• Standing wave experiments

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Homework Assignment #05: Chapter 5: 1, 3, 7, 9, 10 due Thursday, April 02, 2020



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A high resolution monochromator is required for this kind of experiment

#### Beam line ID32 @ ESRF



The low temperature  $3 \times 3$  structure (dashed line) is well known but the room temperature  $\sqrt{3} \times \sqrt{3}$  surface structure (solid line) is unresolved



"Chemically resolved structure of the Sn/Ge(111) surface," T.-L. Lee, S. Warren, B.C.C. Cowie, and J. Zengenhagen, *Phys. Rev. Lett.* **96**, 046103 (2006).

C. Segre (IIT)

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Above 0.2 ML, the  $\sqrt{3} \times \sqrt{3}$  structure appears and then dominates



Rev. Lett. 96, 046103 (2006).

PHYS 570 - Spring 2020

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The lines for both the Sn  $3d_{5/2}$  and 4d peaks in the  $2 \times 2$  phase are sharp, indicating a single chemical state

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The  $\sqrt{3} \times \sqrt{3}$  structure shows two distinct chemical shifts, with the majority component,  $l_1$ , having a slightly lower binding energy than the minority component,  $l_2$ 

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By varying the energy with a resolution of 500 meV, the standing wave is swept through the Sn layer



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By varying the energy with a resolution of 500 meV, the standing wave is swept through the Sn layer

As the energy is scanned around the center of the Ge(111) reflection, the fits using a mixture of Gaussian and Lorentzian line shapes show that the relative intensity,  $l_1/l_2$  varies



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At  $\Delta E_{\gamma} = 0.45$  eV, the  $l_1/l_2$  ratio almost completely inverts, showing that the two atom populations are at different heights above the surface



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The normalized peak intensities can be fitted to extract the relative positions of the two populations of atoms and their atomic ratio



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Population 1 is two times larger than population 2 and is located a height  $\Delta h = 0.23$  Å further from the Ge(111) surface



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Population 1 also has a lower binding energy, demonstrating that the binding energy is directly correlated to the height from the surface



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• Asymmetric reflections

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- Dumond diagrams

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Practically, it is nearly impossible to obtain this perfect alignment, therefore it is important to understand the consequences of what is called asymmetric Bragg geometry

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So far, the dynamical diffraction theory has focused on the symmetric Bragg geometry where the crystal surface aligns with the diffracting planes

Practically, it is nearly impossible to obtain this perfect alignment, therefore it is important to understand the consequences of what is called asymmetric Bragg geometry

Recall that for a perfect crystal in symmetric Bragg geometry, the angular acceptance of the Darwin curve is

$$\omega_D = \delta\theta_D = \zeta_D \tan\theta_{Bragg}$$

When the diffracting planes are not precisely aligned with the surface of the crystal it is parametrized by the asymmetry angle,  $\alpha$ , with



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according to Liouville's theorem, phase space is invariant so the divergence of the beam,  $\delta\theta$ , must also change



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output divergence on left, input divergence on right

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The measured "rocking" curve from a two crystal system is a convolution of the Darwin curves of both crystals. When the two crystals have a matched asymmetry, we get a triangle. When one asymmetry is much higher, then we can measure the Darwin curve of a single crystal.



output divergence on left, input divergence on right

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Transfer function of an optical element parametrized by angle and wavelength.



Transfer function of an optical element parametrized by angle and wavelength. Here Darwin width is ignored.



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a horizontal line transfers input to output beam characteristics

Including the Darwin width, we have a bandpass in wavelength.



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this input bandwidth is transferred to a similar output bandwidth which is also collimated

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a perfectly collimated input beam transfers to an output beam that has an angular divergence which depends on the asymmetry factor *b* 

this is in addition to a compression (in this case) of the beam height (Liouville's theorem!)

#### Double crystal monochromator: Non-dispersive



#### Double crystal monochromator: Non-dispersive



### Double crystal monochromator: Non-dispersive



the transfer functions of the the two crystals match and full bandwith and divergence is preserved



#### Double crystal monochromators: Dispersive



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### Double crystal monochromators: Dispersive



the transfer function matches only in small band that varies with angle of the second crystal



# Asymmetric monochromator at ELETTRA



"High-throughput asymmetric double-crystal monochromator of the SAXS beamline at ELETTRA," S. Bernstorff, H. Amentisch, and P. Laggner, *J. Synchrotron Rad.* 5, 1215-1221 (1998).

# Asymmetric monochromator at ELETTRA



The SAXS beamline at ELETTRA has asymmetric cut crystals with  $2^\circ$  grazing incidence in order to spread the heat load

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The three crystals are set for single energies of 5.6, 8.0, and 16 keV with a vertical displacement of 1.5 m and asymmetry parameter, b, of 0.053, 0.078, and 0.17, respectively

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#### Today's Outline - March 24, 2020 (part C)

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Quantum mechanics is simply a wave theory where the wave function describes the entire system and can be used to compute all the measureable properties of the system

Quantum mechanics uses some simplifying notation and has a one-to-one correspondence with linear algebra and matrix methods

Paul Dirac developed a formalism for quantum mechanics which is commonly used. We will see it in detail in Chapter 3, however one part of this formalism is a compact notation which simplifies writing expectation value integrals. We will start using this "bra-ket" notation immediately.

integral bra-ket

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integral	bra-ket	
$\psi^*(x)$	$\langle \psi  $	complex conjugate is

bra

	integral	bra-ket	
bra	$\psi^*(x)$	$\langle\psi $	complex conjugate is implicit
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ket	$\psi(x)$	$ \psi angle$	
normalization	$\int \psi^*(x)\psi(x)dx = 1$	$\langle \psi \mid \psi  angle = 1$	
expectation value	$\int \psi^* \mathbf{Q} \psi d\mathbf{x}$	$\langle\psi {m Q}\psi angle$	operator is applied to the right

Wave functions are mathematically identical to **vectors** in linear algebra.

C. Segre (IIT)

 $|\alpha\rangle$ 

Wave functions are mathematically identical to **vectors** in linear algebra.

$$|\alpha\rangle \rightarrow \mathbf{a} = \begin{pmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \\ \vdots \\ \mathbf{a}_N \end{pmatrix}$$

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1

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 $\langle \alpha | \beta \rangle$ 

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$$T|\alpha\rangle \to \mathsf{Ta} = \begin{pmatrix} t_{11} & \cdots & t_{1N} \\ t_{21} & \cdots & t_{2N} \\ \vdots & & \vdots \\ t_{N1} & \cdots & t_{NN} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_N \end{pmatrix}$$

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$$\begin{aligned} \mathbf{a}(|\alpha\rangle + |\beta\rangle) &= \mathbf{a}|\alpha\rangle + \mathbf{a}|\beta\rangle \\ (\mathbf{a} + \mathbf{b})|\alpha\rangle &= \mathbf{a}|\alpha\rangle + \mathbf{b}|\alpha\rangle \end{aligned}$$

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$$\begin{aligned} \mathsf{a}(\mathsf{b}|\alpha\rangle) &= (\mathsf{a}\mathsf{b})|\alpha\rangle \\ \mathsf{0}|\alpha\rangle &= |\mathsf{0}\rangle \\ \mathsf{1}|\alpha\rangle &= |\alpha\rangle \\ |-\alpha\rangle &= (-1)|\alpha\rangle = -|\alpha\rangle \end{aligned}$$

### Today's Outline - March 24, 2020 (part D)

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• Absorption cross-sections
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Now we will treat absorption and inelastic scattering of photons, which must be treated using quantum mechanics

#### Total cross section



The total cross-section for photon "absorption" includes elastic (or coherent) scattering, Compton (inelastic) scattering, and photoelectric absorption.

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These quantities vary significantly over many decades but can easily put on an equal footing.

$$T = \frac{I}{I_0} = e^{-\mu z}$$

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scale  $\sigma_a$  for different elements by  $E^3/Z^4$  and plot together



remarkably, all values lie on a common curve above the K edge and between the L and K edges and below the L edge

C. Segre (IIT)

PHYS 570 - Spring 2020

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Roman numerals increase from low to high values of I and j

C. Segre (IIT)

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The interaction Hamiltonian is expressed in terms of the electromagnetic vector potential

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The first term gives absorption while the second produces Thomson scattering so we take only the first into consideration now.

C. Segre (IIT)

PHYS 570 - Spring 2020

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#### Thus

$$\mathcal{M}_{if} = rac{e}{m} \sqrt{rac{\hbar}{2\epsilon_0 V \omega}} \left[ {}_{e} \langle 1 |_{\gamma} \langle 0 | (ec{p} \cdot \hat{arepsilon}) a e^{iec{k} \cdot ec{r}} + (ec{p} \cdot \hat{arepsilon}) a^{\dagger} e^{-iec{k} \cdot ec{r}} | 1 
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angle_\gamma | 0 
angle_e 
ight]$$

The calculation is simplified if the interaction Hamiltonian is applied to the left since the final state has only a free electron and no photon

C. Segre (IIT)

PHYS 570 - Spring 2020

The free electron state is an eigenfunction of the electron momentum operator

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$$M_{if} = \frac{e}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V\omega}} \left[ \hbar (\vec{q} \cdot \hat{\varepsilon})_e \langle 1|_\gamma \langle 1|e^{i\vec{k} \cdot \vec{r}}|1\rangle_\gamma |0\rangle_e + 0 \right]$$

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angle_e + 0 
ight] \ &= rac{e \hbar}{m} \sqrt{rac{\hbar}{2\epsilon_0 V \omega}} (ec{q} \cdot \hat{arepsilon})_e \langle 1 | e^{iec{k} \cdot ec{r}} | 0 
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C. Segre (IIT)

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$$\begin{split} M_{if} &= \frac{e}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} \left[ \hbar (\vec{q} \cdot \hat{\varepsilon})_e \langle 1|_\gamma \langle 1| e^{i\vec{k} \cdot \vec{r}} |1 \rangle_\gamma |0 \rangle_e + 0 \right] \\ &= \frac{e\hbar}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} (\vec{q} \cdot \hat{\varepsilon})_e \langle 1| e^{i\vec{k} \cdot \vec{r}} |0 \rangle_e = \frac{e\hbar}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V \omega}} (\vec{q} \cdot \hat{\varepsilon}) \int \psi_f^* e^{i\vec{k} \cdot \vec{r}} \psi_i d\vec{r} \end{split}$$

$$M_{if} = \frac{e\hbar}{m} \sqrt{\frac{\hbar}{2\epsilon_0 V\omega}} (\vec{q} \cdot \hat{\varepsilon}) \int \psi_f^* e^{i\vec{k}\cdot\vec{r}} \psi_i d\vec{r}$$

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#### The integral thus becomes

which is the Fourier transform of the initial state 1s electron wave function

the overall matrix element squared for a particular photoelectron final direction ( $\varphi,\,\theta)$  is

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$$|M_{if}|^2 = \left(\frac{e\hbar}{m}\right)^2 \frac{\hbar}{2\epsilon_0 V^2 \omega} (q^2 \sin^2 \theta \cos^2 \varphi) \phi^2(\vec{Q})$$

the overall matrix element squared for a particular photoelectron final direction ( $\varphi$ ,  $\theta$ ) is

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and the final cross-section per K electron can now be computed as

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and the final cross-section per K electron can now be computed as

$$\sigma_{a} = \frac{2\pi}{\hbar c} \frac{V^2}{4\pi^3} \left(\frac{e\hbar}{m}\right)^2 \frac{\hbar}{2\epsilon_0 V^2 \omega} I_3$$

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and the final cross-section per K electron can now be computed as

$$\sigma_{a} = \frac{2\pi}{\hbar c} \frac{V^{2}}{4\pi^{3}} \left(\frac{e\hbar}{m}\right)^{2} \frac{\hbar}{2\epsilon_{0}V^{2}\omega} I_{3} = \left(\frac{e\hbar}{m}\right)^{2} \frac{1}{4\pi^{2}\epsilon_{0}c\omega} I_{3}$$

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$$I_3 = \int \phi^2(\vec{Q}) q^2 \sin^2 \theta \cos^2 \varphi \delta(\mathcal{E}_f - \mathcal{E}_i) q^2 \sin \theta dq d\theta d\phi$$

# Calculated cross section



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