*$

the 1D modulus squared

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 $|S_N(Q)|^2\to Na^*\sum$ G_h $\delta(Q - \mathsf{G}_h)$

the 1D modulus squared

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|S_N(Q)|^2 \to Na^* \sum_{G_h} \delta(Q - G_h)
$$

in 2D, with $N_1 \times N_2 = N$ unit cells

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$$
\left|S_{N}(\vec{Q})\right|^{2} \rightarrow (N_{1}a_{1}^{*})(N_{2}a_{2}^{*})\sum_{\vec{G}_{hk}}\delta(\vec{Q}-\vec{G}_{hk})
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&= N A^{*}\sum_{\vec{G}}\delta(\vec{Q}-\vec{G}_{hk})\n\end{aligned}
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\left|S_N(\vec{Q})\right|^2\to NV_c^*\sum_{\vec{G}_{hkl}}\delta(\vec{Q}-\vec{G}_{hkl})
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The Bragg condition for diffraction is derived by assuming specular reflection from parallel planes separated by a distance d.

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Thus the Bragg and Laue conditions are equivalent

Must show that for each point in reciprocal space, there exists a set of planes in the real space lattice such that:

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 \vec{G}_{hkl} is perpendicular to the planes with Miller indices (hkl) and

$$
|\vec{G}_{hkl}|=\frac{2\pi}{d_{hkl}}
$$

The plane with Miller indices (hkl) intersects the three basis vectors of the lattice at a_1/h , a_2/k , and a_3/l

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\vec{v}_1 = \frac{\vec{a}_3}{l} - \frac{\vec{a}_1}{h}, \quad \vec{v}_2 = \frac{\vec{a}_1}{h} - \frac{\vec{a}_2}{k}
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\vec{v}_1 = \frac{\vec{a}_3}{l} - \frac{\vec{a}_1}{h}, \quad \vec{v}_2 = \frac{\vec{a}_1}{h} - \frac{\vec{a}_2}{k}
$$
\n
$$
\vec{v} = \epsilon_1 \vec{v}_1 + \epsilon_2 \vec{v}_2
$$

Thus \vec{G}_{hkl} is indeed normal to the plane with Miller indices (hkl)

The spacing between planes (hkl) is simply given by the distance from the origin to the plane along a normal vector

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This can be computed as the projection of any vector which connects the origin to the plane onto the unit vector in the \vec{G}_{hkl} direction. In this case, we choose, \vec{a}_1/h

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 $\cdot \frac{\vec{a}_1}{\cdot}$ h

 $|\vec{\mathsf{G}}_{hkl}|$

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 $\hat{G}_{hkl} \cdot \frac{\vec{a}_1}{h}$ $\frac{d^{2}A}{dt} = \frac{(h\vec{a}_{1}^{*} + k\vec{a}_{2}^{*} + l\vec{a}_{3}^{*})}{|\vec{G}_{hkl}|}$ $|\vec{\mathsf{G}}_{hkl}|$ $\cdot \frac{\vec{a}_1}{\cdot}$ $\frac{\vec{a}_1}{h} = \frac{2\pi}{|\vec{G}_{hl}|}$ $|\vec{\mathsf{G}}_{hkl}|$ Carlo Segre (Illinois Tech) [PHYS 570 - Fall 2024](#page-0-0) October 09, 2024 16 / 20

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\hat{G}_{hkl} = \frac{\vec{G}_{hkl}}{|\vec{G}_{hkl}|}
$$

$$
\hat{G}_{hkl} \cdot \frac{\vec{a}_1}{h} = \frac{(h\vec{a}_1^* + k\vec{a}_2^* + l\vec{a}_3^*)}{|\vec{G}_{hkl}|} \cdot \frac{\vec{a}_1}{h} = \frac{2\pi}{|\vec{G}_{hkl}|} = d_{hkl}
$$
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In the body-centered cubic structure, there are 2 atoms in the conventional, cubic unit cell. These are located at

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\vec{r}_1 = 0, \quad \vec{r}_2 = \frac{1}{2}(\vec{a}_1 + \vec{a}_2 + \vec{a}_3)
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F_{hkl}^{bcc} = f(\vec{G}) \sum_j e^{i \vec{G} \cdot \vec{r}_j}
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the unit cell structure factor is thus

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F_{hkl}^{bcc} = f(\vec{G}) \sum_{j} e^{i\vec{G}\cdot\vec{r}_{j}}
$$

= $f(\vec{G}) \left(1 + e^{i\pi(h+k+l)}\right)$
= $f(\vec{G}) \times \begin{cases} 2 & h+k+l = 2n \\ 0 & \text{otherwise} \end{cases}$

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$$

$$
F_{hkl}^{fcc} = f(\vec{G}) \sum_{j} e^{i\vec{G}\cdot\vec{r}_{j}}
$$

= $f(\vec{G}) \left(1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(h+l)} \right)$

FCC structure factor

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$$

the unit cell structure factor is thus

$$
F_{hkl}^{fcc} = f(\vec{G}) \sum_{j} e^{i\vec{G}\cdot\vec{r}_{j}}
$$

= $f(\vec{G}) \left(1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(h+l)} \right)$
= $f(\vec{G}) \times \begin{cases} 4 & h+k, k+l, h+l = 2n \\ 0 & \text{otherwise} \end{cases}$

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This is a face centered cubic structure with two atoms in the basis which leads to 8 atoms in the conventional unit cell. These are located at

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\vec{r}_1 = 0, \quad \vec{r}_2 = \frac{1}{2}(\vec{a}_1 + \vec{a}_2), \quad \vec{r}_3 = \frac{1}{2}(\vec{a}_2 + \vec{a}_3), \quad \vec{r}_4 = \frac{1}{2}(\vec{a}_1 + \vec{a}_3), \quad \vec{r}_5 = \frac{1}{4}(\vec{a}_1 + \vec{a}_2 + \vec{a}_3)
$$
\n
$$
\vec{r}_6 = \frac{1}{4}(3\vec{a}_1 + 3\vec{a}_2 + \vec{a}_3), \quad \vec{r}_7 = \frac{1}{4}(\vec{a}_1 + 3\vec{a}_2 + 3\vec{a}_3), \quad \vec{r}_8 = \frac{1}{4}(3\vec{a}_1 + \vec{a}_2 + 3\vec{a}_3)
$$

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$$

$$
F_{hkl}^{diamond} = f(\vec{G}) \Big(1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(h+l)} + e^{i\pi(h+l)} + e^{i\pi(h+k+l)/2} + e^{i\pi(3h+3k+l)/2} + e^{i\pi(h+3k+3l)/2} + e^{i\pi(3h+k+3l)/2} \Big)
$$

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$$

$$
F_{hk}^{diamond} = f(\vec{G}) \Big(1 + e^{i\pi(h+k)} + e^{i\pi(k+l)} + e^{i\pi(h+l)} + e^{i\pi(h+l)} + e^{i\pi(h+k+l)/2} + e^{i\pi(3h+3k+l)/2} + e^{i\pi(h+3k+3l)/2} + e^{i\pi(3h+k+3l)/2} \Big)
$$

This is non-zero when h, k, l all even and $h + k + l =$ $4n$ or h, k, l all odd

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 \leftarrow bcc

 \leftarrow bcc $sc \rightarrow$

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 \leftarrow bcc $sc \rightarrow$

 \leftarrow diamond

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 \leftarrow bcc $sc \rightarrow$

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