

Today's outline - September 16, 2021



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- Kinematical approximation for a thin slab

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- Kinematical approximation for a thin slab
- Multilayers in the kinematical regime

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- Kinematical approximation for a thin slab
- Multilayers in the kinematical regime
- Parratt's exact recursive calculation

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- Designing a multilayer

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Reading Assignment: Chapter 3.7–3.8

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Reading Assignment: Chapter 3.7–3.8

Homework Assignment #02:

Problems on Blackboard

due Tuesday, September 21, 2021

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Homework Assignment #02:

Problems on Blackboard

due Tuesday, September 21, 2021

Homework Assignment #03:

Chapter 3: 1,3,4,6,8

due Tuesday, October 05, 2021

Kinematical reflection from a thin slab



Recall the reflection coefficient for a thin slab.

Kinematical reflection from a thin slab



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$$r_{slab} = \frac{r_{01} (1 - p^2)}{1 - r_{01}^2 p^2}$$

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Since $Q\Delta \ll 1$ for a thin slab

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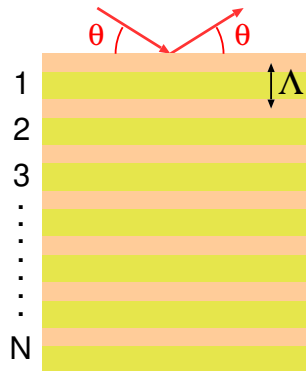
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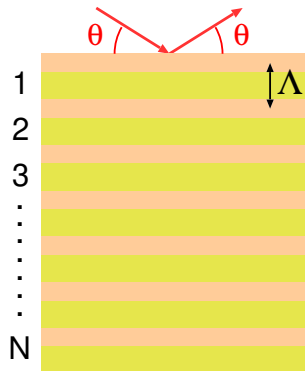
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Multilayers in the kinematical regime



N repetitions of a bilayer of thickness Λ composed of two materials, A and B which have a density contrast ($\rho_A > \rho_B$).

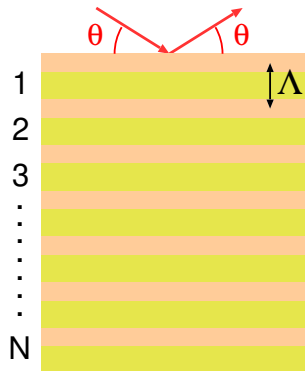
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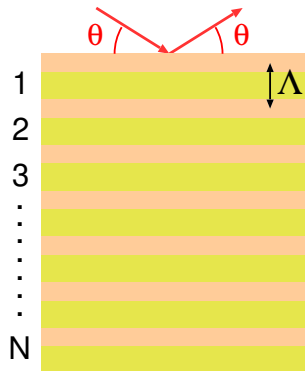


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Multilayers in the kinematical regime



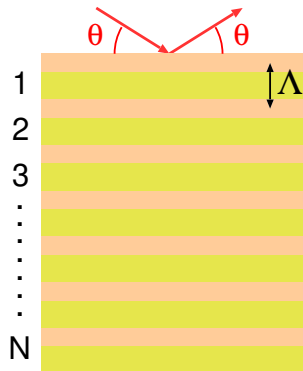
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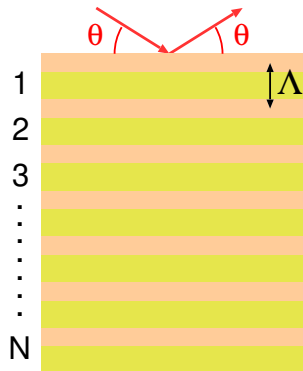
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Form a stack of N bilayers

$$r_N(\zeta) = \sum_{\nu=0}^{N-1} r_1(\zeta) e^{i2\pi\zeta\nu} e^{-\beta\nu}$$

Multilayers in the kinematical regime



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Form a stack of N bilayers

$$r_N(\zeta) = \sum_{\nu=0}^{N-1} r_1(\zeta) e^{i2\pi\zeta\nu} e^{-\beta\nu} = r_1(\zeta) \frac{1 - e^{i2\pi\zeta N} e^{-\beta N}}{1 - e^{i2\pi\zeta} e^{-\beta}}$$

Reflectivity of a bilayer



The reflectivity from a single bilayer can be evaluated using the reflectivity developed for a slab but replacing the density of the slab material with the difference in densities of the bilayer components

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Reflectivity of a bilayer



The reflectivity from a single bilayer can be evaluated using the reflectivity developed for a slab but replacing the density of the slab material with the difference in densities of the bilayer components and assuming that material A is a fraction Γ of the bilayer thickness

$$\rho \longrightarrow \rho_{AB} = \rho_A - \rho_B$$

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$$e^{ix} - e^{-ix} = 2i \sin x$$

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Absorption coefficient of a bilayer



The total reflectivity for the multilayer is therefore:

$$r_N = -2ir_0\rho_{AB} \left(\frac{\Lambda^2\Gamma}{\zeta} \right) \frac{\sin(\pi\Gamma\zeta)}{\pi\Gamma\zeta} \frac{1 - e^{i2\pi\zeta N}e^{-\beta N}}{1 - e^{i2\pi\zeta}e^{-\beta}}$$

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The incident x-ray has a path length $\Lambda/\sin\theta$ in a bilayer, a fraction Γ through n_A and a fraction $(1 - \Gamma)$ through n_B .

Absorption coefficient of a bilayer



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Absorption coefficient of a bilayer



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Absorption coefficient of a bilayer



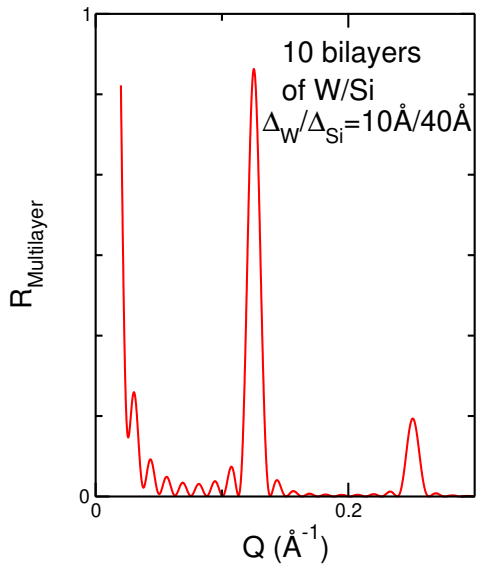
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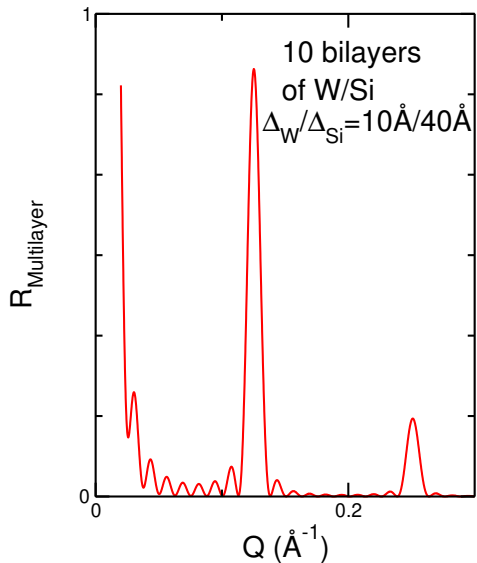
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Reflectivity calculation

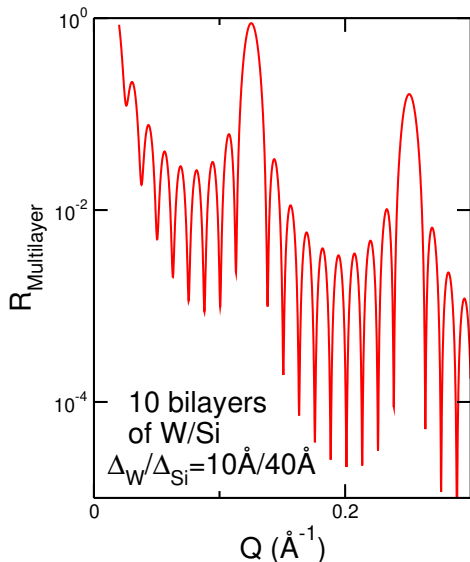


Reflectivity calculation



When $\zeta = Q\Lambda/2\pi$ is an integer, we have peaks

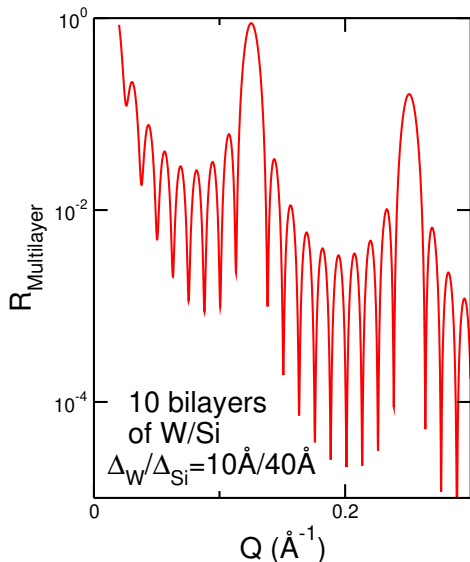
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As N becomes larger, these peaks would become more prominent

Reflectivity calculation

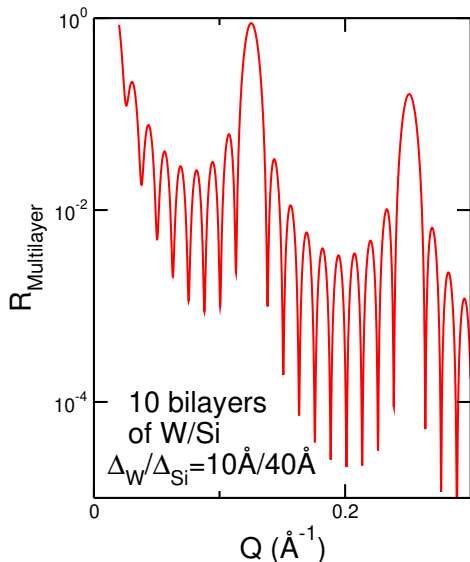


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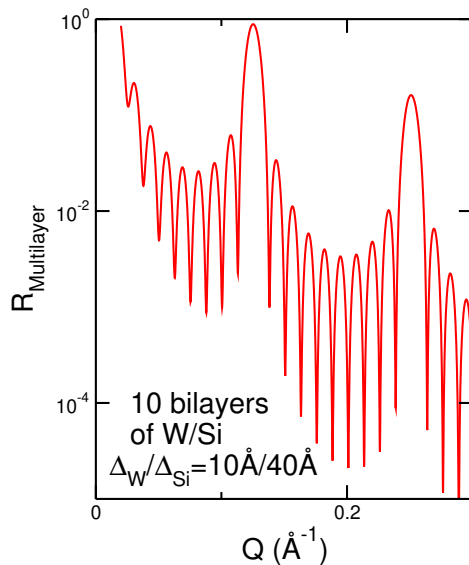
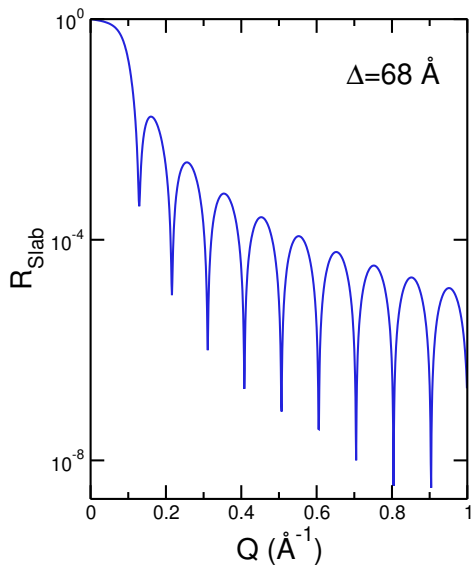
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Multilayers are used commonly on laboratory sources as well as at synchrotrons as mirrors

Slab - multilayer comparison

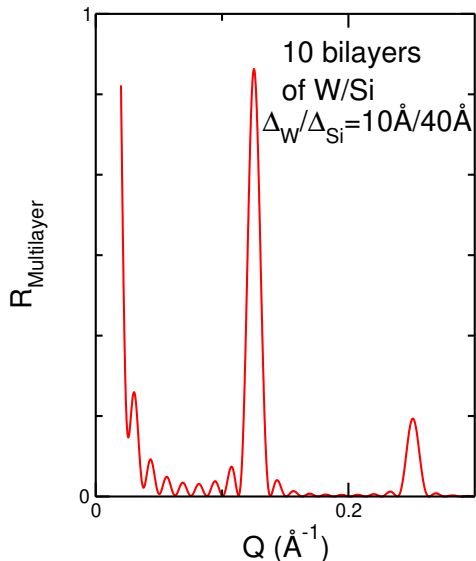


Kinematical reflectivity from a multilayer



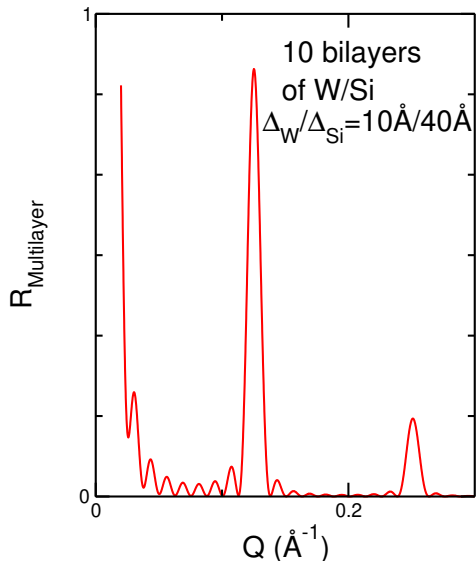
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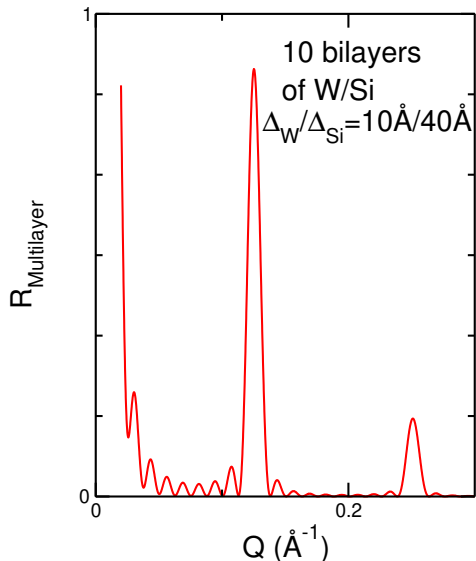
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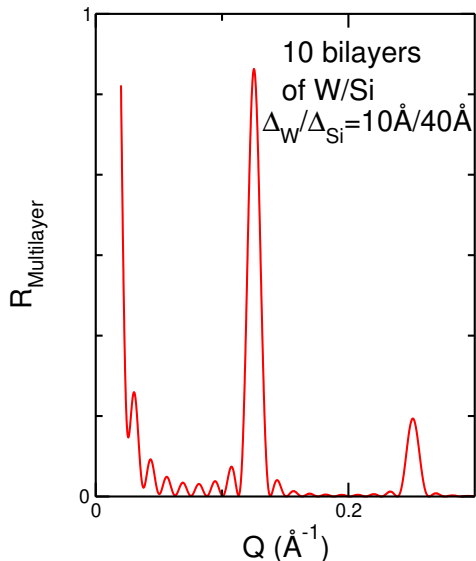


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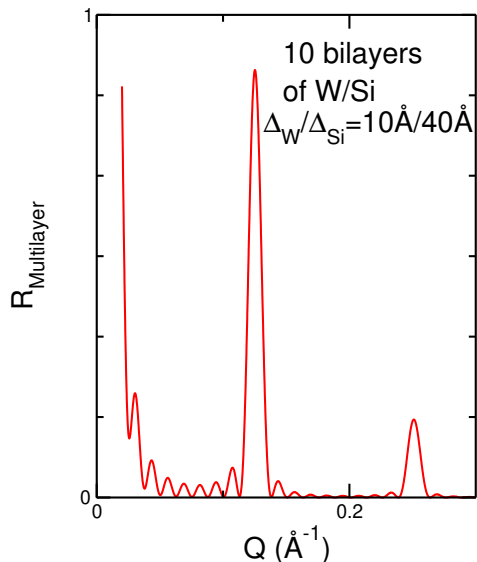
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An exact approach is required to give a solution which holds for all values of Q

This is Parratt's recursive approach and needs to be computed numerically

Parratt's recursive method



Treat the multilayer as a stratified medium on top of an infinitely thick substrate.

Parratt's recursive method



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take Δ_j as the thickness of each layer and $n_j = 1 - \delta_j + i\beta_j$ as the index of refraction of each layer

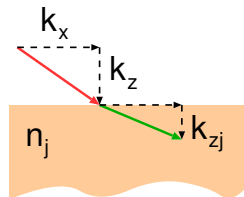
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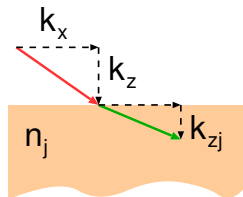


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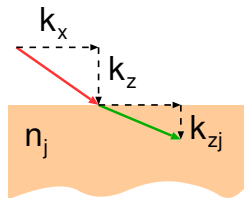


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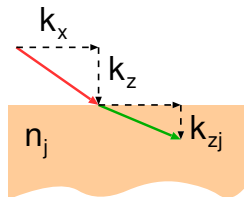


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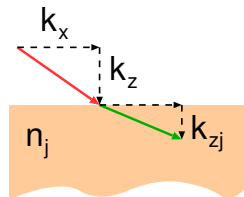


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and the wavevector transfer in the j^{th} layer

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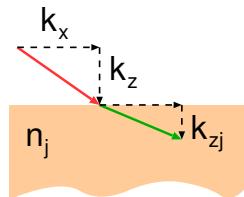


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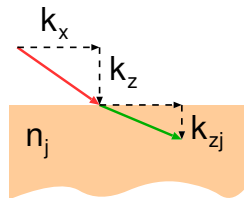
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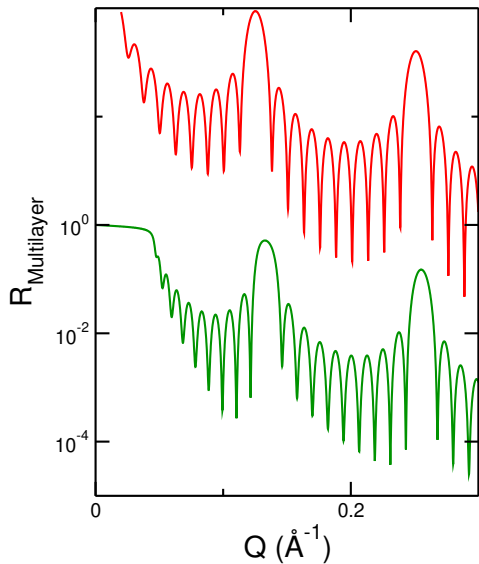
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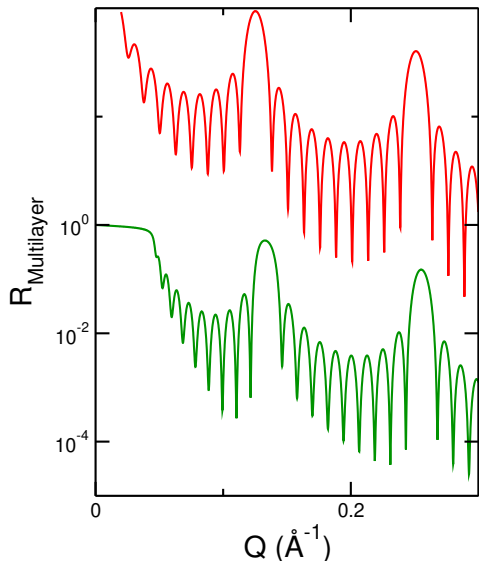
The recursive relation can be seen from the calculation of reflectivity of the next layer up

$$r_{N-2,N-1} = \frac{r'_{N-2,N-1} + r_{N-1,N} p_{N-1}^2}{1 + r'_{N-2,N-1} r_{N-1,N} p_{N-1}^2}$$

Kinematical - Parratt comparison

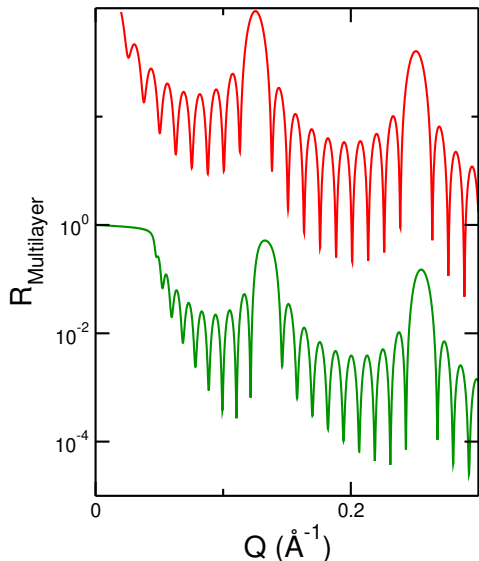


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Kinematical approximation gives a reasonably good approximation to the correct calculation, with a few exceptions.

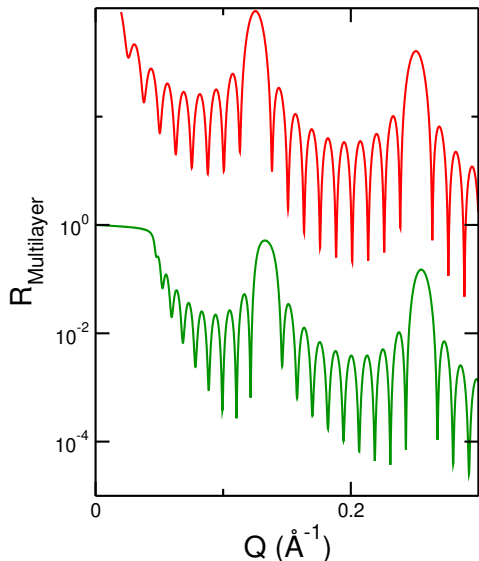
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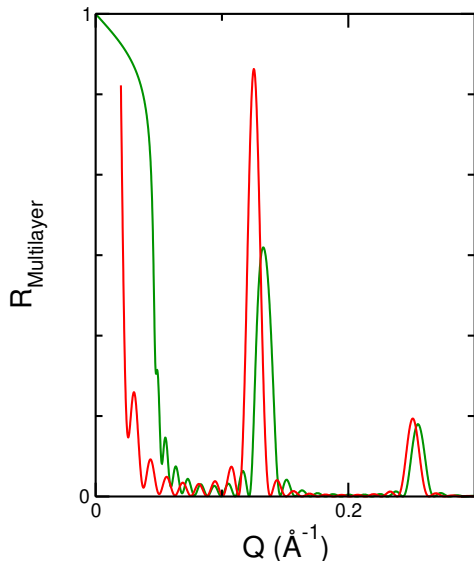


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Peaks in kinematical calculation are somewhat higher reflectivity than true value.

Multilayer design



Materials for multilayer monochromator chosen to reflect 12 keV x-rays at ~ 2 degrees with 0.5% and 1.0% bandwidth

A. Khounsary et al., "A dual-bandwidth multilayer monochromator system," *Proc. SPIE* **10760**, 107600j (2018).

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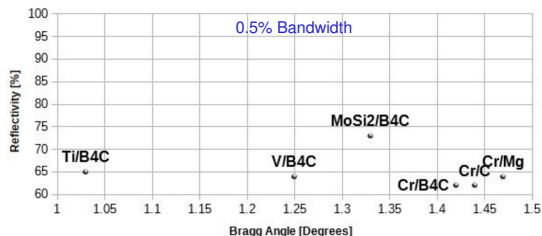
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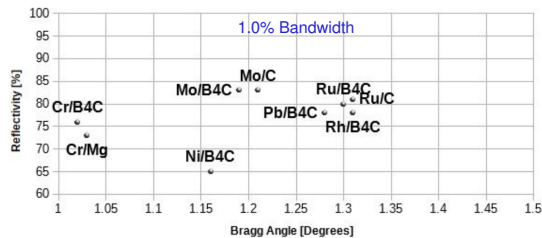
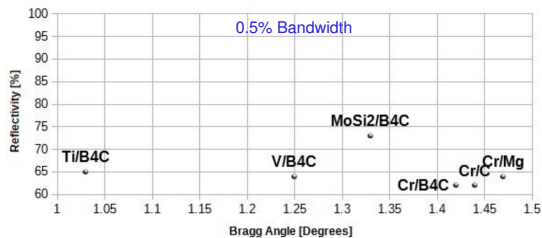
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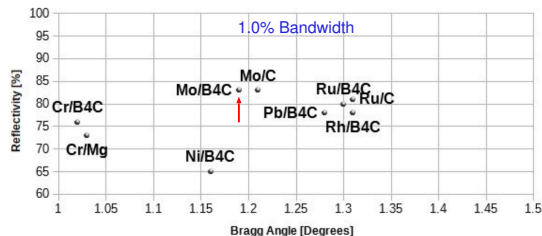
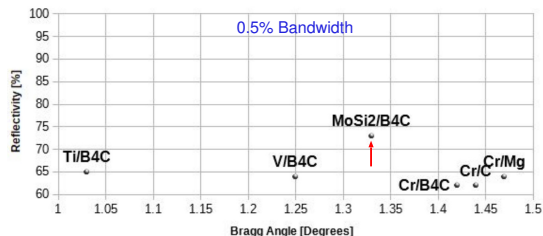
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MoSi₂/B₄C and Mo/B₄C were selected for the 0.5% and 1.0% bandwidth coatings, respectively

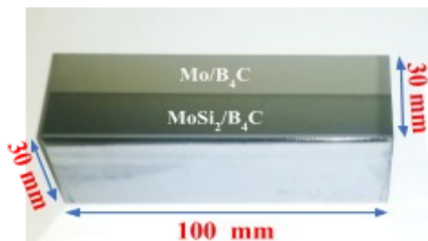


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Multilayer fabrication & testing



The 0.5% and 1.0% bandwidth layers were deposited side-by-side on a monolithic 20 mm $\times$ 30 mm $\times$ 100 mm polished silicon block

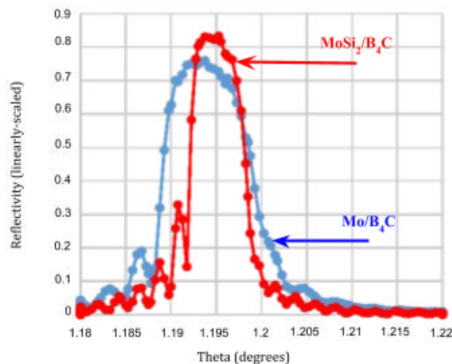
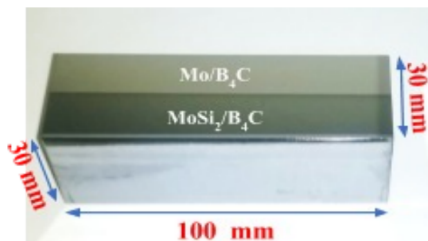


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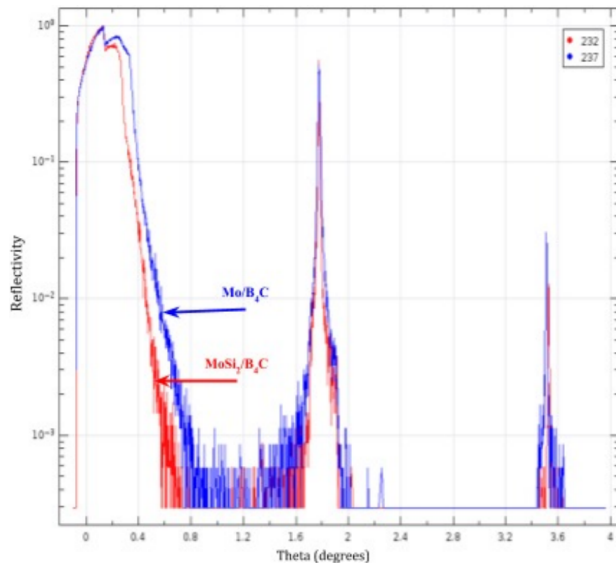
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When illuminated with 12 keV x-rays the two multilayers showed diffraction peaks at nearly the same angle. The reflectivities were both over 75% and the bandwidths were 0.52% and 0.86%, respectively.

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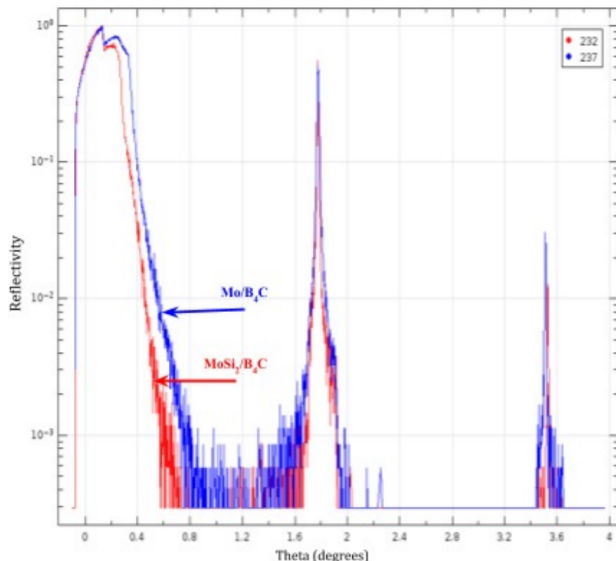
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The reflectivity over a wide range of angles at 8 keV shows total external reflection at low angles with cutoff at zero degrees

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First and second order multilayer diffraction peaks appear at higher angles

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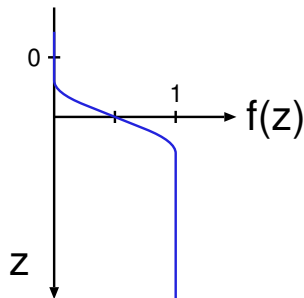


Since most interfaces are not sharp, it is important to be able to model a graded interface, where the density, and therefore the index of refraction varies near the interface itself.



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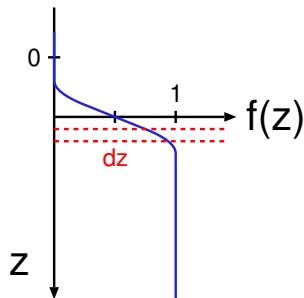
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The density profile of the interface can be described by the function $f(z)$ which approaches 1 as $z \rightarrow \infty$.

The reflectivity can be computed as the superposition of the reflectivity of a series of infinitesimal slabs of thickness dz at a depth z .

Reflectivity of a graded interface



The differential reflectivity from a slab of thickness dz at depth z is:

Reflectivity of a graded interface



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the term in front is simply the Fresnel reflectivity for an interface, $r_F(Q)$ when $q \gg 1$

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the term in front is simply the Fresnel reflectivity for an interface, $r_F(Q)$ when $q \gg 1$, the integral is the Fourier transform of the density gradient, $\phi(Q)$

$$\begin{aligned} r(Q) &= -i \frac{Q_c^2}{4Q} \int_{-\infty}^{\infty} f(z) e^{iQz} dz \\ &= i \frac{1}{Q} \frac{Q_c^2}{4Q} \int_{-\infty}^{\infty} f'(z) e^{iQz} dz \\ &= \frac{Q_c^2}{4Q^2} \int_{-\infty}^{\infty} f'(z) e^{iQz} dz \end{aligned}$$

Reflectivity of a graded interface



$$\delta r(Q) = -i \frac{Q_c^2}{4Q} f(z) dz$$

The differential reflectivity from a slab of thickness dz at depth z is:

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$$= \frac{Q_c^2}{4Q^2} \int_{-\infty}^{\infty} f'(z) e^{iQz} dz$$

Calculating the full reflection coefficient relative to the Fresnel reflection coefficient

$$\frac{R(Q)}{R_F(Q)} = \left| \int_{-\infty}^{\infty} \left(\frac{df}{dz} \right) e^{iQz} dz \right|^2$$



The error function - a specific case

The error function is often chosen as a model for the density gradient

$$f(z) = \text{erf}\left(\frac{z}{\sqrt{2}\sigma}\right) = \frac{1}{\sqrt{\pi}} \int_0^{z/\sqrt{2}\sigma} e^{-t^2} dt$$

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$$Q = k \sin \theta, \quad Q' = k' \sin \theta'$$

Rough surfaces

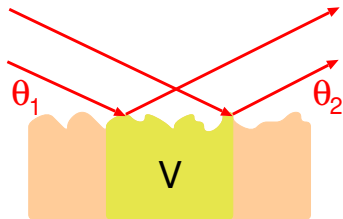


When a surface or interface is not perfectly smooth but has some roughness the reflectivity is no longer simply specular but has a non-zero diffuse component which we must include in the model.

Rough surfaces



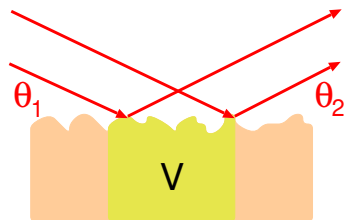
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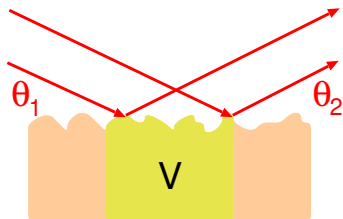


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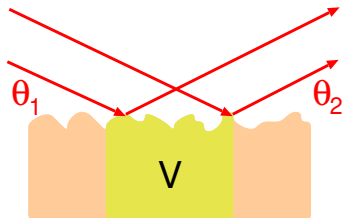


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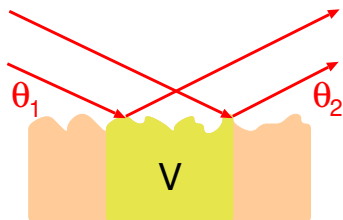
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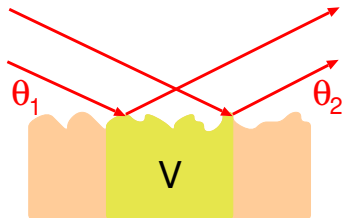
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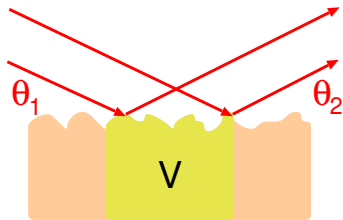
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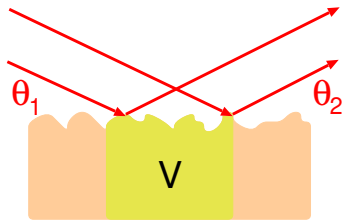
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Taking C to be

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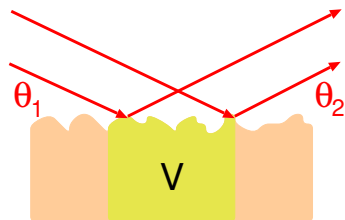
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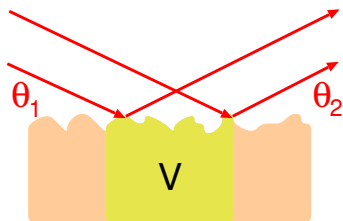
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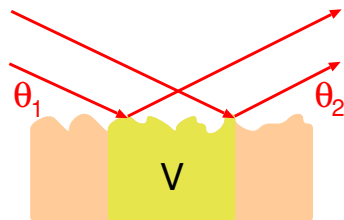
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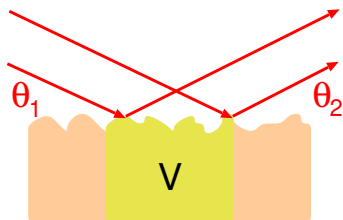
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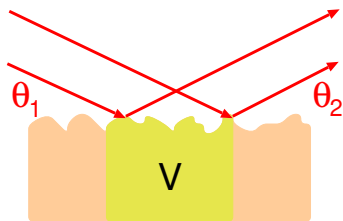
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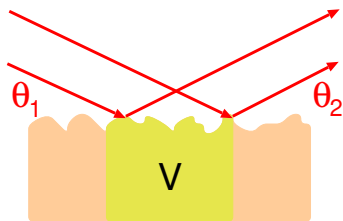
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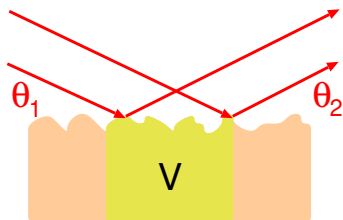
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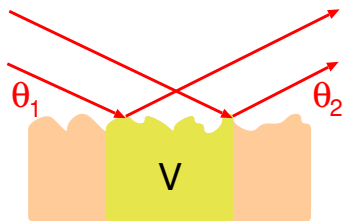
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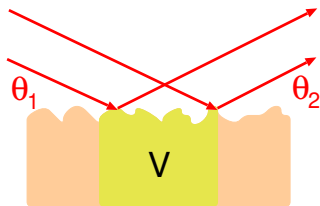
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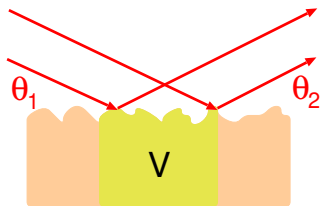
Reflection from a rough surface leads to some amount of diffuse scattering on top of the specular reflection from a flat surface. The scattering from an illuminated volume is given by V .



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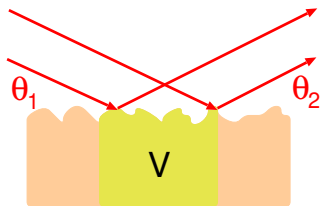


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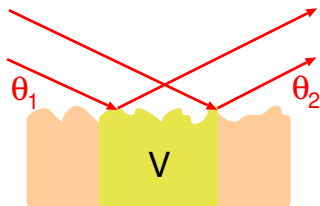
Using Gauss' theorem, this volume integral can be converted to an integral over the surface of the illuminated volume.

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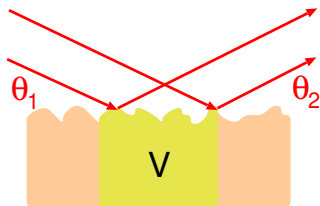
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$$r_S = -r_0 \rho \frac{1}{iQ_z} \int_S e^{i\vec{Q} \cdot \vec{r}} dx dy$$

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This integral is highly model dependent and can now be evaluated for a number of different cases.

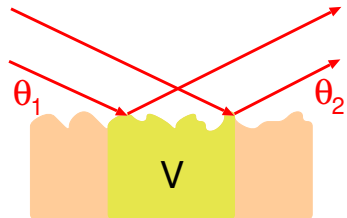
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Evaluation of surface integral



The side surfaces of the volume do not contribute to this integral as they are along the $\hat{z}$ direction, and we can also choose the thickness of the slab sufficiently large such that the lower surface will not contribute.

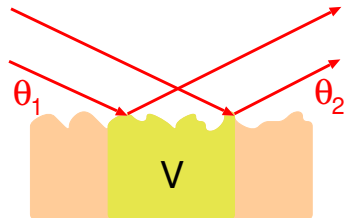


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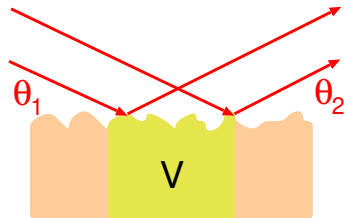
Thus, the integral need only be evaluated over the top, rough surface whose variation we characterize by the function $h(x, y)$

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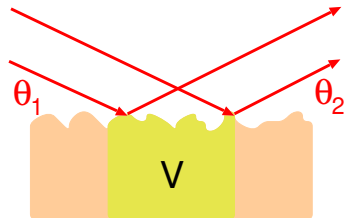
$$\vec{Q} \cdot \vec{r} = Q_z h(x, y) + Q_x x + Q_y y$$

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Evaluation of surface integral



The side surfaces of the volume do not contribute to this integral as they are along the $\hat{z}$ direction, and we can also choose the thickness of the slab sufficiently large such that the lower surface will not contribute.



Thus, the integral need only be evaluated over the top, rough surface whose variation we characterize by the function $h(x, y)$

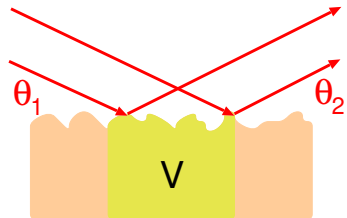
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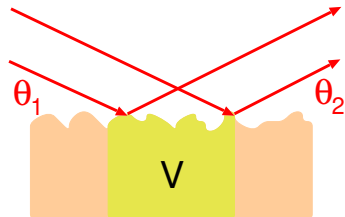
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Scattering cross section



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where $A_0 / \sin \theta_1$ is just the illuminated surface area and the term in the **angled brackets** is an ensemble average over all possible choices of the origin within the illuminated area. Finally, it is assumed that the statistics of the height variation are Gaussian and

$$\left(\frac{d\sigma}{d\Omega}\right) = \left(\frac{r_0\rho}{Q_z}\right)^2 \frac{A_0}{\sin \theta_1} \int e^{-Q_z^2 \langle [h(0,0)-h(x,y)]^2 \rangle / 2} e^{iQ_x x} e^{iQ_y y} dx dy$$

Limiting Case - Flat surface



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Uncorrelated surfaces



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Surface roughness effect



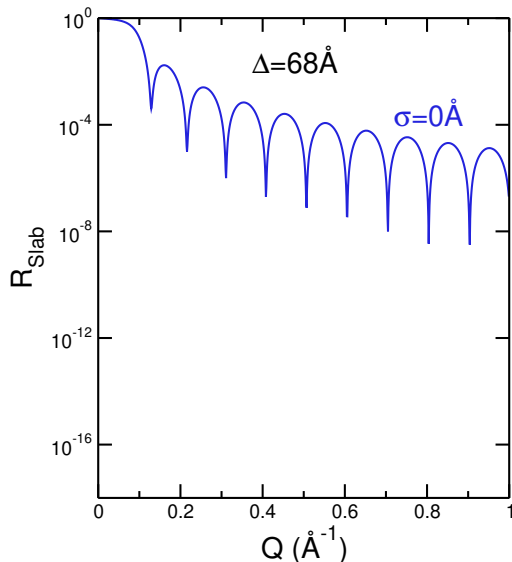
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for a perfectly flat surface, we get the Fresnel reflectivity derived for a thin slab



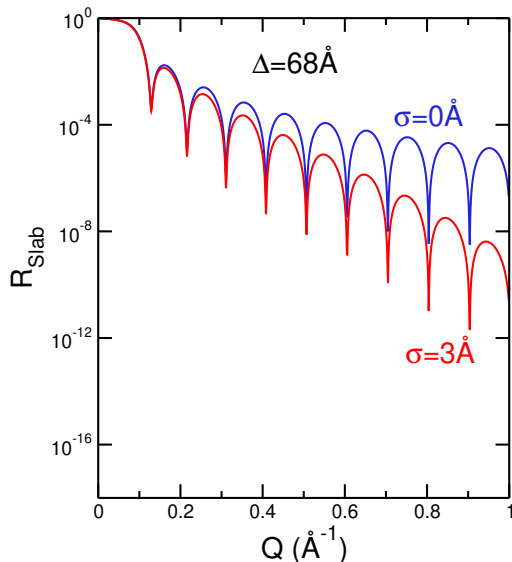
Surface roughness effect



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for a **perfectly flat surface**, we get the Fresnel reflectivity derived for a thin slab

for an **uncorrelated rough surface**, the reflectivity is reduced by an exponential factor controlled by the rms surface roughness σ



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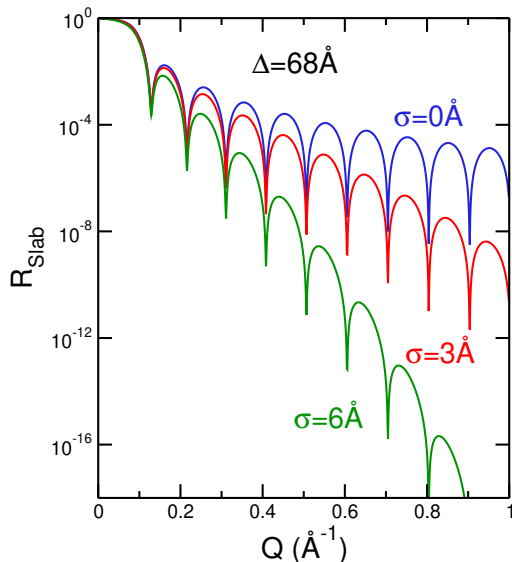


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for an **uncorrelated rough surface**, the reflectivity is reduced by an exponential factor controlled by the rms surface roughness σ

this leads to a **rapid drop in reflectivity** as the surface roughness increases





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$$g(x, y) = g(r) = g(\sqrt{x^2 + y^2})$$



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Unbounded correlations - limiting cases



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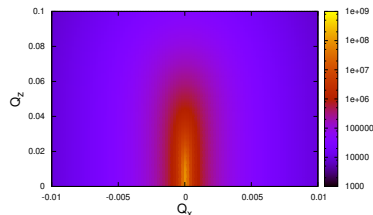


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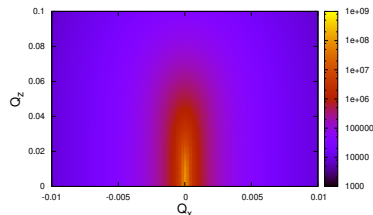
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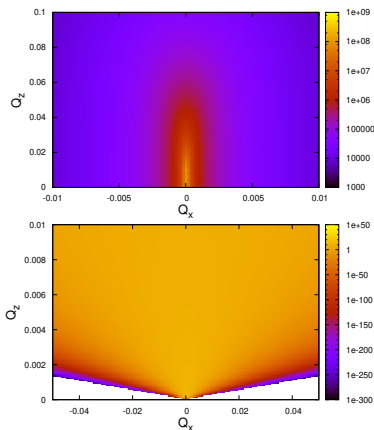
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And the scattering exhibits both a specular peak, reduced by uncorrelated roughness, and diffuse scattering from the correlated portion of the surface