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• Refraction & reflection introduction



- Refraction & reflection introduction
- Boundary conditions at an interface



- Refraction & reflection introduction
- Boundary conditions at an interface
- The Fresnel equations



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- Reflectivity and Transmittivity



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- Normalized q-coordinates



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Reading Assignment: Chapter 3.5–3.8



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Homework Assignment #02: Problems on September due Monday, September 16, 2024



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- Refraction & reflection introduction
- Boundary conditions at an interface
- The Fresnel equations
- Reflectivity and Transmittivity
- Normalized q-coordinates

Reading Assignment: Chapter 3.5–3.8

Homework Assignment #02: Problems on September due Monday, September 16, 2024 Homework Assignment #03: Chapter 3: 1,3,4,6,8

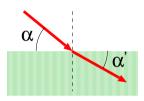
due Monday, September 30, 2024





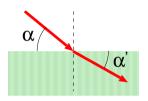
$$n=1-\delta+i\beta$$
, with  $\delta\sim 10^{-5}$ 





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, with  $\delta \sim 10^{-5}$ 



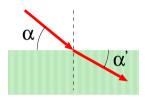


$$n = 1 - \delta + i\beta$$
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Snell's Law: 
$$\cos \alpha = n \cos \alpha'$$



X-rays can be treated like light when interaction with a medium. However, unlike visible light, the index of refraction of x-rays in matter is very close to unity:



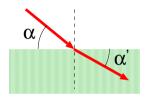
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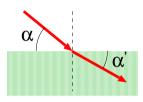
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2/19

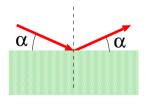
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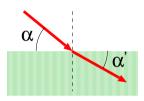
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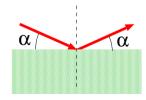
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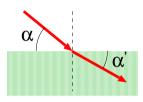


Since 
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 when  $\alpha = \alpha_c$ 



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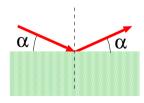
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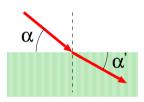


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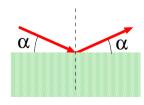
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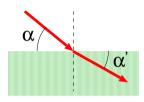
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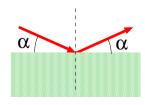


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Because n < 1, at a critical angle  $\alpha_c$ , we no longer have refraction but total external reflection

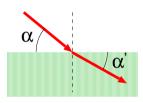


$$n = \cos \alpha_c \longrightarrow n \approx 1 - \frac{\alpha_c^2}{2} = 1 - \delta + i\beta$$

Since  $\alpha' = 0$  when  $\alpha = \alpha_c$ 

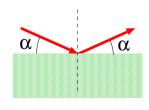


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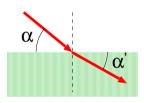
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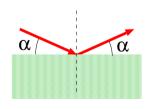


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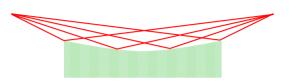
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$$\delta=\frac{\alpha_c^2}{2} \longrightarrow \alpha_c=\sqrt{2\delta}$$



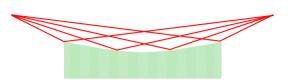
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X-ray mirrors



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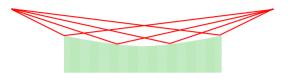


X-ray mirrors

harmonic rejection



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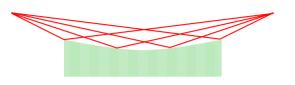


X-ray mirrors

- harmonic rejection
- focusing & collimation

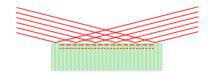


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X-ray mirrors

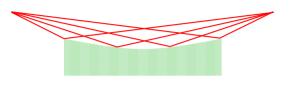
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Evanscent wave experiments

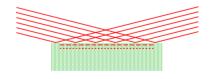


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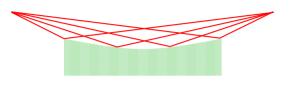


Evanscent wave experiments

studies of surfaces

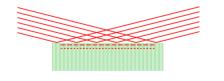


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#### X-ray mirrors

- harmonic rejection
- focusing & collimation



#### Evanscent wave experiments

- studies of surfaces
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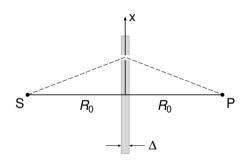
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Initially assume that all interfaces are perfectly flat and ignore all absorption processes.

# Thin plate response - scattering approach



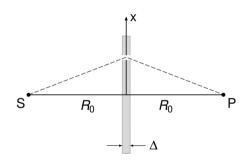
Consider a thin plate of thickness  $\Delta$  onto which x-rays are incident from a point source S a perpendicular distance  $R_0$  away.



# Thin plate response - scattering approach



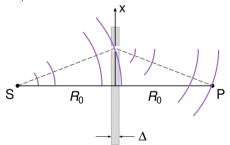
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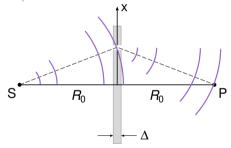


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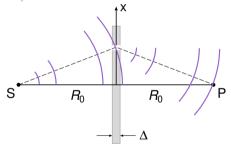
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The plate has electron density  $\rho$  and the volume  $\Delta dxdy$  contains  $\rho\Delta dxdy$  electrons which scatter the x-rays.



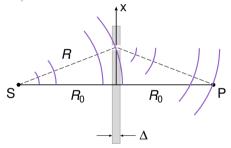
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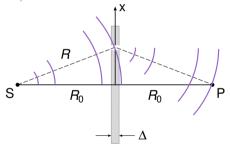


$$R = \sqrt{R_0^2 + x^2 + y^2}$$



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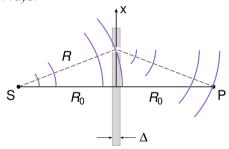
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$$R = R_0 \sqrt{1 + \frac{x^2 + y^2}{R_0^2}}$$



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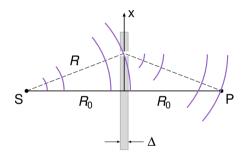


$$R = \sqrt{R_0^2 + x^2 + y^2}$$

$$R = R_0 \sqrt{1 + \frac{x^2 + y^2}{R_0^2}} \approx R_0 \left[ 1 + \frac{x^2 + y^2}{2R_0^2} \right]$$

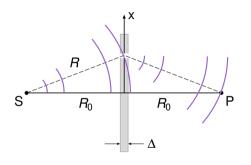


R is also the distance between the scattering volume and P so, a wave (x-ray) which travels from  $S \to P$  through the scattering volume will have an extra phase shift





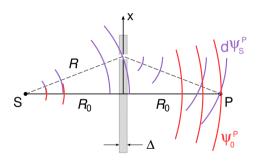
R is also the distance between the scattering volume and P so, a wave (x-ray) which travels from  $S \to P$  through the scattering volume will have an extra phase shift



$$\phi(x,y) = \frac{2k}{2R_0^2}$$



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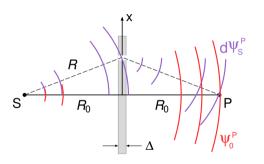


$$\phi(x,y) = \frac{2}{2}k \frac{x^2 + y^2}{2R_0^2} = \frac{x^2 + y^2}{R_0^2}k$$

compared to a wave which travels directly along the z-axis.



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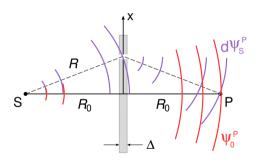


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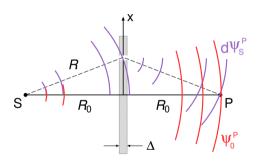
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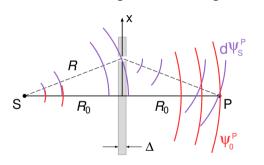
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$$d\psi_S^P pprox \left(\frac{e^{ikR_0}}{R_0}\right) (\rho \Delta dx dy)$$



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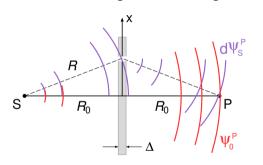
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Integrate the scattered wave over the entire plate.



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7/19

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$$\psi_S^P = \int d\psi_S^P = -\rho b \Delta \frac{e^{i2kR_0}}{R_0^2} \int_{-\infty}^{\infty} e^{i\frac{x^2+y^2}{R_0^2}k} dxdy$$
$$= -\rho b \Delta \frac{e^{i2kR_0}}{R_0^2} \left(i\frac{\pi R_0}{k}\right)$$

Thus the total wave (electric field) at P can be written

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7/19

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7/19

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7/19

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Integrate the scattered wave over the entire plate. This integral is basically a Gaussian integral squared with an imaginary (instead of real) constant in the exponent and it gives

$$\int_{-\infty}^{\infty} e^{i\frac{x^2+y^2}{R_0^2}k} dx dy = i\frac{\pi R_0}{k}$$

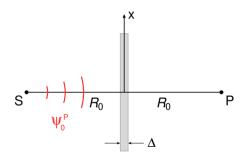
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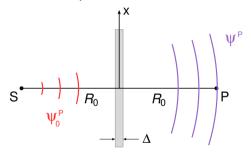
8 / 19

Now let's look at this phenomenon from a different point of view, that of refraction.



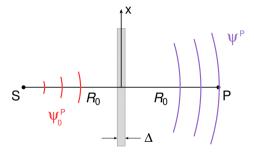


Now let's look at this phenomenon from a different point of view, that of refraction. Assume that the wave passing through the plate simply gains a phase shift because it passes through a medium compared to a wave which does not have the plate present.





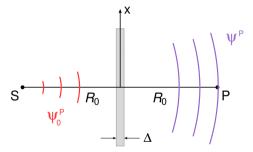
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8 / 19

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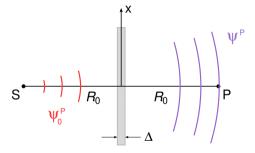


$$\phi = 2\pi \left( \frac{\Delta}{\lambda'} - \frac{\Delta}{\lambda} \right)$$



8 / 19

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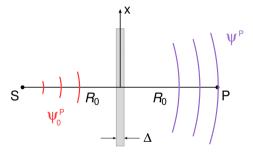


$$\phi = 2\pi \left( \frac{n\Delta}{\lambda} - \frac{\Delta}{\lambda} \right)$$



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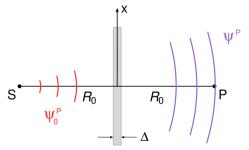


$$\phi = 2\pi \left( \frac{n\Delta}{\lambda} - \frac{\Delta}{\lambda} \right)$$
$$= \frac{2\pi}{\lambda} \Delta (n-1)$$



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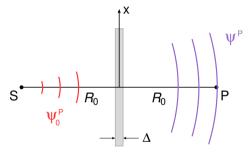


$$\phi = 2\pi \left(\frac{n\Delta}{\lambda} - \frac{\Delta}{\lambda}\right)$$
$$= \frac{2\pi}{\lambda} \Delta(n-1) = k\Delta(n-1)$$



8/19

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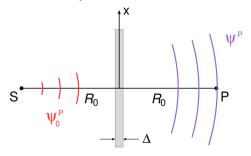
The phase shift depends on the thickness and the difference between the index of refraction of the medium and that of vacuum

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8/19

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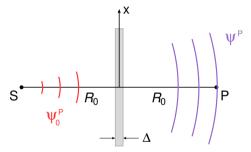
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$$\psi^P = \psi_0^P e^{i(n-1)k\Delta}$$



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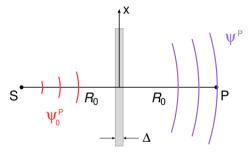
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$$\psi^{P} = \psi_{0}^{P} e^{i(n-1)k\Delta} = \psi_{0}^{P} [1 + i(n-1)k\Delta + \cdots]$$



8/19

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#### Calculating *n*



We can now compare the expressions obtained by the scattering and refraction approaches.

#### Calculating *n*



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Scattering

Refraction

#### Calculating *n*



9/19

We can now compare the expressions obtained by the scattering and refraction approaches.

Scattering

$$\psi^P = \psi_0^P \left[ 1 - i \frac{2\pi \rho b \Delta}{k} \right]$$

Refraction

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9/19

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9/19

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9/19

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9/19

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9/19

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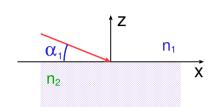
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ho b}{k^2} = 1 - \delta$ 



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Consider an x-ray incident on an interface at angle  $lpha_1$  to the surface

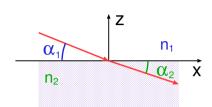


$$1 - \delta = \cos \alpha_c$$



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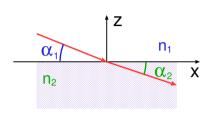
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Applying Snell's Law



$$n_2\cos\alpha_2=n_1\cos\alpha_1$$

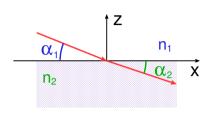
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Applying Snell's Law, and assuming that the incident medium is "vacuum" ( $n_1 = 1$ ).



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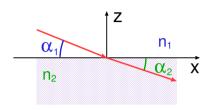


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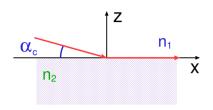
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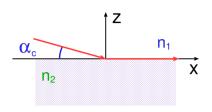
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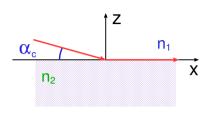
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$$1 - \delta = \cos \alpha_C$$



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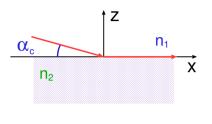
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Carlo Segre (Illinois Tech) PHYS 570 - Fall 2024 September 11, 2024



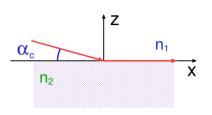
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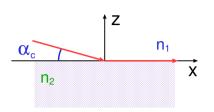
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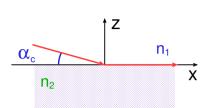
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 $\alpha_2 = \sqrt{2 \times 10^{-5}} = 4.5 \times 10^{-3} = 4.5 \text{ mrad}$ If  $\delta \sim 10^{-5}$ 



 $(1-\delta)\cos\alpha_2=\cos\alpha_1$ 

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 $\alpha_c$   $n_1$   $\alpha_c$   $\alpha_c$ 

 $(1-\delta)\cos\alpha_2=\cos\alpha_1$ 

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So far, we have made the assumption that the charge distribution is uniform. We know that this is not correct, and that usually electron charge distributions are those of the atoms making up the solid.

$$\psi^P = \psi_0^P \left[ 1 - i \frac{2\pi \rho b \Delta}{k} \right]$$



11 / 19

So far, we have made the assumption that the charge distribution is uniform. We know that this is not correct, and that usually electron charge distributions are those of the atoms making up the solid. Therefore, it is useful to replace the uniform charge distribution,  $\rho$ , with a more realistic one, including the atom distribution  $\rho_a$ :

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$$\rho = \rho_{a} f^{0} (\theta = 90^{\circ})$$



11 / 19

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$$\mu = 2\beta k \rightarrow \beta = \frac{\mu}{2k}$$





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In terms of the absorption coefficient,  $\mu$ 

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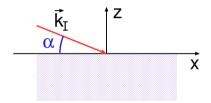
In terms of the absorption coefficient,  $\mu$  and the atomic cross-section,  $\sigma_a$ 

$$\delta \approx \frac{2\pi \rho_{a} f^{0}(0) r_{0}}{k^{2}}$$

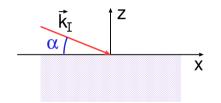
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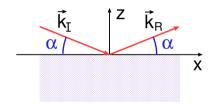






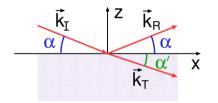
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 incident wave





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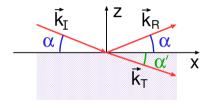


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Maxwell's equations require that an electromagnetic wave and its derivative be continuous in all directions at any interface. This condition places restrictions on the waves which exist at any interface:

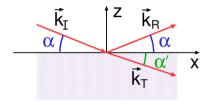


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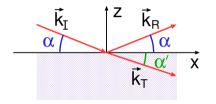
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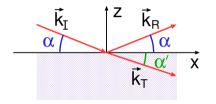
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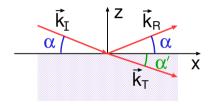
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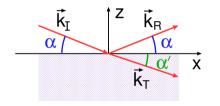
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 $a \tau k \tau \cos \alpha' = a_1 k_1 \cos \alpha + a_R k_R \cos \alpha$ 



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$$a_T k_T \cos \alpha' = a_I k_I \cos \alpha + a_R k_R \cos \alpha$$



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Starting with the equation for the parallel projection of the field on the surface and noting that

Combining with the amplitude equation and cancelling k

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$$1 - \frac{\alpha^2}{2} = (1 - \delta + i\beta) \left(1 - \frac{{\alpha'}^2}{2}\right)$$

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Recalling that 
$$\alpha_c = \sqrt{2\delta}$$

$$1 - \frac{\alpha^2}{2} = (1 - \delta + i\beta) \left( 1 - \frac{{\alpha'}^2}{2} \right) \longrightarrow \alpha^2 = {\alpha'}^2 + \alpha_c^2 - 2i\beta$$

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Taking the perpendicular projection, substituting for the wave vectors



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Taking the perpendicular projection, substituting for the wave vectors and using the amplitude equation

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$$egin{aligned} \mathsf{a}_I lpha - \mathsf{a}_R lpha &= \mathsf{a}_I lpha' + \mathsf{a}_R lpha' \ \mathsf{a}_I (lpha - lpha') &= \mathsf{a}_R (lpha + lpha') &
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q is a convenient parameter to use because it is a combination of two parameters which are often varied in experiments, the angle of incidence  $\alpha$  and the wavenumber (energy) of the x-ray, k.



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