## Today's Outline - October 31, 2016

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- Dumond diagrams


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- Monochromators


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Homework Assignment \#05:
Chapter 5: 1, 3, 7, 9, 10
due Wednesday, November 02, 2016

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- Dumond diagrams
- Monochromators
- Photoelectric absorption
- Cross-section of an isolated atom
- X-ray absorption spectroscopy
- EXAFS of NFA steels

Homework Assignment \#05:
Chapter 5: 1, 3, 7, 9, 10
due Wednesday, November 02, 2016
Homework Assignment \#06:
Chapter 6: 1,6,7,8,9
due Monday, November 14, 2016

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- Dumond diagrams
- Monochromators
- Photoelectric absorption
- Cross-section of an isolated atom
- X-ray absorption spectroscopy
- EXAFS of NFA steels

Homework Assignment \#05:
Chapter 5: 1, 3, 7, 9, 10
due Wednesday, November 02, 2016
Homework Assignment \#06:
Chapter 6: 1,6,7,8,9
due Monday, November 14, 2016
No class on Wednesday, November 09, 2016

## Final projects \& presentations

In-class student presentations on research topics

- Choose a research article which features a synchrotron technique
- Get it approved by instructor first!
- Schedule a 15 minute time on Final Exam Day (tentatively, Monday, December 5, 2016, will confirm times)


## Final projects \& presentations

In-class student presentations on research topics

- Choose a research article which features a synchrotron technique
- Get it approved by instructor first!
- Schedule a 15 minute time on Final Exam Day (tentatively, Monday, December 5, 2016, will confirm times)

Final project - writing a General User Proposal

- Think of a research problem (could be yours) that can be approached using synchrotron radiation techniques
- Make proposal and get approval from instructor before starting
- Must be different techique than your presentation!


## Asymmetric geometry

In general the diffracting planes are not precisely aligned with the surface of the crystal.


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## Rocking curve measurements

The measured "rocking" curve from a two crystal system is a convolution of the Darwin curves of both crystals.

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The measured "rocking" curve from a two crystal system is a convolution of the Darwin curves of both crystals. When the two crystals have a matched asymmetry, we get a triangle.
$\mathrm{Si}(111) \mathrm{b}=4.3-\mathrm{Si}(111) \mathrm{b}=0.23$

output divergence on left, input divergence on right

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$\mathrm{Si}(111) \mathrm{b}=4.3-\mathrm{Si}(111) \mathrm{b}=0.23$

$\operatorname{Si}(111) \mathrm{b}=0.23-\mathrm{Si}(111) \mathrm{b}=4.3$

output divergence on left, input divergence on right

## Rocking curve measurements

The measured "rocking" curve from a two crystal system is a convolution of the Darwin curves of both crystals. When the two crystals have a matched asymmetry, we get a triangle. When one asymmetry is much higher, then we can measure the Darwin curve of a single crystal.


output divergence on left, input divergence on right

## Dumond diagram: no Darwin width

Transfer function of an optical element parameterized by angle and wavelength.


## Dumond diagram: no Darwin width

Transfer function of an optical element parameterized by angle and wavelength. Here Darwin width is ignored.


## Dumond diagram: symmetric Bragg

Including the Darwin width, we have a bandpass in wavelength.


## Dumond diagram: symmetric Bragg

Including the Darwin width, we have a bandpass in wavelength. If input beam is perfectly collimated, so is output (vertical black line).


## Dumond diagram: asymmetric Bragg

For asymmetric crystal, the output beam is no longer collimated but acquires a divergence $\alpha_{e}$


## Double crystal monochromators



## Double crystal monochromators



## Double crystal monochromators



## Double crystal monochromators



## Total cross section



The total cross-section for photon "absorption" includes elastic (or coherent) scattering, Compton (inelastic) scattering, and photoelectric absorption.

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Characteristic absorption jumps depend on the element

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Characteristic absorption jumps depend on the element

These quantities vary significantly over many decades but can easily put on an equal footing.

## Scaled absorption

$$
T=\frac{l}{l_{0}}=e^{-\mu z}
$$

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scale $\sigma_{a}$ for different elements by $E^{3} / Z^{4}$ and plot together

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scale $\sigma_{a}$ for different elements by $E^{3} / Z^{4}$ and plot together

remarkably, all values lie on a common curve above the K edge and between the $L$ and $K$ edges and below the $L$ edge

## Calculation of $\sigma_{a}$

From first-order perturbation theory, the absorption cross section is given by

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From first-order perturbation theory, the absorption cross section is given by

$$
\sigma_{a}=\frac{2 \pi}{\hbar c} \frac{V^{2}}{4 \pi^{3}} \int\left|M_{i f}\right|^{2} \delta\left(\mathcal{E}_{f}-\mathcal{E}_{i}\right) q^{2} \sin \theta d q d \theta d \varphi
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where the matrix element $M_{i f}$ between the initial, $\langle i|$, and final, $|f\rangle$, states is given by

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The interaction Hamiltonian is ex-

$$
\mathcal{H}_{l}=\frac{e \vec{p} \cdot \vec{A}}{m}+\frac{e^{2} A^{2}}{2 m}
$$ pressed in terms of the electromagnetic vector potential

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The interaction Hamiltonian is expressed in terms of the electromagnetic vector potential

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\mathcal{H}_{l}=\frac{e \vec{p} \cdot \vec{A}}{m}+\frac{e^{2} A^{2}}{2 m}
$$

$$
\vec{A}=\hat{\varepsilon} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}\left[a_{k} e^{i \vec{k} \cdot \vec{r}}+a_{k}^{\dagger} e^{-i \vec{k} \cdot \vec{r}}\right]
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$$

The first term gives absorption

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$$

The first term gives absorption while the second produces Thomson scattering so we take only the first into consideration now.

## Free electron approximation

In order to evaluate the $M_{\text {if }}$ matrix element we define the initial and final states

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## Free electron approximation

In order to evaluate the $M_{\text {if }}$ matrix element we define the initial and final states
the initial state has a photon and a K electron (no free electron)
similarly, the final state has no photon and an ejected free electron (ignoring the core hole and charged ion)

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Thus

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M_{i f}=\frac{e}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}\left[e\left\langle\left. 1\right|_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a e^{i \vec{k} \cdot \vec{r}}+(\vec{p} \cdot \hat{\varepsilon}) a^{\dagger} e^{-i \vec{k} \cdot \vec{r}} \mid 1\right\rangle_{\gamma}|0\rangle_{e}\right]
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$$

The calculation is simplified if the interaction Hamiltonian is applied to the left since the final state has only a free electron and no photon

## Free electron approximation

The free electron state is an eigenfunction of the electron momentum operator

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$$
e^{\langle } 11 \mid \vec{p}=(\hbar \vec{q})_{e}\langle 1|
$$

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$$
e^{\langle } 11\left|\vec{p}=(\hbar \vec{q})_{e}<1\right|
$$

The free electron state is an eigenfunction of the electron momentum operator
The annihilation operator applied to the left creates a photon

## Free electron approximation

$$
\begin{aligned}
& e\langle 1| \vec{p}=(\hbar \vec{q})_{e}\langle 1| \\
& { }_{\gamma}\langle n| a=(\sqrt{n+1})_{\gamma}\langle n+1| a
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The free electron state is an eigenfunction of the electron momentum operator
The annihilation operator applied to the left creates a photon while the creation operator annihilates a photon when applied to the left.

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\end{aligned}
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& { }_{\gamma}\langle n| a^{\dagger}=(\sqrt{n}){ }_{\gamma}\langle n-1| a \\
& \text { operator } \\
& e^{\langle }\left\langle 1{ }_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a=\hbar(\vec{q} \cdot \hat{\varepsilon}){ }_{e}\left\langle\left. 1\right|_{\gamma}\langle 1|\right.\right. \\
& e^{\langle 1} \mid{ }_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a^{\dagger}=0 \\
& M_{i f}=\frac{e}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}\left[\hbar(\vec{q} \cdot \hat{\varepsilon})_{e}\left\langle\left. 1\right|_{\gamma}\langle 1| e^{i \vec{k} \cdot \vec{r}} \mid 1\right\rangle_{\gamma}|0\rangle_{e}+0\right]
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& e^{\left\langle\left. 1\right|_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a=\hbar(\vec{q} \cdot \hat{\varepsilon}){ }_{e}\left\langle\left. 1\right|_{\gamma}\langle 1|\right.\right.} \\
& e^{\langle }\left\langle 1{ }_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a^{\dagger}=0\right. \\
& M_{i f}=\frac{e}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}\left[\hbar(\vec{q} \cdot \hat{\varepsilon})_{e}\left\langle\left. 1\right|_{\gamma}\langle 1| e^{i \vec{k} \cdot \vec{r}} \mid 1\right\rangle_{\gamma}|0\rangle_{e}+0\right] \\
& =\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon})_{e}\langle 1| e^{i \vec{k} \cdot \vec{r}}|0\rangle_{e}
\end{aligned}
$$

## Free electron approximation

$$
\begin{aligned}
& e^{\langle }\langle 1| \vec{p}=(\hbar \vec{q}){ }_{e}\langle 1| \\
& { }_{\gamma}\langle n| a=(\sqrt{n+1}){ }_{\gamma}\langle n+1| a \\
& { }_{\gamma}\langle n| a^{\dagger}=(\sqrt{n})_{\gamma}\langle n-1| a \\
& \text { function of the electron momentum } \\
& \text { operator } \\
& \text { The annihilation operator applied } \\
& \text { to the left creates a photon while } \\
& \text { the creation operator annihilates a } \\
& \text { photon when applied to the left. } \\
& { }_{e}\left\langle\left. 1\right|_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a=\hbar(\vec{q} \cdot \hat{\varepsilon})_{e}\left\langle\left. 1\right|_{\gamma}\langle 1|\right.\right. \\
& e^{\langle }\left\langle 1{ }_{\gamma}\langle 0|(\vec{p} \cdot \hat{\varepsilon}) a^{\dagger}=0\right. \\
& M_{i f}=\frac{e}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}\left[\hbar(\vec{q} \cdot \hat{\varepsilon})_{e}\left\langle\left. 1\right|_{\gamma}\langle 1| e^{i \vec{k} \cdot \vec{r}} \mid 1\right\rangle_{\gamma}|0\rangle_{e}+0\right] \\
& =\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon})_{e}\langle 1| e^{i \vec{k} \cdot \vec{r}}|0\rangle_{e}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}
\end{aligned}
$$

The free electron state is an eigen-

## Photoelectron integral

$$
M_{i f}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}
$$

## Photoelectron integral

$$
M_{i f}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \phi(\vec{Q})
$$

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$$

The initial electron wavefunction is simply that of a $1 s$ atomic state

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$$

$$
\psi_{i}=\psi_{1 s}(\vec{r})
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The initial electron wavefunction is simply that of a 1 s atomic state while the final state is approximated as a plane wave

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$$

$$
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$$

The initial electron wavefunction is simply that of a 1 s atomic state

$$
\phi(\vec{Q})=\sqrt{\frac{1}{V}} \int e^{-i \vec{q} \cdot \vec{r}} e^{i \vec{k} \cdot \vec{r}} \psi_{1 s}(\vec{r}) d \vec{r}
$$ while the final state is approximated as a plane wave

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$$
M_{i f}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \phi(\vec{Q})
$$

$$
\psi_{i}=\psi_{1 s}(\vec{r}) \quad \psi_{f}=\sqrt{\frac{1}{V}}^{i \vec{q} \cdot \vec{r}}
$$

The initial electron wavefunction is

$$
\phi(\vec{Q})=\sqrt{\frac{1}{V}} \int e^{-i \vec{q} \cdot \vec{r}} e^{i \vec{k} \cdot \vec{F}} \psi_{1 s}(\vec{r}) d \vec{r}
$$ simply that of a $1 s$ atomic state while the final state is approximated as a plane wave

$$
=\sqrt{\frac{1}{V}} \int \psi_{1 s}(\vec{r}) e^{i(\vec{k}-\vec{q}) \cdot \vec{r}} d \vec{r}
$$

The integral thus becomes

## Photoelectron integral

$$
\begin{aligned}
M_{i f} & =\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \phi(\vec{Q}) \\
\psi_{i} & =\psi_{1 s}(\vec{r}) \quad \psi_{f}=\sqrt{\frac{1}{V}} e^{i \vec{q} \cdot \vec{r}} \quad \begin{array}{ll}
\text { The initial electron wavefunction is } \\
\text { simply that of a 1s atomic state } \\
\text { while the final state is approxi- } \\
\text { mated as a plane wave }
\end{array} \\
\phi(\vec{Q}) & =\sqrt{\frac{1}{V} \int e^{-i \vec{q} \cdot \vec{r}} e^{i \vec{k} \cdot \vec{r}} \psi_{1 s}(\vec{r}) d \vec{r}} \quad \\
& =\sqrt{\frac{1}{V} \int \psi_{1 s}(\vec{r}) e^{i(\vec{k}-\vec{q}) \cdot \vec{r}} d \vec{r}} \quad \begin{array}{ll}
\text { The integral thus becomes }
\end{array} \\
& =\sqrt{\frac{1}{V} \int \psi_{1 s}(\vec{r}) e^{i \vec{Q} \cdot \vec{r}} d \vec{r}} \quad
\end{aligned}
$$

## Photoelectron integral

$$
\begin{array}{rlrl}
M_{i f} & =\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \int \psi_{f}^{*} e^{i \vec{k} \cdot \vec{r}} \psi_{i} d \vec{r}=\frac{e \hbar}{m} \sqrt{\frac{\hbar}{2 \epsilon_{0} V \omega}}(\vec{q} \cdot \hat{\varepsilon}) \phi(\vec{Q}) \\
\psi_{i} & =\psi_{1 s}(\vec{r}) \quad \psi_{f}=\sqrt{\frac{1}{V}} e^{i \vec{q} \cdot \vec{r}} & \begin{array}{l}
\text { The initial electron wavefunction is } \\
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\text { The integral thus becomes }
\end{array} \\
& =\sqrt{\frac{1}{V} \int \psi_{1 s}(\vec{r}) e^{i(\vec{k}-\vec{q}) \cdot \vec{r}} d \vec{r}} \quad \begin{array}{ll}
\text { which is the Fourier transform of } \\
\text { the initial state 1s electron wave } \\
\text { function }
\end{array} &
\end{array}
$$

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the overall matrix element squared for a particular photoelectron final direction $(\varphi, \theta)$ is

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$$

and the final cross-section per $K$ electron can now be computed as

$$
\sigma_{a}=\frac{2 \pi}{\hbar c} \frac{V^{2}}{4 \pi^{3}}\left(\frac{e \hbar}{m}\right)^{2} \frac{\hbar}{2 \epsilon_{0} V^{2} \omega} I_{3}
$$

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$$

and the final cross-section per $K$ electron can now be computed as

$$
\sigma_{a}=\frac{2 \pi}{\hbar c} \frac{V^{2}}{4 \pi^{3}}\left(\frac{e \hbar}{m}\right)^{2} \frac{\hbar}{2 \epsilon_{0} V^{2} \omega} I_{3}=\left(\frac{e \hbar}{m}\right)^{2} \frac{1}{4 \pi^{2} \epsilon_{0} c \omega} I_{3}
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$$

and the final cross-section per $K$ electron can now be computed as

$$
\sigma_{a}=\frac{2 \pi}{\hbar c} \frac{V^{2}}{4 \pi^{3}}\left(\frac{e \hbar}{m}\right)^{2} \frac{\hbar}{2 \epsilon_{0} V^{2} \omega} I_{3}=\left(\frac{e \hbar}{m}\right)^{2} \frac{1}{4 \pi^{2} \epsilon_{0} c \omega} I_{3}
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$$

where the integral $I_{3}$ is given by

$$
I_{3}=\int \phi^{2}(\vec{Q}) q^{2} \sin ^{2} \theta \cos ^{2} \varphi \delta\left(\mathcal{E}_{f}-\mathcal{E}_{i}\right) q^{2} \sin \theta d q d \theta d \phi
$$

## Calculated cross section



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## What is XAFS?

X-ray Absorption Fine-Structure (XAFS) is the modulation of the x-ray absorption coefficient at energies near and above an x-ray absorption edge. XAFS is also referred to as X-ray Absorption Spectroscopy (XAS) and is broken into 2 regimes:
$\begin{array}{ll}\text { XANES } & \text { X-ray Absorption Near-Edge Spectroscopy } \\ \text { EXAFS } & \text { Extended X-ray Absorption Fine-Structure }\end{array}$
which contain related, but slightly different information about an element's local coordination and chemical state.


Fe K-edge XAFS for FeO

## XAFS Characteristics:

- local atomic coordination
- chemical / oxidation state
- applies to any element
- works at low concentrations
- minimal sample requirements


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Any excess energy from the $x$-ray is given to an ejected photoelectron, which expands as a spherical wave, reaches the neighboring electron clouds, and scatters back to the core hole, creating interference patterns called XANES and EXAFS.


## EXAFS data extraction

normalize by fitting pre-edge and post-edge trends


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Fourier transform to get real space EXAFS


## XANES edge shifts and pre-edge peaks



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Modern codes, such as FEFF9, are able to accurately compute XANES features.

