



• Multilayer monchromator

V

- Multilayer monchromator
- Graded interfaces



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- Rough surfaces



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- Reflectivity research topics



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Reading Assignment: Chapter 3.9–3.10



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Reading Assignment: Chapter 3.9–3.10

Homework Assignment #03: Chapter 3: 1,3,4,6,8 due Monday, September 30, 2024



- Multilayer monchromator
- Graded interfaces
- Rough surfaces
- Reflectivity research topics

Reading Assignment: Chapter 3.9–3.10

Homework Assignment #03: Chapter 3: 1,3,4,6,8 due Monday, September 30, 2024 Homework Assignment #04: Chapter 4: 2,4,6,7,10 due Monday, October 14, 2024



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$$r'_{j,j+1} = rac{Q_j - Q_{j+1}}{Q_j + Q_{j+1}}$$



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2



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Materials for multilayer monochromator chosen to reflect 12 keV x-rays at \sim 2 degrees with 0.5% and 1.0% bandwidth

A. Khounsary et al., "A dual-bandwidth multilayer monochromator system," Proc. SPIE 10760, 107600j (2018).

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Materials for multilayer monochromator chosen to reflect 12 keV x-rays at \sim 2 degrees with 0.5% and 1.0% bandwidth

Common design parameters include bilayer filler fraction $\Gamma = 0.5$, roughness $\sigma = 0.35$ nm, and number of bilayers N = 300

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Common design parameters include bilayer filler fraction $\Gamma = 0.5$, roughness $\sigma = 0.35$ nm, and number of bilayers N = 300

 $MoSi_2/B_4C$ and Mo/B_4C were selected for the 0.5% and 1.0% bandwidth coatings, respectively



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Multilayer fabrication & testing

The 0.5% and 1.0% bandwidth layers were deposited side-by-side on a monolithic 20 mm \times 30 mm \times 100 mm polished silicon block



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The 0.5% and 1.0% bandwidth layers were deposited side-by-side on a monolithic 20 mm \times 30 mm \times 100 mm polished silicon block



0.9

0.7

When illuminated with 12 keV x-rays the two multilayers showed diffraction peaks at nearly the same angle. The reflectivities were both over 75% and the bandwidths were 0.52% and 0.86%, respectively.

A. Khounsary et al., "A dual-bandwidth multilayer monochromator system," Proc. SPIE 10760, 107600; (2018).

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MoSi,/B,C



Multilayer spectrum



The reflectivity over a wide range of angles at 8 keV shows total external reflection at low angles with cutoff at zero degrees

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Multilayer spectrum



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First and second order multilayer diffraction peaks appear at higher angles

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Since most interfaces are not sharp, it is important to be able to model a graded interface, where the density, and therefore the index of refraction varies near the interface itself.



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The reflectivity of this kind of interface can be calculated best in the kinematical limit $(Q > Q_c)$.



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The reflectivity of this kind of interface can be calculated best in the kinematical limit $(Q > Q_c)$.

The density profile of the interface can be described by the function f(z) which approaches 1 as $z \to \infty$.

The reflectivity can be computed as the superposition of the reflectivity of a series of infinitesmal slabs of thickness dz at a depth z.

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The differential reflectivity from a slab of thickness dz at depth z is:



$$\delta r(Q) = -i \frac{Q_c^2}{4Q} f(z) dz$$

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7/25

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$$r(Q) = -i\frac{Q_c^2}{4Q}\int_{-\infty}^{\infty} f(z)e^{iQz}dz$$

~

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$$r(Q) = -i\frac{Q_c^2}{4Q}\int_{-\infty}^{\infty} f(z)e^{iQz}dz$$
$$= i\frac{1}{iQ}\frac{Q_c^2}{4Q}\int_{-\infty}^{\infty} f'(z)e^{iQz}dz$$

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-2

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the term in front is simply the Fresnel reflectivity for an interface, $r_F(Q)$ when $q \gg 1$



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Reflectivity of a graded interface

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Calculating the full reflection coefficient relative to the Fresnel reflection coefficient

$$\frac{R(Q)}{R_F(Q)} = \left| \int_{-\infty}^{\infty} \left(\frac{df}{dz} \right) e^{iQz} dz \right|^2$$

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The error function is often chosen as a model for the density gradient

$$f(z)=erf(rac{z}{\sqrt{2}\sigma})=rac{1}{\sqrt{\pi}}\int_{0}^{z/\sqrt{2}\sigma}e^{-t^{2}}dt$$





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$$f(z) = erf(rac{z}{\sqrt{2}\sigma}) = rac{1}{\sqrt{\pi}}\int_0^{z/\sqrt{2}\sigma}e^{-t^2}dt$$

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$$rac{df(z)}{dz} = rac{d}{dz} erf(rac{z}{\sqrt{2}\sigma}) = rac{1}{\sqrt{2\pi\sigma^2}}e^{-rac{1}{2}rac{z^2}{\sigma^2}}$$



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whose Fourier transform is also a Gaussian, which when squared to obtain the reflection coefficient, gives.

$$R(Q) = R_F(Q)e^{-Q^2\sigma^2}$$

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whose Fourier transform is also a Gaussian, which when squared to obtain the reflection coefficient, gives. Or more accurately.

$$R(Q) = R_F(Q)e^{-Q^2\sigma^2} = R_F(Q)e^{-QQ'\sigma^2}$$

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$$R(Q)=R_{\mathsf{F}}(Q)e^{-Q^2\sigma^2}=R_{\mathsf{F}}(Q)e^{-QQ'\sigma^2}$$

$$Q = k \sin \theta, \qquad Q' = k' \sin \theta'$$

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Taking C to be



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Taking C to be

$$\begin{aligned} r_V &= -r_0 \int_V (\rho d\vec{r}) e^{i\vec{Q}\cdot\vec{r}}, \qquad \int_V \left(\vec{\nabla}\cdot\vec{C}\right) d\vec{r} = \int_S \vec{C}\cdot d\vec{S} \\ \vec{C} &= \hat{z} \frac{e^{i\vec{Q}\cdot\vec{r}}}{iQ_z}, \end{aligned}$$



9/25

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Taking *C* to be its divergence is

$$r_{V} = -r_{0} \int_{V} (\rho d\vec{r}) e^{i\vec{Q}\cdot\vec{r}}, \qquad \int_{V} \left(\vec{\nabla}\cdot\vec{C}\right) d\vec{r} = \int_{S} \vec{C}\cdot d\vec{S}$$
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$$r_{V} = -r_{0}\rho \int_{V} \vec{\nabla} \cdot \left(\hat{z} \frac{e^{i\vec{Q}\cdot\vec{r}}}{iQ_{z}}\right) d\vec{r} = -r_{0}\rho \int_{S} \left(\hat{z} \frac{e^{i\vec{Q}\cdot\vec{r}}}{iQ_{z}}\right) \cdot d\vec{S} = -r_{0}\rho \frac{1}{iQ_{z}} \int_{S} e^{i\vec{Q}\cdot\vec{r}} dxdy = r_{S}$$

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Reflection from a rough surface leads to some amount of diffuse scattering on top of the specular reflection from a flat surface. The scattering from an illuminated volume is given by V.





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Using Gauss' theorem, this volume integral can be converted to an integral over the surface of the illuminated volume.

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V

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This integral is highly model dependent and can now be evaluated for a number of different cases.

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V

The side surfaces of the volume do not contribute to this integral as they are along the \hat{z} direction, and we can also choose the thickness of the slab sufficiently large such that the lower surface will not contribute.



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$$\frac{d\sigma}{d\Omega} = r_{S}^{2} = \left(\frac{r_{0}\rho}{Q_{z}}\right)^{2} \int_{S} \int_{S'} e^{iQ_{z}(h(x,y)-h(x',y'))} e^{iQ_{x}(x-x')} e^{iQ_{y}(y-y')} dxdydx'dy'$$

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Scattering cross section



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If we assume that h(x, y) - h(x', y') depends only on the relative difference in position, x - x' and y - y' the four dimensional integral collapses to the product of two two dimensional integrals

Scattering cross section



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where $A_0/\sin\theta_1$ is just the illuminated surface area



If we assume that h(x, y) - h(x', y') depends only on the relative difference in position, x - x' and y - y' the four dimensional integral collapses to the product of two two dimensional integrals

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where $A_0/\sin\theta_1$ is just the illuminated surface area and the term in the angled brackets is an ensemble average over all possible choices of the origin within the illuminated area.



If we assume that h(x, y) - h(x', y') depends only on the relative difference in position, x - x' and y - y' the four dimensional integral collapses to the product of two two dimensional integrals

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \int_{S'} dx' dy' \int_{S} \left\langle e^{iQ_z(h(0,0) - h(x,y))} \right\rangle e^{iQ_x x} e^{iQ_y y} dx dy$$
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where $A_0/\sin\theta_1$ is just the illuminated surface area and the term in the angled brackets is an ensemble average over all possible choices of the origin within the illuminated area. Finally, it is assumed that the statistics of the height variation are Gaussian and

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \frac{A_0}{\sin\theta_1} \int e^{-Q_z^2 \langle [h(0,0)-h(x,y)]^2 \rangle/2} e^{iQ_x x} e^{iQ_y y} dx dy$$

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Define a function $g(x,y) = \langle [h(0,0) - h(x,y)]^2 \rangle$ which can be modeled in various ways.



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Define a function $g(x, y) = \langle [h(0, 0) - h(x, y)]^2 \rangle$ which can be modeled in various ways. For a perfectly flat surface, h(x, y) = 0 for all x and y.

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by the definition of a delta function

$$2\pi\delta(q) = \int e^{iq_x} dx \qquad \left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right) \frac{A_0}{\sin\theta_1} \int e^{iQ_xx} e^{iQ_yy} dxdy$$

 $\langle 1 \rangle \langle 2 \rangle$



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the expression for the scattered intensity in terms of the momentum transfer wave vectors is

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$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \frac{A_0}{\sin\theta_1} \int e^{-Q_z^2 \left\langle \left[h(0,0) - h(x,y)\right]^2 \right\rangle/2} e^{iQ_x x} e^{iQ_y y} dx dy$$

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$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \frac{A_0}{\sin\theta_1} \int e^{-Q_z^2 \langle [h(0,0) - h(x,y)]^2 \rangle/2} e^{iQ_x x} e^{iQ_y y} dxdy$$



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For a totally uncorrelated surface, h(x, y) is independent from h(x', y') and



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This quantity is related to the rms roughness, σ by $\sigma^2 = \langle h^2 \rangle$ and the cross-section is





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$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \frac{A_0}{\sin\theta_1} \int e^{-Q_z^2 \langle [h(0,0) - h(x,y)]^2 \rangle/2} e^{iQ_x x} e^{iQ_y y} dx dy$$

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This quantity is related to the rms roughness, σ by $\sigma^2 = \left< h^2 \right>$ and the cross-section is

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Which, apart from the term containing σ is simply the Fresnel cross-section for a flat surface



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for an uncorrelated rough surface, the reflectivity is reduced by an exponential factor controlled by the rms surface roughness σ

this leads to a rapid drop in reflectivity as the surface roughness increases







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If the resolution in the y direction is very broad (typical for a synchrotron), we can eliminate the y-integral and have



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Unbounded correlations - limiting cases



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This integral can be evaluated in closed form for two special cases, both having a broad diffuse scattering and no specular peak.


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h=1: Gaussian with variance $\mathcal{A}Q_z^2$

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{2\sqrt{\pi}A_0r_0^2\rho^2}{2\sin\theta_1}\right)\frac{1}{Q_z^4}e^{-\frac{1}{2}\left(\frac{Q_x^2}{AQ_z^2}\right)}$$



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And the scattering exhibits both a specular peak, reduced by uncorrelated roughness, and diffuse scattering from the correlated portion of the surface

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V

TEHOS, tetrakis–(2-ethylhexoxy)–silane, a non-polar, roughly spherical molecule, was deposited on Si(111) single crystals

C.-J. Yu et al., "Observation of molecular layering in thin liquid films using x-ray reflectivity", Phys. Rev. Lett. 82, 2326-2329 (1999).

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(a (b) D(Z) 10 R/R $(p_{Si}=1)$ 20 40 60 80 0.6(c)z (Å) 10-2 electron density 0.5 0.4 0.3 10 20 40 10⁻³ z (Å) 0.0 0.2 12 04 0.6 0.8 1.0 $q(A^{-1})$

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The peak below 10Å appears in all but the thickest film and depends on the interactions between film and substrate.

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There are always peaks between 10-20Å and 20-30Å and a broad peak at the free surface showing the presence of ordered layers of molecules.

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As the surface layer thickens, the deviation of density from the average decreases

C.-J. Yu et al., "Observation of molecular layering in thin liquid films using x-ray reflectivity," *Phys. Rev. Lett.* **82**, 2326–2329 (1999).

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The authors conclude that the presence of a hard smooth surface is required for ordering and therefore deviations from an ideal, isotropic liquid.

C.-J. Yu et al., "Observation of molecular layering in thin liquid films using x-ray reflectivity," *Phys. Rev. Lett.* **82**, 2326–2329 (1999).

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The goal of this project was to understand the evolution of surface roughness during the growth of a silver thin film.

C. Thompson et al., "X-ray-reflectivity study of the growth kinetics of vapor-deposited silver films," Phys. Rev. B 49, 4902-4907 (1994).

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The question is whether there is surface diffusion of the deposited atoms during the growth

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Ag/Si films: 10nm (A), 18nm (B), 37nm (C), 73nm (D), 150nm (E)



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Ag/Si films: 10nm (A), 18nm (B), 37nm (C), 73nm (D), 150nm (E) 106 105 (b) 3.0 σ (nm) 10^{4} 103 2.0 10² slope=0.26±0.0 101 100 <h> (nm) 10^{-1} 10-2 /1° (A) 10-3 10-4 (B) 10-5 10^{-6} 10^{-7} 10-8 (D 10-9 10-10 (E) 10-11 0.1 0.2 0.3 0.4 0.5 Q_{z} (Å⁻¹)





h can be obtained from the diffuse offspecular reflection which should vary as

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Thus $h = 0.70, \beta = 0.26$



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Thus $h = 0.70, \beta = 0.26$ and it is likely that diffusion on the surface after deposition is occuring



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X-ray reflectivity using synchrotron radiation has made possible the study of the surface of liquid metals



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P. Pershan, "Review of the highlights of x-ray studies of liquid metal surfaces." J. Appl. Phys. 116, 222201 (2014).



Liquid metal eutectics



High vapor pressure and thermal excitations limit the number of pure metals which can be studied but alloy eutectics provide many possibilities

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surface layer is rich in Bi (95%), second layer is deficient (25%), and third layer is rich in Bi (53%) once again



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