

• Refraction & reflection introduction

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- Boundary conditions at an interface

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Reading Assignment: Chapter 3.5–3.8

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Reading Assignment: Chapter 3.5–3.8

Homework Assignment #02: Problems on September due Monday, September 16, 2024

- Refraction & reflection introduction
- Boundary conditions at an interface
- The Fresnel equations
- Reflectivity and Transmittivity
- Normalized *q*-coordinates

Reading Assignment: Chapter 3.5–3.8

Homework Assignment #02: Problems on September due Monday, September 16, 2024 Homework Assignment #03: Chapter 3: 1,3,4,6,8 due Monday, September 30, 2024

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X-ray mirrors

X-ray mirrors

• harmonic rejection

X-ray mirrors

- harmonic rejection
- focusing & collimation

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Evanscent wave experiments

- harmonic rejection
- focusing & collimation

Evanscent wave experiments

• studies of surfaces

- harmonic rejection
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Evanscent wave experiments

- studies of surfaces
- depth profiling

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Initially assume that all interfaces are perfectly flat and ignore all absorption processes.

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Thin plate response - scattering approach

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R is also the distance between the scattering volume and P so, a wave (x-ray) which travels from $S \rightarrow P$ through the scattering volume will have an extra phase shift

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\phi(x,y) = 2k \frac{x^2 + y^2}{2R_0^2} = \frac{x^2 + y^2}{R_0^2}k
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compared to a wave which travels directly along the z-axis.

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Integrate the scattered wave over the entire plate.

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The phase shift depends on the thickness and the difference between the index of refraction of the medium and that of vacuum

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$$

Now let's look at this phenomenon from a different point of view, that of refraction. Assume that the wave passing through the plate simply gains a phase shift because it passes through a medium compared to a wave which does not have the plate present.

The phase shift depends on the thickness and the difference between the index of refraction of the medium and that of vacuum

$$
\phi = 2\pi \left(\frac{n\Delta}{\lambda} - \frac{\Delta}{\lambda} \right)
$$

$$
= \frac{2\pi}{\lambda} \Delta(n-1) = k\Delta(n-1)
$$

The wave function at P is then:

$$
\psi^P = \psi_0^P e^{i(n-1)k\Delta} = \psi_0^P \left[1 + i(n-1)k\Delta + \cdots\right] \approx \psi_0^P \left[1 + i(n-1)k\Delta\right]
$$

Carlo Segre (Illinois Tech) **[PHYS 570 - Fall 2024](#page-0-0)** September 11, 2024 8 / 19

Calculating n

We can now compare the expressions obtained by the scattering and refraction approaches.

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$$
n = 1 - \frac{2\pi \rho b}{k^2} = 1 - \delta
$$

Consider an x-ray incident on an interface at angle α_1 to the surface

 $1 - \delta = \cos \alpha_c$

Carlo Segre (Illinois Tech) [PHYS 570 - Fall 2024](#page-0-0) September 11, 2024 10 / 19

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Carlo Segre (Illinois Tech) [PHYS 570 - Fall 2024](#page-0-0) September 11, 2024 10 / 19

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Applying Snell's Law

 n_2 cos $\alpha_2 = n_1$ cos α_1

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Consider an x-ray incident on an interface at angle α_1 to the surface which is refracted into the medium of index n_2 at angle α_2 .

Applying Snell's Law, and assuming that the incident medium is "vacuum" $(n_1 = 1)$.

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Carlo Segre (Illinois Tech) **[PHYS 570 - Fall 2024](#page-0-0)** September 11, 2024 10 / 19

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$$
\begin{array}{c|c}\n\hline\n\alpha_c & & & n_1 \\
\hline\nn_2 & & & \\
\hline\n\end{array}
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So far, we have made the assumption that the charge distribution is uniform. We know that this is not correct, and that usually electron charge distributions are those of the atoms making up the solid.

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So far, we have made the assumption that the charge distribution is uniform. We know that this is not correct, and that usually electron charge distributions are those of the atoms making up the solid. Therefore, it is useful to replace the uniform charge distribution, ρ , with a more realistic one, including the atom distribution ρ_{a} :

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$$
\mu = 2\beta k \rightarrow \beta = \frac{\mu}{2k}
$$

$$
n = 1 - \frac{2\pi \rho_a r_0}{k^2} \left[f^0(Q) + f' + i f'' \right]
$$

$$
n = 1 - \frac{2\pi \rho_a r_0}{k^2} \left[f^0(Q) + f' + if'' \right] = 1 - \frac{2\pi \rho_a r_0}{k^2} \left[f^0(Q) + f' \right] - i \frac{2\pi \rho_a r_0}{k^2} f''
$$

$$
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The absorptive term in the index of refraction is directly related to the f'' term in the atomic scattering factor:

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Since $f^0(0)\gg f'$ in the forward direction, we have

$$
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In terms of the absorption coefficient, μ and the atomic cross-section, σ_{a}

$$
\delta \approx \frac{2\pi \rho_a f^0(0) r_0}{k^2}
$$

$$
\beta = -\frac{2\pi \rho_a f'' r_0}{k^2} = \frac{\mu}{2k}
$$

$$
f'' = -\frac{k^2}{2\pi \rho_a r_0} \frac{\mu}{2k} = -\frac{k}{4\pi r_0} \sigma_a
$$

$$
\psi_I = a_I e^{i\vec{k}_I \cdot \vec{r}}
$$
 incident wave

$$
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$$
 reflected wave

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$$
\psi_T = a_T e^{i\vec{k_T} \cdot \vec{r}}
$$
 transmitted wave

Maxwell's equations require that an electromagnetic wave and its derivative be continuous in all directions at any interface. This condition places restrictions on the waves which exist at any interface:

 $\psi_I = a_I e^{i \vec{k_I} \cdot \vec{r}}$ incident wave $\psi_R = a_R e^{i \vec{k_R} \cdot \vec{r}}$ reflected wave $\psi_{\mathcal{T}}=a_{\mathcal{T}}e^{i\vec{k_{\mathcal{T}}}\cdot\vec{r}}$ transmitted wave

which leads to conditions on the amplitudes and the wave vectors of the waves at $z = 0$.

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$$
a_T=a_I+a_R
$$

Maxwell's equations require that an electromagnetic wave and its derivative be continuous in all directions at any interface. This condition places restrictions on the waves which exist at any interface:

 α and α $\overline{\alpha' \times x}$ z \vec{k}_{I} |² \vec{k}_{R} \vec{k}_T

which leads to conditions on the amplitudes and the wave vectors of the waves at $z = 0$.

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$$
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$$

$$
a_T \vec{k_T} = a_I \vec{k_I} + a_R \vec{k_R}
$$

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which leads to conditions on the amplitudes and the wave vectors of the waves at $z = 0$. Taking vector components:

$$
a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha
$$

 $\psi_I = a_I e^{i \vec{k_I} \cdot \vec{r}}$ incident wave $\psi_R = a_R e^{i \vec{k_R} \cdot \vec{r}}$ reflected wave $\psi_{\mathcal{T}}=a_{\mathcal{T}}e^{i\vec{k_{\mathcal{T}}}\cdot\vec{r}}$ transmitted wave

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$$
\mathbb{V}
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$$

$$
a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha
$$

-
$$
a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

Starting with the equation for the parallel projection of the field on the surface and noting that

 $a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha$

Starting with the equation for the parallel projection of the field on the surface and noting that

$$
|\vec{k_R}| = |\vec{k_I}| = k \quad \text{in vacuum}
$$

$$
a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha
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Starting with the equation for the parallel projection of the field on the surface and noting that

 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

 $a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha$

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 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

Starting with the equation for the parallel projection of the field on the surface and noting that

Combining with the amplitude equation and cancelling k

 $a_T = a_1 + a_R$

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 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

$$
(a_1+a_R)n\cos\alpha'=(a_1+a_R)\cos\alpha
$$

Starting with the equation for the parallel projection of the field on the surface and noting that

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This simply results in Snell's Law

 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

$$
(a_1 + a_R)n\cos\alpha' = (a_1 + a_R)\cos\alpha
$$

$$
\cos\alpha = n\cos\alpha'
$$

Starting with the equation for the parallel projection of the field on the surface and noting that

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(a_1 + a_R)n\cos\alpha' = (a_1 + a_R)\cos\alpha
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This simply results in Snell's Law which for small angles can be expanded.

$$
1 - \frac{\alpha^2}{2} = (1 - \delta + i\beta) \left(1 - \frac{\alpha'^2}{2}\right)
$$

 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

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(a_1 + a_R)n\cos\alpha' = (a_1 + a_R)\cos\alpha
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Starting with the equation for the parallel projection of the field on the surface and noting that

Combining with the amplitude equation and cancelling k

 $a_T = a_1 + a_R$

This simply results in Snell's Law which for small angles can be expanded.

Recalling that $\alpha_c =$ √ 2δ

$$
1 - \frac{\alpha^2}{2} = (1 - \delta + i\beta) \left(1 - \frac{\alpha'^2}{2} \right) \longrightarrow \alpha^2 = \alpha'^2 + \alpha_c^2 - 2i\beta
$$

 $|\vec{k_R}| = |\vec{k_I}| = k$ in vacuum $|\vec{k_T}| = n k$ in medium

$$
a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha
$$

$$
a_T n k \cos \alpha' = a_I k \cos \alpha + a_R k \cos \alpha
$$

$$
(a_1 + a_R)n\cos\alpha' = (a_1 + a_R)\cos\alpha
$$

$$
\cos\alpha = n\cos\alpha'
$$

Taking the perpendicular projection, substituting for the wave vectors

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 $-$ a_T k_T sin $\alpha' = -a_I k_I$ sin $\alpha + a_R k_R$ sin α

Taking the perpendicular projection, substituting for the wave vectors

$$
- a_T k_T \sin \alpha' = - a_I k_I \sin \alpha + a_R k_R \sin \alpha - a_T n k \sin \alpha' = -(a_I - a_R) k \sin \alpha
$$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
- a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

$$
- a_T n k \sin \alpha' = -(a_I - a_R) k \sin \alpha
$$

$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) \sin \alpha
$$

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$$

$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) \sin \alpha
$$

$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha}
$$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
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$$

$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) \sin \alpha
$$

$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha}
$$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
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$$

\n
$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) \sin \alpha
$$

\n
$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

taking $n \approx 1$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
a_T = a_I + a_R
$$

\n
$$
(a_I + a_R)n \sin \alpha' = (a_I - a_R)k \sin \alpha
$$

\n
$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

The Fresnel Equations can now be derived

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
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\n
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$$

\n
$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) k \sin \alpha
$$

\n
$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

\ntaking $n \approx 1$
\n
$$
a_I \alpha - a_R \alpha = a_I \alpha' + a_R \alpha'
$$

The Fresnel Equations can now be derived

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
a_T = a_I + a_R
$$

\n
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(a_I + a_R) n \sin \alpha' = (a_I - a_R) k \sin \alpha
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\n
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\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

\n
$$
a_I \alpha - a_R \alpha = a_I \alpha' + a_R \alpha'
$$

\nThe Fresnel Equations can now
\n
$$
a_I(\alpha - \alpha') = a_R(\alpha + \alpha')
$$

be derived

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
a_T = a_I + a_R
$$

\n
$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) k \sin \alpha
$$

\n
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\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

\ntaking $n \approx 1$
\n
$$
a_I \alpha - a_R \alpha = a_I \alpha' + a_R \alpha'
$$

The Fresnel Equations can now be derived

$$
r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'},
$$

 $a_I(\alpha-\alpha')=a_R(\alpha+\alpha')\;\;\rightarrow r$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
a_T = a_I + a_R
$$

\n
$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) k \sin \alpha
$$

\n
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\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
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r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'},
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Carlo Segre (Illinois Tech) [PHYS 570 - Fall 2024](#page-0-0) September 11, 2024 16 / 19

 $a_I(\alpha-\alpha')=a_R(\alpha+\alpha')\;\;\rightarrow r$ $a_I(\alpha-\alpha')=(a_{\mathcal{T}}-a_I)(\alpha+\alpha')$

Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

$$
-a_T k_T \sin \alpha' = -a_I k_I \sin \alpha + a_R k_R \sin \alpha
$$

\n
$$
a_T = a_I + a_R
$$

\n
$$
(a_I + a_R) n \sin \alpha' = (a_I - a_R) k \sin \alpha
$$

\n
$$
\frac{a_I - a_R}{a_I + a_R} = \frac{n \sin \alpha'}{\sin \alpha} \approx n \frac{\alpha'}{\alpha} \approx \frac{\alpha'}{\alpha}
$$

\ntaking $n \approx 1$
\n
$$
a_I \alpha - a_R \alpha = a_I \alpha' + a_R \alpha'
$$

\nThe Fresnel Equations can now
\n
$$
a_I(\alpha - \alpha') = a_R(\alpha + \alpha') \rightarrow r
$$

be derived

$$
r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'}, \qquad t =
$$

$$
t = \frac{a_T}{a_I} = \frac{2\alpha}{\alpha + \alpha'}
$$

 $a_I(\alpha - \alpha') = (a_I - a_I)(\alpha + \alpha') \rightarrow t$

 r and t are called the reflection and transmission coefficients, respectively.

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\alpha' = \mathsf{Re}(\alpha') + \mathsf{i} \mathsf{Im}(\alpha')
$$

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$$

In the z direction, the amplitude of the transmitted wave has two terms

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$$
\alpha' = \text{Re}(\alpha') + i \,\text{Im}(\alpha')
$$

$$
a_T e^{ik\alpha' z} = a_T e^{ik \operatorname{Re}(\alpha')z} e^{-k \operatorname{Im}(\alpha')z}
$$

$$
r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'}
$$

$$
t = \frac{a_T}{a_I} = \frac{2\alpha}{\alpha + \alpha'}
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$$
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$$
a_{\mathcal{T}}e^{ik\alpha'z} = a_{\mathcal{T}} e^{ik \operatorname{Re}(\alpha')z} e^{-k \operatorname{Im}(\alpha')z}
$$

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r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'}
$$

$$
t = \frac{a_T}{a_I} = \frac{2\alpha}{\alpha + \alpha'}
$$

In the z direction, the amplitude of the transmitted wave has two terms with the second one being the attenuation of the wave in the medium due to absorption.

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$$

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r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'}
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\alpha' = \mathsf{Re}(\alpha') + \mathsf{i} \mathsf{Im}(\alpha')
$$

$$
a_{\mathcal{T}}e^{ik\alpha'z} = a_{\mathcal{T}} e^{ik \operatorname{Re}(\alpha')z} e^{-k \operatorname{Im}(\alpha')z}
$$

$$
\Lambda = \frac{1}{2k \operatorname{Im}(\alpha')}
$$

$$
r = \frac{a_R}{a_I} = \frac{\alpha - \alpha'}{\alpha + \alpha'}
$$

$$
t = \frac{a_T}{a_I} = \frac{2\alpha}{\alpha + \alpha'}
$$

In the z direction, the amplitude of the transmitted wave has two terms with the second one being the attenuation of the wave in the medium due to absorption. This attenuation is characterized by a quantity called the penetration depth, Λ.

While it is physically easier to think of angles, a more useful parameter is called the wavevector transfer.

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 $Q = 2k \sin \alpha \approx 2k\alpha$

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and for the critical angle

 $Q_c = 2k \sin \alpha_c \approx 2k\alpha_c$

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and for the critical angle

$$
Q_c=2k\sin\alpha_c\approx 2k\alpha_c
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in dimensionless units, these become

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$$

and for the critical angle

$$
Q_c=2k\sin\alpha_c\approx 2k\alpha_c
$$

in dimensionless units, these become

$$
q = \frac{Q}{Q_c} \approx \frac{2k}{Q_c} \alpha
$$

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 $Q = 2k \sin \alpha \approx 2k\alpha$

and for the critical angle

$$
Q_c=2k\sin\alpha_c\approx 2k\alpha_c
$$

in dimensionless units, these become

$$
q = \frac{Q}{Q_c} \approx \frac{2k}{Q_c} \alpha \qquad \quad q' = \frac{Q'}{Q_c} \approx \frac{2k}{Q_c} \alpha'
$$

 q is a convenient parameter to use because it is a combination of two parameters which are often varied in experiments, the angle of incidence α and the wavenumber (energy) of the x -ray, k .

Start with the reduced version of Snell's Law

Start with the reduced version of Snell's Law and multiply by a $1/\alpha_c^2 = (2k/Q_c)^2$.

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$$
\alpha^2 = \alpha'^2 + \alpha_c^2 - 2i\beta
$$

$$
\left(\frac{2k}{Q_c}\right)^2 \alpha^2 = \left(\frac{2k}{Q_c}\right)^2 \left(\alpha'^2 + \alpha_c^2 - 2i\beta\right)
$$

Start with the reduced version of Snell's Law and multiply by a $1/\alpha_c^2 = (2k/Q_c)^2$. Noting that

$$
q=\frac{2k}{Q_c}\alpha
$$

$$
\alpha^2 = \alpha'^2 + \alpha_c^2 - 2i\beta
$$

$$
\left(\frac{2k}{Q_c}\right)^2\alpha^2 = \left(\frac{2k}{Q_c}\right)^2\left(\alpha'^2 + \alpha_c^2 - 2i\beta\right)
$$

Start with the reduced version of Snell's Law and multiply by a $1/\alpha_c^2 = (2k/Q_c)^2$. Noting that

$$
q=\frac{2k}{Q_c}\alpha
$$

$$
\left(\frac{2k}{Q_c}\right)^2 \beta = \frac{4k^2}{Q_c^2} \frac{\mu}{2k} = \frac{2k}{Q_c^2} \mu = b_\mu
$$

$$
\alpha^2 = \alpha'^2 + \alpha_c^2 - 2i\beta
$$

$$
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Start with the reduced version of Snell's Law and multiply by a $1/\alpha_c^2 = (2k/Q_c)^2$. Noting that

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q=\frac{2k}{Q_c}\alpha
$$

$$
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$$
r = \frac{q - q'}{q + q'} \qquad t = \frac{2q}{q + q'}
$$