

Today's outline - October 28, 2021



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- EXAFS theory

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- Local structure of nanoferritic alloy steels

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Reading Assignment: Chapter 7.4

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Homework Assignment #05:

Chapter 5: 1,3,7,9,10

due Tuesday, November 02, 2021



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- Local structure of nanoferritic alloy steels
- In situ studies of methanol fuel cells

Reading Assignment: Chapter 7.4

Homework Assignment #05:

Chapter 5: 1,3,7,9,10

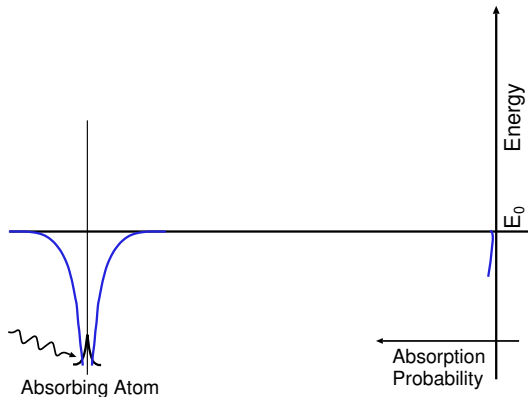
due Tuesday, November 02, 2021

Homework Assignment #06:

Chapter 6: 1,6,7,8,9

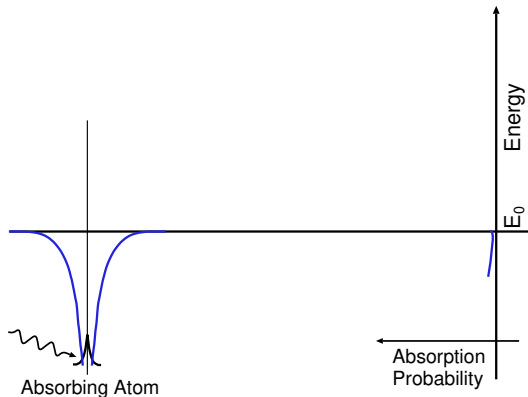
due Tuesday, November 16, 2021

X-ray absorption by a free atom



X-ray absorption needs an available state for the photoelectron to go into

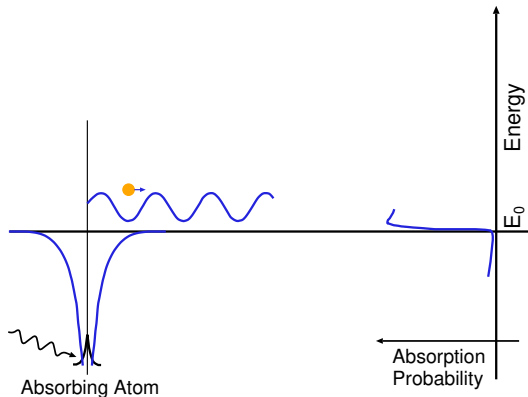
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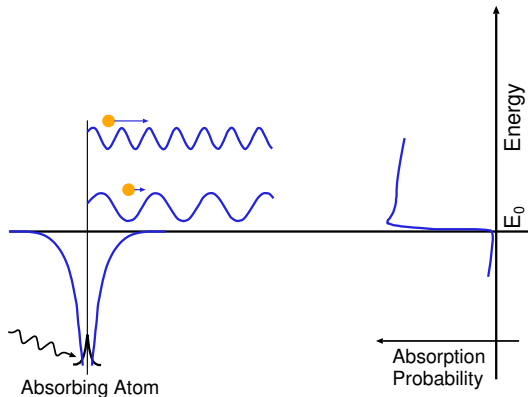


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Once the x-ray energy is large enough to promote a core-level to the continuum, there is a sharp increase in absorption.

X-ray absorption by a free atom



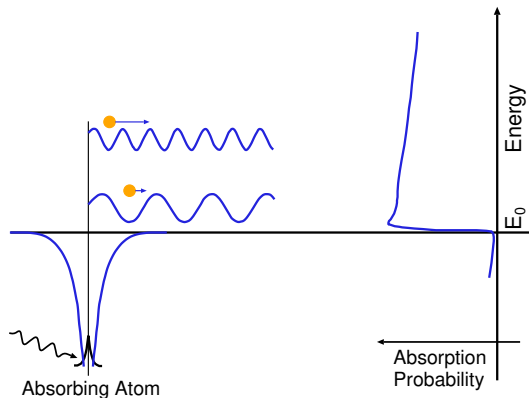
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Once the x-ray energy is large enough to promote a core-level to the continuum, there is a sharp increase in absorption.

$\mu(E)$ has a sharp step at the core-level binding energy, and is a smooth function of energy above this absorption edge.

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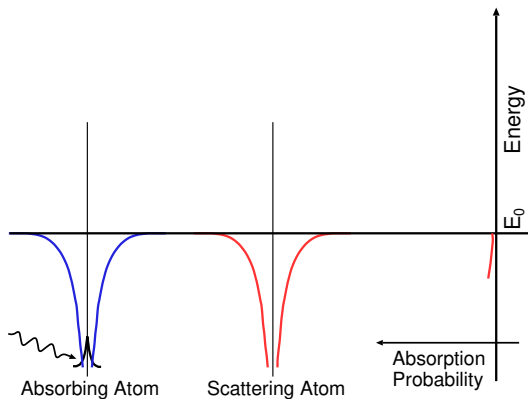
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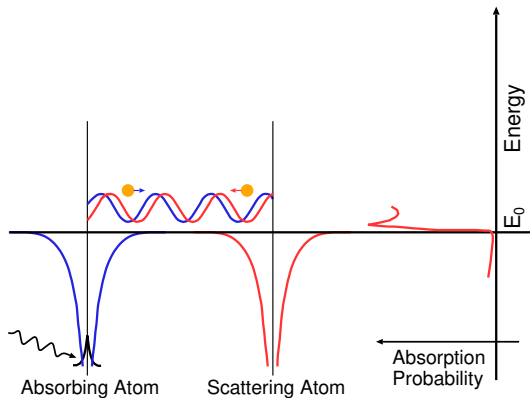
An atom absorbs an x-ray of energy E , destroying a core electron with energy E_0 and creating a photoelectron with energy $(E - E_0)$. The **core hole** is eventually filled, and a fluorescence x-ray or Auger electron is ejected from the atom.

X-ray absorption with photoelectron scattering



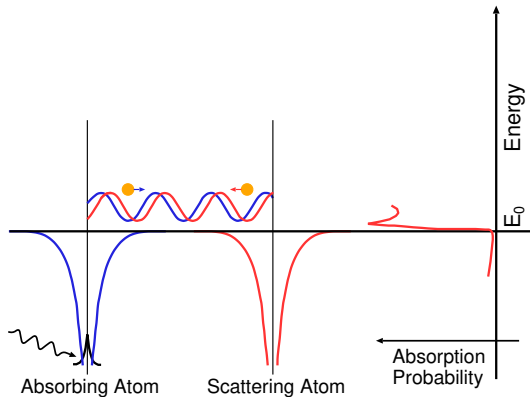
With another atom nearby, the ejected photoelectron can **scatter** from a neighboring atom and return back to the absorbing atom

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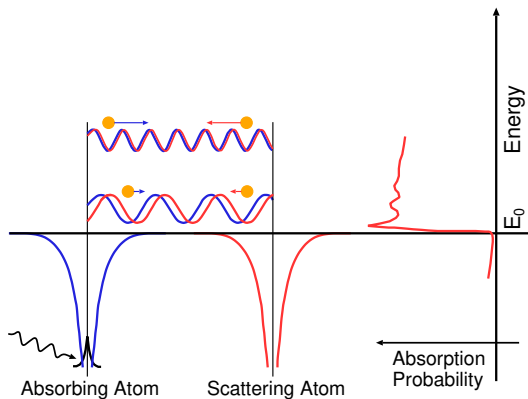
X-ray absorption with photoelectron scattering



With another atom nearby, the ejected photoelectron can **scatter** from a neighboring atom and return back to the absorbing atom

In the XANES region $\mu(E)$ depends on the density of electron states with energy $(E - E_0)$, at the absorbing atom with the appropriate symmetry ($\Delta l = \pm 1$, $\Delta m = 0, \pm 1$)

X-ray absorption with photoelectron scattering

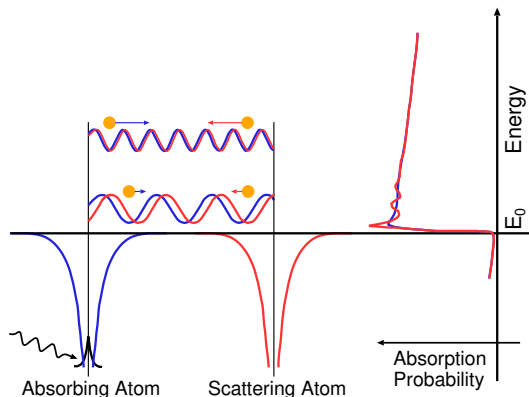


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In the EXAFS region, the backscattered photoelectron will interfere with itself

X-ray absorption with photoelectron scattering



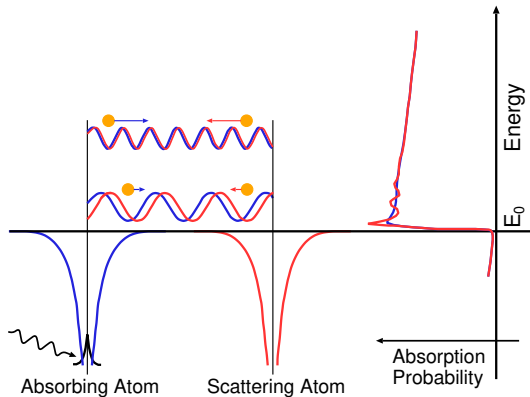
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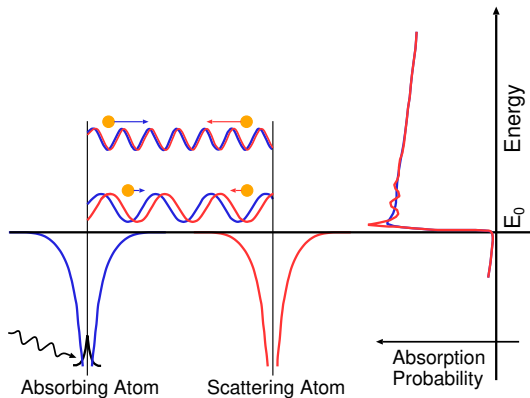
The amplitude and phase of the back-scattered photoelectron **at the absorbing atom** will vary with energy, causing the oscillations in $\mu(E)$

X-ray absorption: Fermi's golden rule



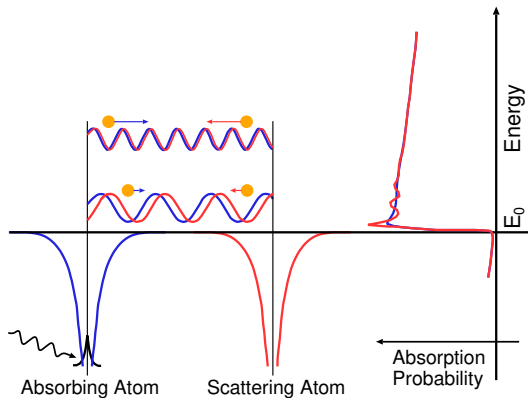
$$\mu(E) = \mu_0(E) + \Delta\mu(E)$$

X-ray absorption: Fermi's golden rule



$$\mu(E) = \mu_0(E) + \Delta\mu(E) = \mu_0(E)[1 + \chi(E)]$$

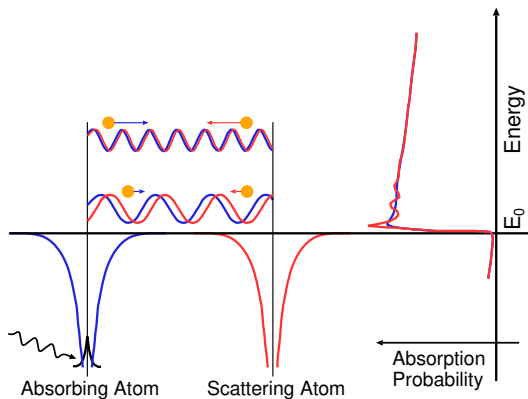
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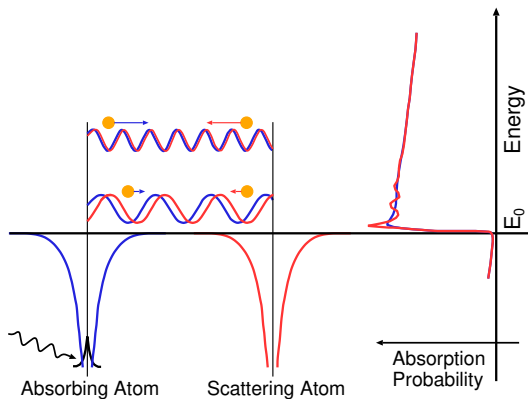
$$\chi(k[E]) = \frac{\mu(E) - \mu_0(E)}{\mu_0(E)},$$

X-ray absorption: Fermi's golden rule



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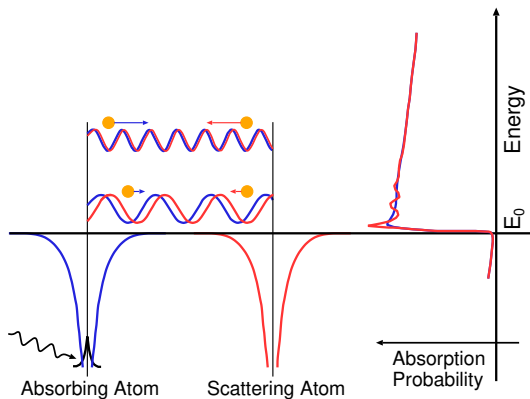


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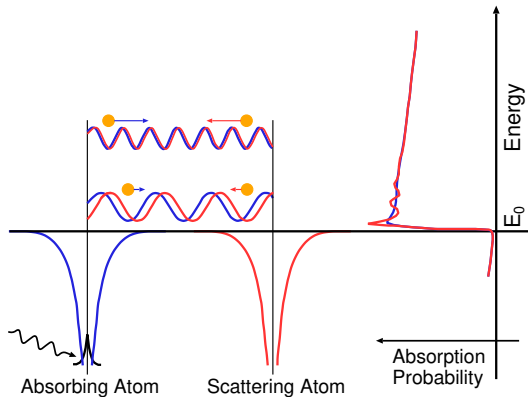
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$\langle i |$ is the **initial state** which has a core level electron and the photon. This is not altered by the neighboring atom.

X-ray absorption: Fermi's golden rule



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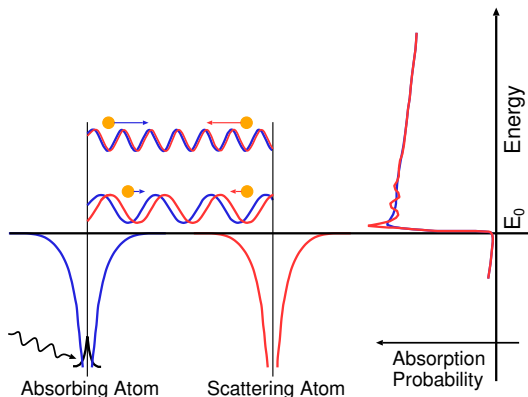
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$\mathcal{H}$ is the **interaction**. In the dipole approximation, $\mathcal{H} = e^{ikr} \approx 1$.

X-ray absorption: Fermi's golden rule



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$\mathcal{H}$ is the **interaction**. In the dipole approximation, $\mathcal{H} = e^{ikr} \approx 1$.

$|f\rangle$ is the **final state** which has a photoelectron, a hole in the core, and no photon. This is altered by the neighboring atom: the photoelectron scatters.

μ and χ and the photoelectron wavefunction



Writing $|f\rangle = |f_0 + \Delta f\rangle$, where Δf gives the change in photoelectron final state due to backscattering from the neighboring atom, we can expand μ to get

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$$\begin{array}{ll}\mu_0(E) \sim |\langle i|\mathcal{H}|f_0\rangle|^2 & \text{atomic background} \\ \chi(E) \sim \langle i|\mathcal{H}|\Delta f\rangle & \text{XAFS oscillations}\end{array}$$



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$$\chi(E) \sim \langle i|\Delta f\rangle \sim \int \psi_{\text{core}} \psi_{\text{scatt}}(r) dr$$



μ and χ and the photoelectron wavefunction

Writing $|f\rangle = |f_0 + \Delta f\rangle$, where Δf gives the change in photoelectron final state due to backscattering from the neighboring atom, we can expand μ to get

$$\begin{aligned}\mu(E) &\sim |\langle i|\mathcal{H}|f\rangle|^2 = \langle i|\mathcal{H}|f_0 + \Delta f\rangle \langle f_0 + \Delta f|\mathcal{H}|i\rangle \\ &\approx \langle i|\mathcal{H}|f_0\rangle \langle f_0|\mathcal{H}|i\rangle + \langle i|\mathcal{H}|f_0\rangle \langle \Delta f|\mathcal{H}|i\rangle + \langle i|\mathcal{H}|\Delta f\rangle \langle f_0|\mathcal{H}|i\rangle + \dots \\ &= |\langle i|\mathcal{H}|f_0\rangle|^2 + \langle i|\mathcal{H}|f_0\rangle \langle \Delta f|\mathcal{H}|i\rangle + \langle i|\mathcal{H}|\Delta f\rangle \langle f_0|\mathcal{H}|i\rangle \\ &= |\langle i|\mathcal{H}|f_0\rangle|^2 \left[1 + \frac{\langle i|\mathcal{H}|f_0\rangle \langle \Delta f|\mathcal{H}|i\rangle}{|\langle i|\mathcal{H}|f_0\rangle|^2} + \frac{\langle i|\mathcal{H}|\Delta f\rangle \langle f_0|\mathcal{H}|i\rangle}{|\langle i|\mathcal{H}|f_0\rangle|^2} \right]\end{aligned}$$

Compare this to $\mu(E) = \mu_0(E)[1 + \chi(E)]$ and we see that

$$\mu_0(E) \sim |\langle i|\mathcal{H}|f_0\rangle|^2 \quad \text{atomic background}$$

$$\chi(E) \sim \langle i|\mathcal{H}|\Delta f\rangle \sim \langle i|\Delta f\rangle \quad \text{XAFS oscillations}$$

$$\chi(E) \sim \langle i|\Delta f\rangle \sim \int \psi_{\text{core}} \psi_{\text{scatt}}(r) dr \sim \int \delta(r) \psi_{\text{scatt}}(r) dr$$



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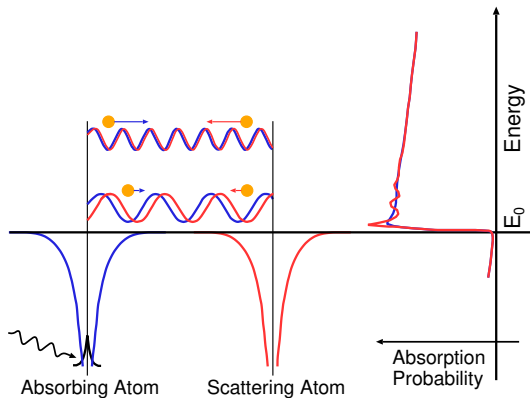
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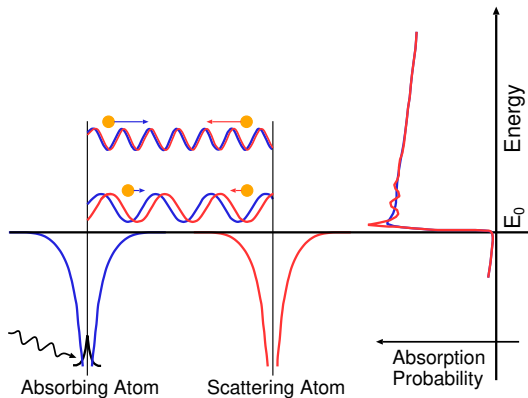
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Computing the scattered wavefunction



Assume that emitted photoelectron is a spherical wave

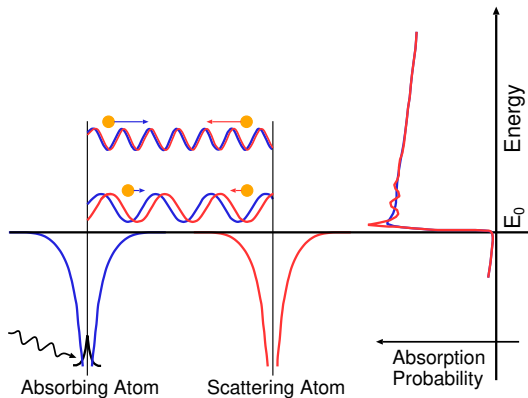
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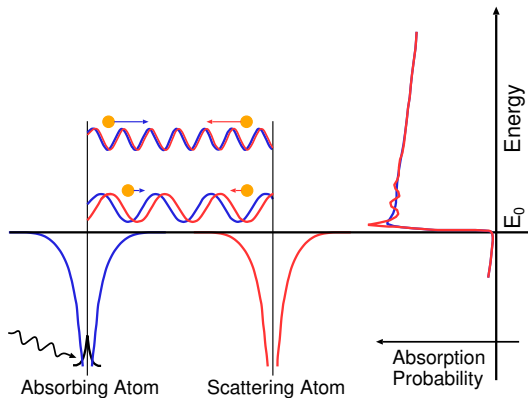


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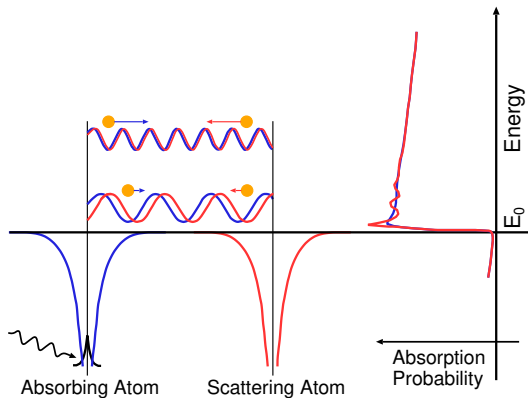
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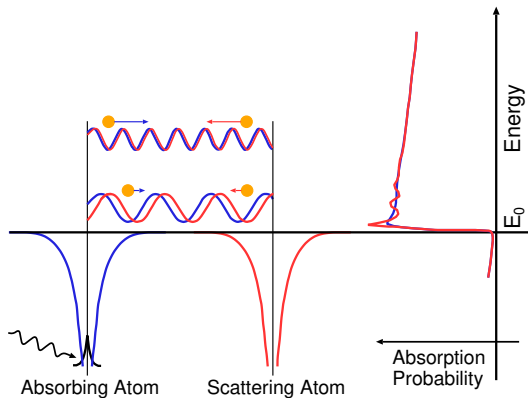
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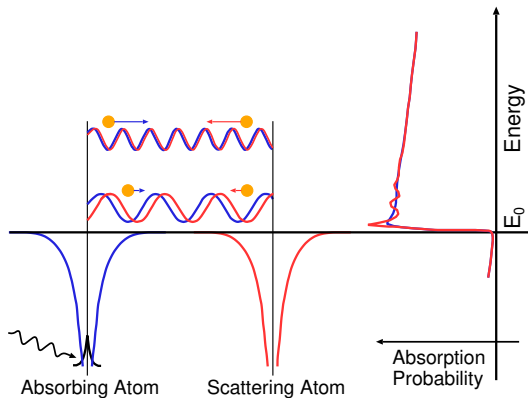
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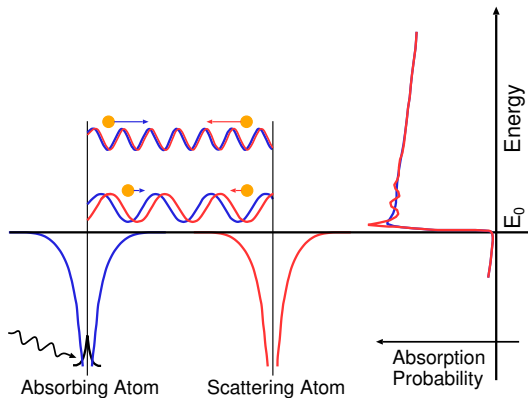
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Development of the EXAFS equation



Including the complex conjugate,

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Scattering amplitude and phase shift: $f(k)$ and $\delta(k)$

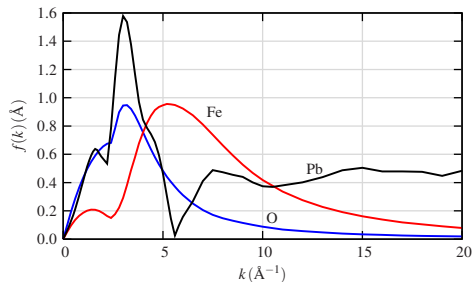


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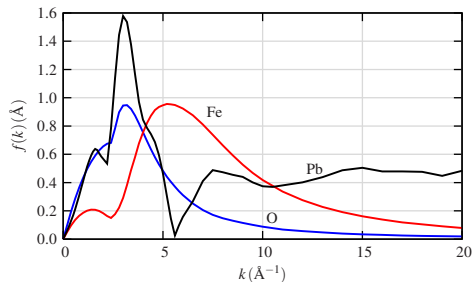


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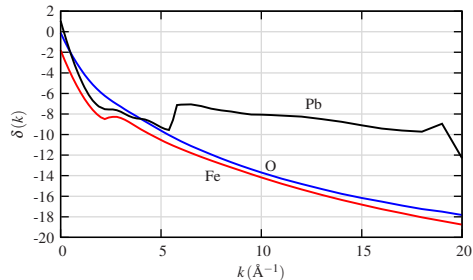
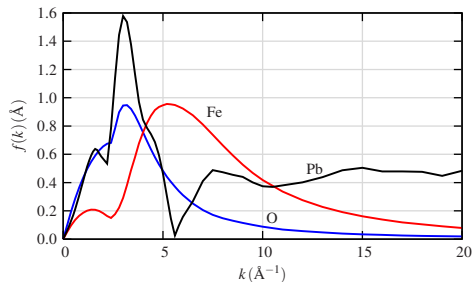


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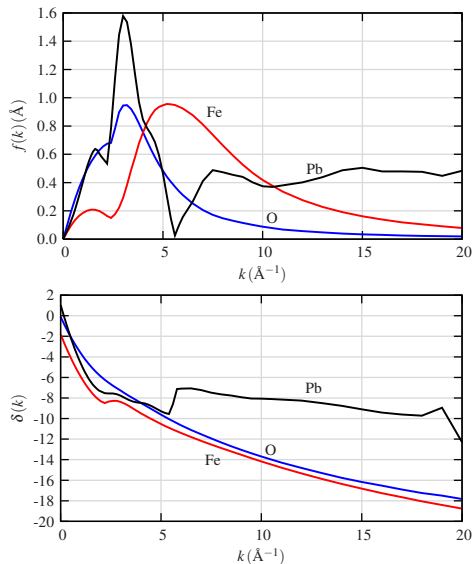
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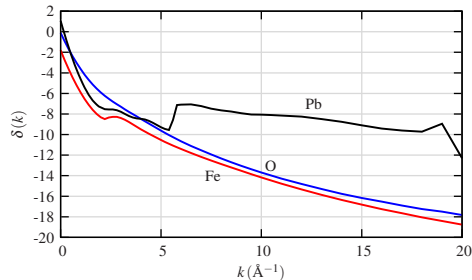
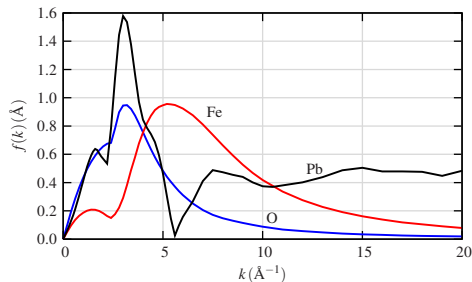


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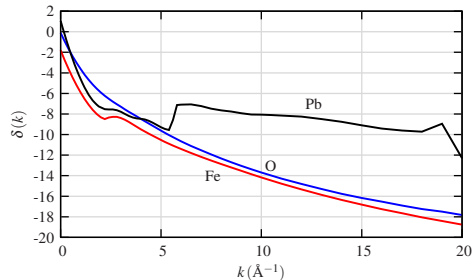
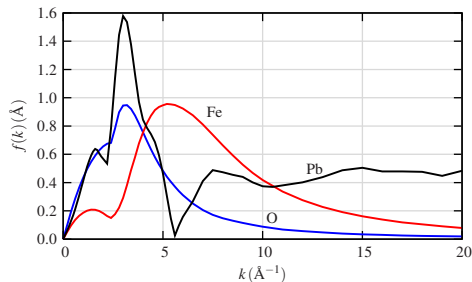
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Z can usually be determined to ± 5 . Fe and O can be distinguished, but Fe and Mn cannot be



The EXAFS equation: What we left out



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Generally, the calculations (FEFF, etc) include these effects. We'll discuss a few of these in more detail ...

The photoelectron mean-free path



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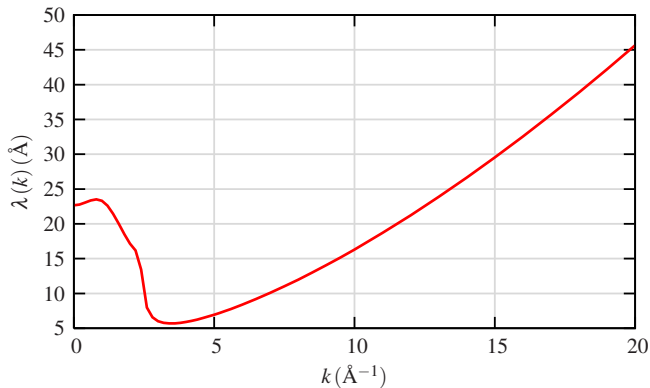
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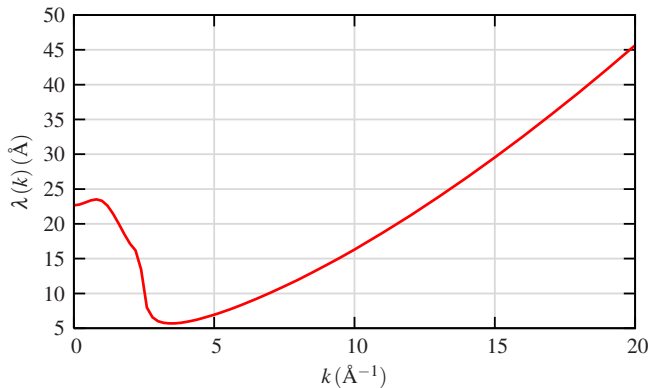
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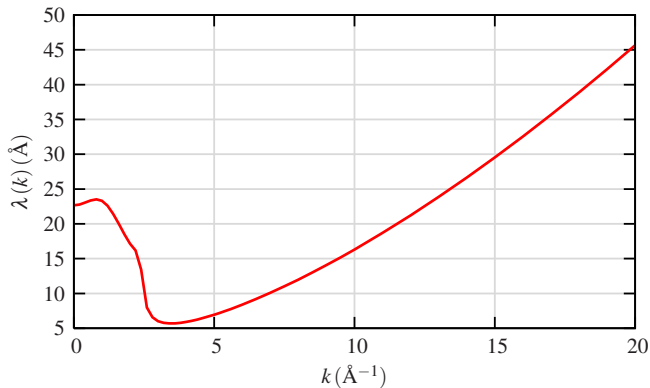
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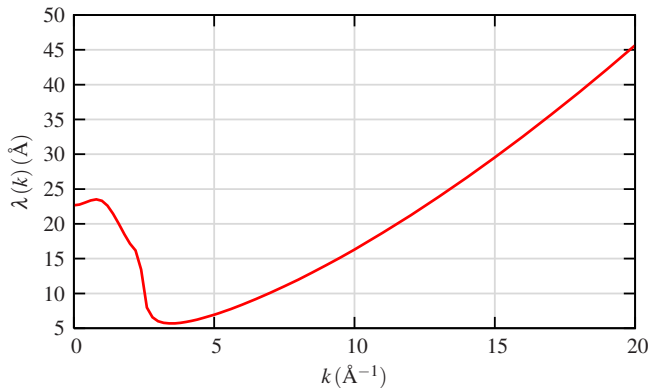


for $3 \text{ Å}^{-1} < k < 15 \text{ Å}^{-1}$,
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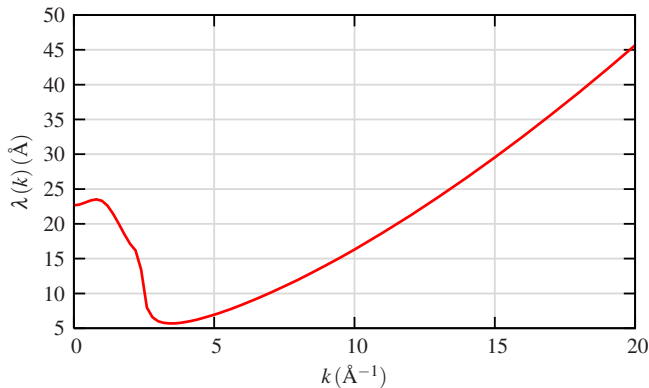
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The photoelectron mean-free path



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for XANES ($k < 3 \text{ \AA}^{-1}$), both λ
and R^{-2} become large: making
XANES not really a **local probe**

S_0^2 : Amplitude reduction term



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This, and other experimental and theoretical issues, make EXAFS amplitudes (and therefore N) less precise than EXAFS phases (and therefore R)

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The full EXAFS equation can be used to model and interpret experimental data

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Because we can compute $f(k)$ and $\delta(k)$, and $\lambda(k)$ we can determine Z , R , N , and σ^2 for scattering paths to neighboring atoms by fitting the data.

Sum over paths and multiple scattering



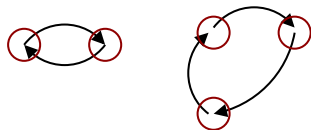
A sum over scattering paths allows **multiple-scattering paths**: the photoelectron scatters from **more than one atom** before returning to the absorbing atom:

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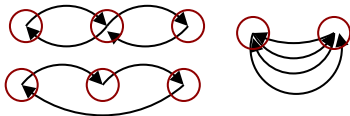


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Single Scattering Triangle Paths



Focussed Multiple Scattering Paths

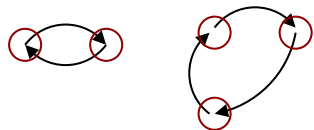


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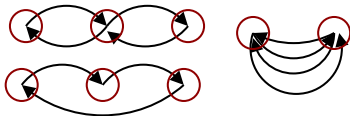
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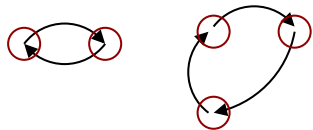


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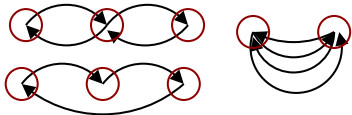
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Triangle Paths with angles $45^\circ < \theta < 135^\circ$ aren't strong, but there can be a lot of them

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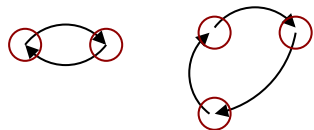


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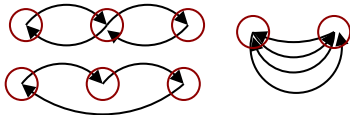
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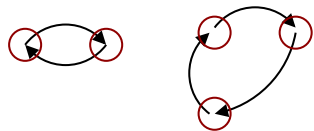
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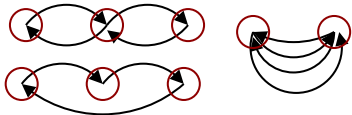
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FEFF calculates these effects and includes them in $f(k)$ and $\delta(k)$ for the EXAFS equation so that all paths look the same in the analysis

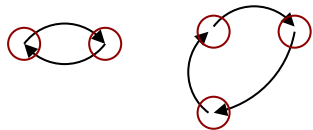
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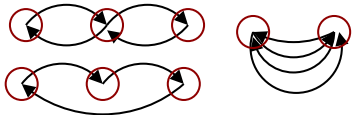
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For first shell analysis, multiple scattering is hardly ever needed

Advantages of nanoferritic alloy (NFA) steels



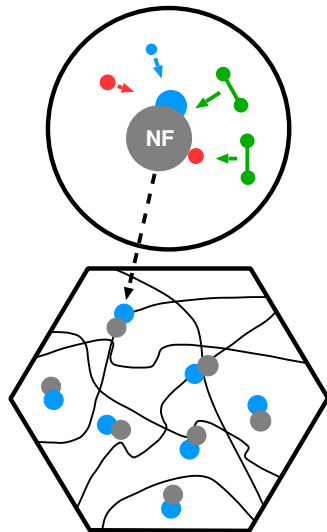
High density nanofeatures (NFs) and dislocations provide irradiation damage resistance

NFs trap helium in fine bubbles and prevent accumulation of high concentrations

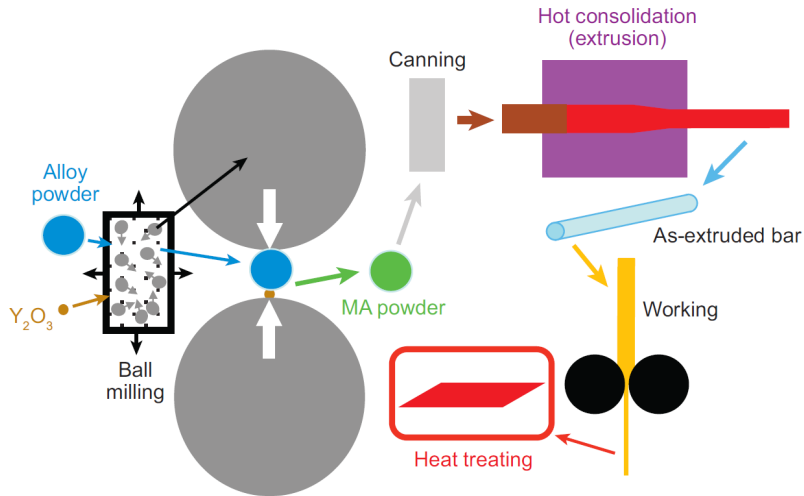
NFs maintain high stable sink densities for vacancy and self-interstitial atom defect annihilation

NFs maintain high creep strength because of dislocation pinning, allowing operation at temperatures above the displacement damage regime

G.R. Odette, M.J. Alinger, and B.D. Wirth, *Annu. Rev. Mater. Res.* **38**, 471–503 (2008).



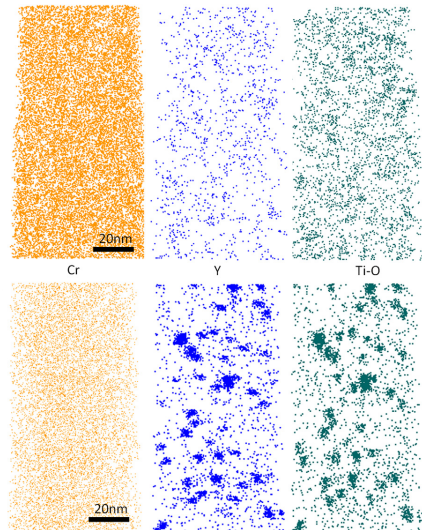
Fabrication of NFA steels



Atom probe tomography data



After mechanical alloying, Cr, Ti and Y are uniformly distributed throughout the solid



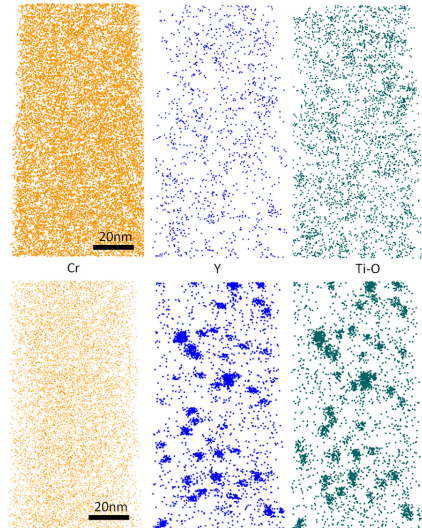
C.A. Williams, P. Unifantowicz, N. Baluc, G.D.W. Smith, and E.A. Marquis, *Acta Materialia* **61**, 2219–2235 (2013).

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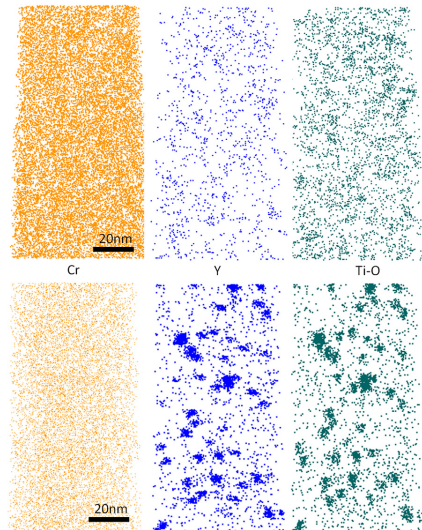


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Use XAS to understand the local structure of these nanoclusters

C.A. Williams, P. Unifantowicz, N. Baluc, G.D.W. Smith, and E.A. Marquis, *Acta Materialia* **61**, 2219–2235 (2013).



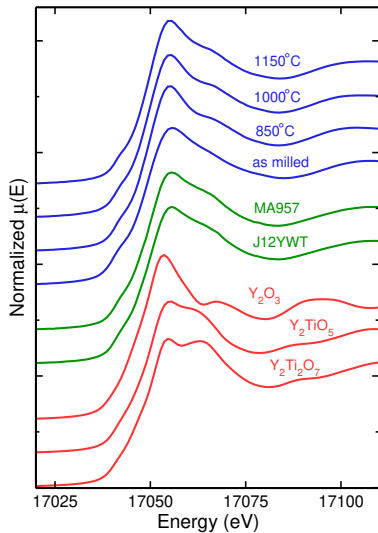
Samples studied



Sample name	Composition (wt %)					Processing
	Cr	Ti	Mo	W	Y ₂ O ₃	
MA957	14	1	0.3		0.3	hot extruded @ 1150°C
J12YWT	12	0.4		3	0.25	hot extruded @ 1150°C
as received	14	0.4		3		as received powder
as milled	14	0.4		3	0.25	mechanically alloyed powder
850°C	14	0.4		3	0.25	powder annealed @ 850°C
1000°C	14	0.4		3	0.25	powder annealed @ 1000°C
1150°C	14	0.4		3	0.25	powder annealed @ 1150°C

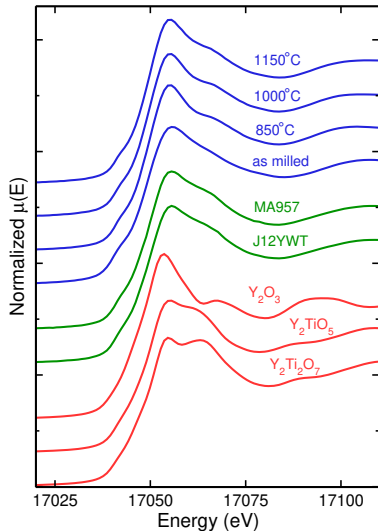
Samples consolidated from as milled powder by hot isostatic pressing were shown to be identical to annealed powders and are thus not discussed.

Yttrium edge data



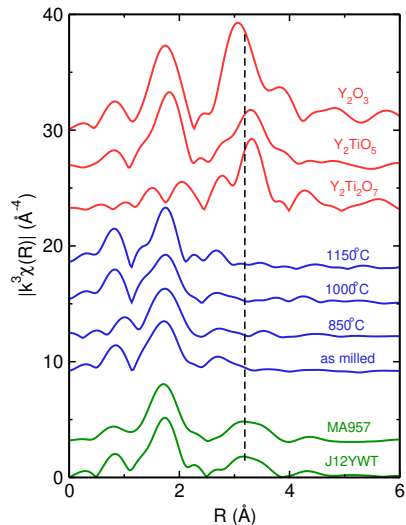
Edges show complex mixture of phases

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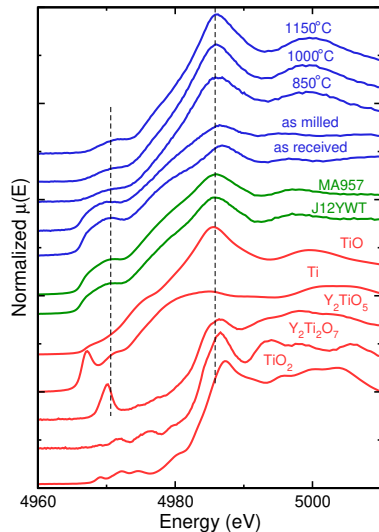


Edges show complex mixture of phases

EXAFS of annealed powders indicate smaller NFs than commercial steels

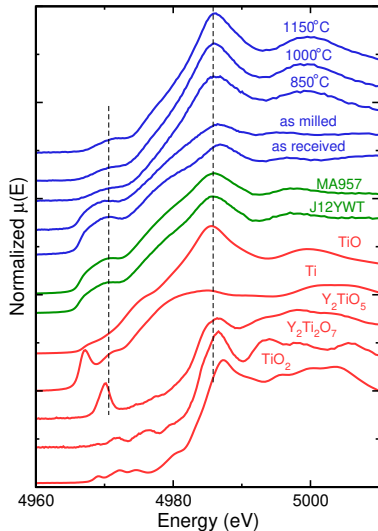


Titanium edge data



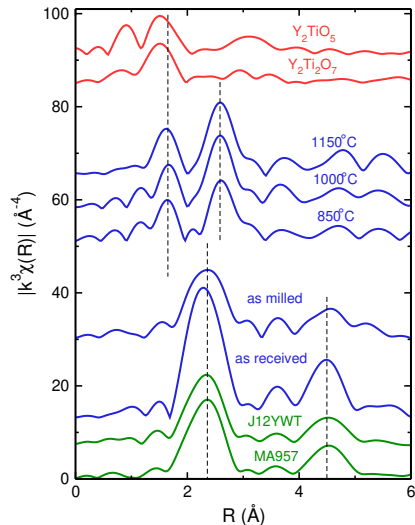
As received, as milled and commercial steels all show a metallic environment; annealed powder edges resemble TiO

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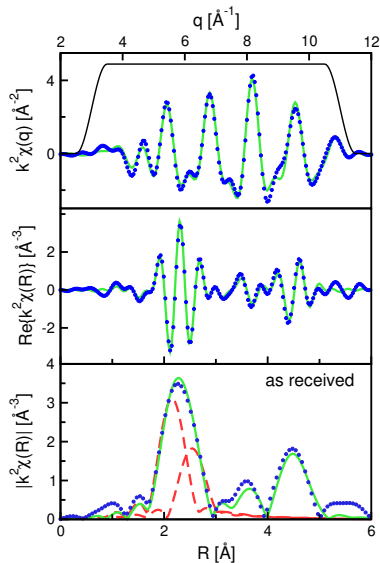
EXAFS shows a distinct heavy metal peak at $\sim 2.6\text{\AA}$



Ti in BCC structure



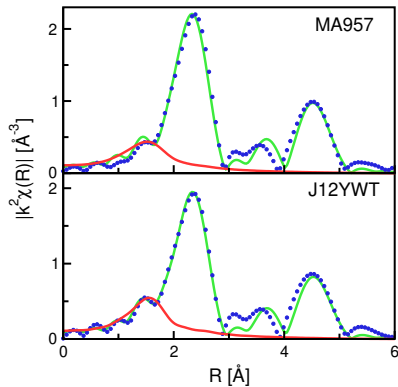
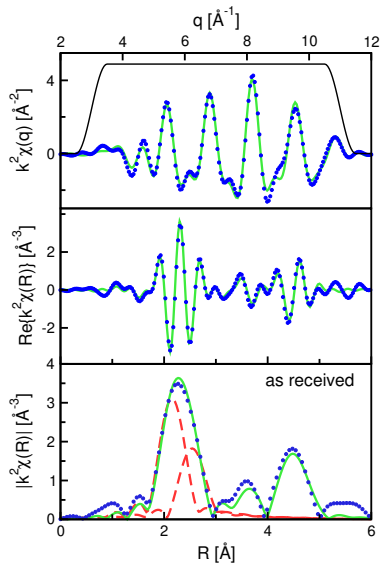
As received data can be fit with a simple BCC Fe model



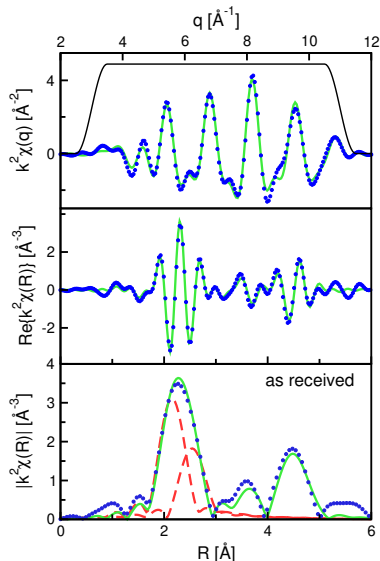
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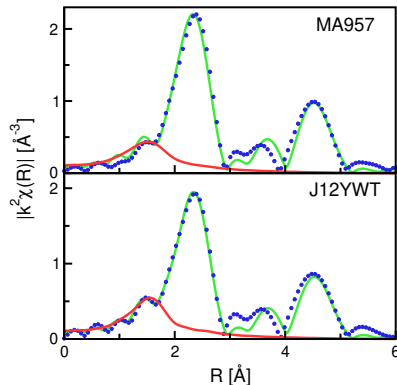
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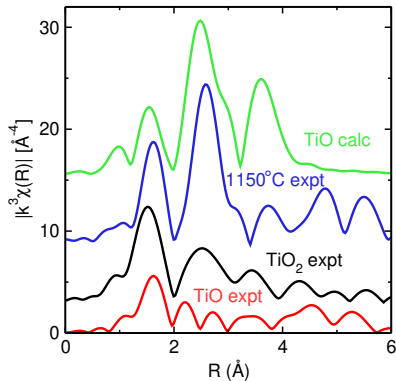


Commercial alloys fit with this model plus a small amount of Ti-O neighbors

Ti in TiO structure



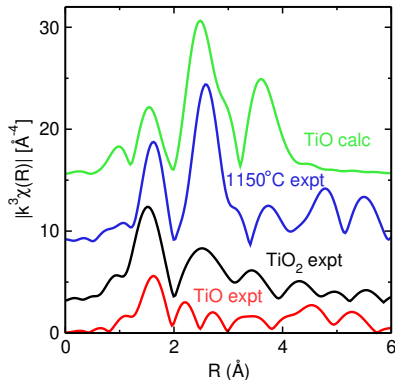
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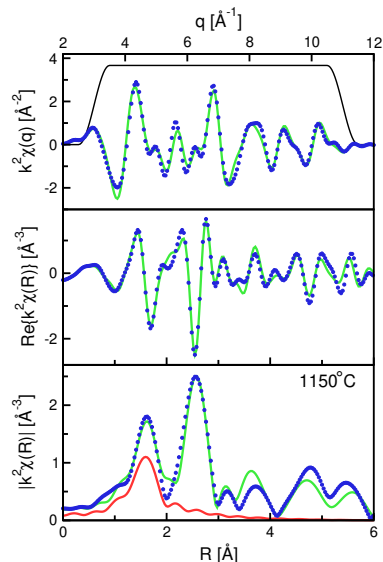
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All can be fit with cubic TiO plus an additional Ti–O path, likely from complex Y–Ti–O oxides



The fate of Ti



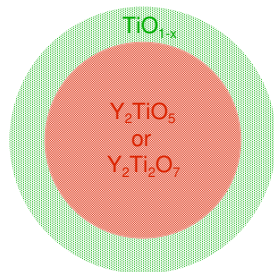
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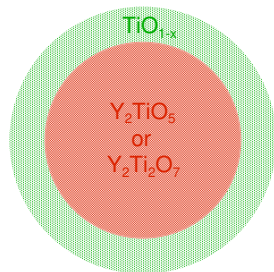
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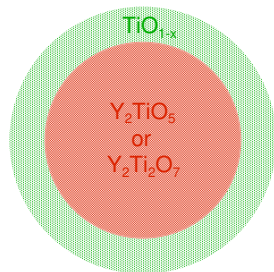


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Presence of this metastable cubic TiO suggests significant fraction of Ti on the surface of Y-Ti-O NFs



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