## Today's Outline - October 26, 2016

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Homework Assignment \#05:
Chapter 5: 1, 3, 7, 9, 10 due Wednesday, November 02, 2016

Friday, October 28 APS visit is cancelled!

## Kinematical vs. dynamical diffraction



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## Kinematical vs. dynamical diffraction

Mosaic blocks of small perfect crystals


The kinematical approximation we have discussed so far applies to mosaic crystals. The size of the crystal is small enough that the wave field of the x-rays does not vary appreciably over the crystal.

For a perfect crystal, such as those used in monochromators, things are very different and we have to treat them specially using dynamical diffraction theory.

This theory takes into account multiple reflections, and attenuation of the x-ray beam as it propagates through the perfect crystal.

## Bragg \& Laue geometries

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Bragg

symmetric

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## Scattering geometry

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This defines a spread of scattering vectors such that

$$
\zeta=\frac{\Delta Q}{Q}=\frac{\Delta k}{k}
$$

called the relative energy or wavelength bandwidth


## Dynamical diffraction - Darwin approach

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if the layer is made up of unit cells with volume $v_{c}$ and structure factor $F \xrightarrow{Q=0} Z$, the electron density is $\rho=|F| / v_{c}$ and using the Bragg condition, we can rewrite $g$ as


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 using the Bragg condition, we can rewrite $g$ as

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g=\frac{[2 d \sin \theta / m] r_{0}\left(|F| / v_{c}\right) d}{\sin \theta}=\frac{1}{m} \frac{2 d^{2} r_{0}}{v_{c}}|F|
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## Dynamical diffraction - Darwin approach

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where $F_{0}$ is the forward scattering factor at $Q=\theta=0$

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where the x-rays pass through each layer twice
these $N$ unit cell layers will give a reciprocal lattice with points at multiples of $G=2 \pi / d$ we are interested in small deviations from the Bragg condition:

$$
\zeta=\frac{\Delta Q}{Q}=\frac{\Delta k}{k}=\frac{\Delta \mathcal{E}}{\mathcal{E}}=\frac{\Delta \lambda}{\lambda}
$$

## Multiple Layer Reflection

$$
r_{N}(Q)=-i g \sum_{j=0}^{N-1} e^{i\left(Q d-2 g_{0}\right) j}
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## Multiple Layer Reflection

The term in the phase factor now be-
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& =-i g \sum_{j=0}^{N-1} 1 \cdot e^{i 2 \pi\left(m \zeta-g_{0} / \pi\right)}
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This geometric series can be summed as usual

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## Diffraction in the kinematical limit

Recall that in the kinematical limit, the diffraction from many atomic layers is given by

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## Diffraction in the kinematical limit



## Difference equation review



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$$
\frac{g=\frac{\lambda r_{0} \rho d}{\sin \theta}, \quad g_{0}=\frac{\left|F_{0}\right|}{|F|} g}{2} \quad \Delta=m \pi \zeta, \quad \zeta=\frac{\Delta \lambda}{\lambda}
$$

## Difference equation review



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\begin{aligned}
& g=\frac{\lambda r_{0} \rho d}{\sin \theta}, \quad g_{0}=\frac{\left|F_{0}\right|}{|F|} g \\
& \Delta=m \pi \zeta, \quad \zeta=\frac{\Delta \lambda}{\lambda}
\end{aligned}
$$

Where $g_{0}$ is the absorption due to a single atomic layer, $g$ is the reflection coefficient from a single atomic layer, and $\Delta$ is the small deviation from the Bragg condition of the phase angle $\phi=m \pi+\Delta$.

## Difference equation review



$$
\begin{aligned}
T_{j+1} & =e^{-\eta} e^{i m \pi} T_{j} \\
g & =\frac{\lambda r_{0} \rho d}{\sin \theta}, \quad g_{0}=\frac{\left|F_{0}\right|}{|F|} g \\
\Delta & =m \pi \zeta, \quad \zeta=\frac{\Delta \lambda}{\lambda}
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& T_{j+1}=e^{-\eta} e^{i m \pi} T_{j} \\
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T_{j+1} & =e^{-\eta} e^{i m \pi} T_{j} \\
S_{j+1} & =e^{-\eta} e^{i m \pi} S_{j} \\
i \eta & = \pm \sqrt{\left(\Delta-g_{0}\right)^{2}-g^{2}} \\
g & =\frac{\lambda r_{0} \rho d}{\sin \theta}, \quad g_{0}=\frac{\left|F_{0}\right|}{|F|} g \\
\Delta & =m \pi \zeta, \quad \zeta=\frac{\Delta \lambda}{\lambda}
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## Reflectivity of a perfect crystal

In order to calculate the absolute reflectivity curve, solve for $S_{0}$ and $T_{0}$ using the solution and the recursive relations.


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S_{j} & =-i g T_{j}+\left(1-g_{0}\right) S_{j+1} e^{i \phi}
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In order to calculate the absolute reflectivity curve, solve for $S_{0}$ and $T_{0}$ using the solution and the recursive relations.


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S_{0} & =-i g T_{0} \\
& +\left(1-g_{0}\right) S_{0} e^{-\eta} e^{i m \pi} e^{i m \pi} e^{i \Delta}
\end{aligned}
$$

## Reflectivity of a perfect crystal

In order to calculate the absolute reflectivity curve, solve for $S_{0}$ and $T_{0}$ using the solution and the recursive relations.

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S_{0}\left[1-\left(1-g_{0}\right) e^{-\eta} e^{i 2 m \pi} e^{i \Delta}\right]=-i g T_{0}
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## Reflectivity of a perfect crystal

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& S_{1}=e^{-\eta} e^{i m \pi} S_{0} \\
& S_{0}=-i g T_{0}+\left(1-g_{0}\right) S_{1} e^{i \phi}
\end{aligned}
$$

$$
S_{0}=-i g T_{0}
$$

$$
+\left(1-g_{0}\right) S_{0} e^{-\eta} e^{i m \pi} e^{i m \pi} e^{i \Delta}
$$

$$
S_{0}\left[1-\left(1-g_{0}\right) e^{-\eta} e^{i 2 m \pi} e^{i \Delta}\right]=-i g T_{0}
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## Reflectivity of a perfect crystal

In order to calculate the absolute reflectivity curve, solve for $S_{0}$ and $T_{0}$ using the solution and the recursive relations.


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& S_{1}=e^{-\eta} e^{i m \pi} S_{0} \\
& S_{0}=-i g T_{0}+\left(1-g_{0}\right) S_{1} e^{i \phi}
\end{aligned}
$$

$$
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$$
+\left(1-g_{0}\right) S_{0} e^{-\eta} e^{i m \pi} e^{i m \pi} e^{i \Delta}
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$S_{0}\left[1-\left(1-g_{0}\right) e^{-\eta} e^{i 2 m \pi} e^{i \Delta}\right]=-i g T_{0}$
$\frac{S_{0}}{T_{0}} \approx \frac{-i g}{1-\left(1-i g_{0}\right)(1-\eta)(1+i \Delta)} \approx \frac{-i g}{i g_{0}+\eta-i \Delta}$

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$$

## Darwin reflectivity curve



## Darwin reflectivity curve



## Standing waves


$\longleftarrow x=-1$
out of phase

## Standing waves



$$
x=+1 \longrightarrow
$$


in phase


## Absorption effects



## Energy dependence



## Polarization dependence



## Harmonic suppression

The displacement of the Darwin curve varies inversely as the order, $m$, of the reflection.


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By tuning to the center of a lower order reflection, the high orders can be effectively suppressed.

By tuning a bit off on the "high" side we get even more suppression. This is called "detuning".

## Angular offset

We can calculate the angular offset by noting that the offset and width have many common factors.


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\zeta^{o f f} & =\frac{\zeta_{0}}{m}=\frac{\zeta_{D}}{2} \frac{|F|}{\left|F_{0}\right|}
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\zeta_{D} & =\frac{4 d^{2}|F| r_{0}}{\pi m^{2} v_{c}} \\
\zeta^{\text {off }} & =\frac{\zeta_{0}}{m}=\frac{\zeta_{D}}{2} \frac{|F|}{\left|F_{0}\right|} \\
\Delta \theta^{\text {off }} & =\frac{\zeta_{D}}{2} \frac{|F|}{\left|F_{0}\right|} \tan \theta
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For the $\operatorname{Si}(111)$ at $\lambda=1.54056 \AA$

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$$

For the $\mathrm{Si}(111)$ at $\lambda=1.54056 \AA$

$$
\omega_{D}^{\text {total }}=0.0020^{\circ} \quad \Delta \theta^{\text {off }}=0.0018^{\circ}
$$

## Darwin widths

|  | $\zeta_{\mathrm{D}}^{\text {FWHM }} \times 10^{6}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (111) |  |  | (220) |  |  | (400) |  |  |
| $\begin{gathered} \text { Diamond } \\ a=3.5670 \AA \end{gathered}$ | 61.0 |  |  | 20.9 |  |  | 8.5 |  |  |
|  | 3.03 | 0.018 | -0.01 | 1.96 | 0.018 | -0.01 | 1.59 | 0.018 | -0.01 |
| $\begin{gathered} \text { Silicon } \\ a=5.4309 \AA \end{gathered}$ | 139.8 |  |  | 61.1 |  |  | 26.3 |  |  |
|  | 10.54 | 0.25 | -0.33 | 8.72 | 0.25 | -0.33 | 7.51 | 0.25 | -0.33 |
| Germanium$a=5.6578 \AA$ | 347.2 |  |  | 160.0 |  |  | 68.8 |  |  |
|  | 27.36 | -1.1 | -0.89 | 23.79 | -1.1 | -0.89 | 20.46 | -1.1 | -0.89 |

the quantities below the widths are $f^{0}(Q), f^{\prime}$, and $f^{\prime \prime}$ (for $\lambda=1.5405 \AA$ ). For an angular width, multiply times $\tan \theta$ and for $\pi$ polarization, multiply by $\cos (2 \theta)$.

