## Today's Outline - August 24, 2016

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- Scattering from molecules and crystals


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- The reciprocal lattice


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- X-ray absorption


## Scattering from molecules

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## Scattering from a crystal

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The lattice term, $\sum e^{i \mathbf{Q} \cdot \mathbf{R}_{n}}$, is a sum over a large number so it is always small unless $\mathbf{Q} \cdot \mathbf{R}_{n}=2 \pi m$ where $\mathbf{R}_{n}=n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}$ is a real space lattice vector and $m$ is an integer.

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## Lattice properties

Consider the orthorhombic lattice for simplicity (the others give exactly the same result).


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Each lattice point is at the end of a lattice vector, $\mathbf{R}_{n}$ and a crystal is made by putting a molecule at each lattice point.

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\mathbf{R}_{n}=n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}
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In analogy to $\mathbf{R}_{n}$, we can construct an arbitrary reciprocal space lattice vector $\mathbf{G}_{h k l}$

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\mathbf{G}_{h k l}=h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}
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where $h, k$, and $I$ are integers called Miller indices

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\mathbf{G}_{h k l} \cdot \mathbf{R}_{n}=\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot\left(h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}\right)
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& =\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot 2 \pi\left(h \frac{\mathbf{a}_{2} \times \mathbf{a}_{3}}{V}+k \frac{\mathbf{a}_{3} \times \mathbf{a}_{1}}{V}+I \frac{\mathbf{a}_{1} \times \mathbf{a}_{2}}{V}\right)
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and therefore, the crystal scattering factor is non-zero only when

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\sum e^{i \mathbf{Q} \cdot \mathbf{R}_{n}} \neq 0
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## Laue condition

Because of the construction of the reciprocal lattice

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\mathbf{G}_{h k l} \cdot \mathbf{R}_{n} & =\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot\left(h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}\right) \\
& =\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot 2 \pi\left(h \frac{\mathbf{a}_{2} \times \mathbf{a}_{3}}{V}+k \frac{\mathbf{a}_{3} \times \mathbf{a}_{1}}{V}+I \frac{\mathbf{a}_{1} \times \mathbf{a}_{2}}{V}\right) \\
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so a significant number of molecules scatter in phase with each other
As we shall see later, this Laue condition, is equivalent to the more typically used Bragg condition for diffraction: $2 d \sin \theta=n \lambda$

## Multiple slit interference

A crystal is, therefore, a diffraction grating with $\sim 10^{20}$ slits!

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A photon-electron collision

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Treat the electron relativistically and conserve energy and momentum

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$$
m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} \quad \text { (energy) }
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\begin{array}{ll}
m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} & \text { (energy) } \\
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m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} & (\text { energy }) \\
\frac{h}{\lambda}=\frac{h}{\lambda^{\prime}} \cos \phi+\gamma m v \cos \theta & (x \text {-axis }) \\
0=\frac{h}{\lambda^{\prime}} \sin \phi+\gamma m v \sin \theta & (y \text {-axis })
\end{array}
$$

## Compton scattering derivation

squaring the momentum equations

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squaring the momentum $\quad\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}=\gamma^{2} m^{2} v^{2} \cos ^{2} \theta$
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\end{aligned}
$$

now add them together,

$$
\gamma^{2} m^{2} v^{2}\left(\sin ^{2} \theta+\cos ^{2} \theta\right)=\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}+\left(-\frac{h}{\lambda^{\prime}} \sin \phi\right)^{2}
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now add them together, substitute $\sin ^{2} \theta+\cos ^{2} \theta=1$, expand the squares,

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\begin{aligned}
\gamma^{2} m^{2} v^{2}\left(\sin ^{2} \theta+\cos ^{2} \theta\right) & =\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}+\left(-\frac{h}{\lambda^{\prime}} \sin \phi\right)^{2} \\
\gamma^{2} m^{2} v^{2} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}} \sin ^{2} \phi+\frac{h^{2}}{\lambda^{\prime 2}} \cos ^{2} \phi
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now add them together, substitute $\sin ^{2} \theta+\cos ^{2} \theta=1$, expand the squares, and $\sin ^{2} \phi+\cos ^{2} \phi=1$, then rearrange

$$
\begin{aligned}
\gamma^{2} m^{2} v^{2}\left(\sin ^{2} \theta+\cos ^{2} \theta\right) & =\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}+\left(-\frac{h}{\lambda^{\prime}} \sin \phi\right)^{2} \\
\gamma^{2} m^{2} v^{2} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}} \sin ^{2} \phi+\frac{h^{2}}{\lambda^{\prime 2}} \cos ^{2} \phi \\
\frac{m^{2} v^{2}}{1-\beta^{2}} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}}
\end{aligned}
$$

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squaring the momentum equations

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\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2} & =\gamma^{2} m^{2} v^{2} \cos ^{2} \theta \\
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now add them together, substitute $\sin ^{2} \theta+\cos ^{2} \theta=1$, expand the squares, and $\sin ^{2} \phi+\cos ^{2} \phi=1$, then rearrange and substitute $v=\beta c$

$$
\begin{aligned}
\gamma^{2} m^{2} v^{2}\left(\sin ^{2} \theta+\cos ^{2} \theta\right) & =\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}+\left(-\frac{h}{\lambda^{\prime}} \sin \phi\right)^{2} \\
\gamma^{2} m^{2} v^{2} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}} \sin ^{2} \phi+\frac{h^{2}}{\lambda^{\prime 2}} \cos ^{2} \phi \\
\frac{m^{2} c^{2} \beta^{2}}{1-\beta^{2}}=\frac{m^{2} v^{2}}{1-\beta^{2}} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}}
\end{aligned}
$$

## Compton scattering derivation (cont.)

Now take the energy equation and square it,

$$
\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}=\gamma^{2} m^{2} c^{4}=\frac{m^{2} c^{4}}{1-\beta^{2}}
$$

## Compton scattering derivation (cont.)

Now take the energy equation and square it, then solve it for $\beta^{2}$

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\begin{gathered}
\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}=\gamma^{2} m^{2} c^{4}=\frac{m^{2} c^{4}}{1-\beta^{2}} \\
\beta^{2}=1-\frac{m^{2} c^{4}}{\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}}
\end{gathered}
$$

## Compton scattering derivation (cont.)

Now take the energy equation and square it, then solve it for $\beta^{2}$ which is substituted into the equation from the momentum.

$$
\begin{gathered}
\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}=\gamma^{2} m^{2} c^{4}=\frac{m^{2} c^{4}}{1-\beta^{2}} \\
\beta^{2}=1-\frac{m^{2} c^{4}}{\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}} \\
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi=\frac{m^{2} c^{2} \beta^{2}}{1-\beta^{2}}
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Now take the energy equation and square it, then solve it for $\beta^{2}$ which is substituted into the equation from the momentum.

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\begin{aligned}
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& \beta^{2}=1-\frac{m^{2} c^{4}}{\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}} \\
& \begin{aligned}
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\frac{m^{2} c^{2} \beta^{2}}{1-\beta^{2}} \\
& =\frac{1}{c^{2}}\left(m c^{2}+\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2}
\end{aligned}
\end{aligned}
$$

## Compton scattering derivation (cont.)

$$
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi=\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2}
$$

## Compton scattering derivation (cont.)

After expansion,

$$
\begin{aligned}
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
& =m^{2} c^{2}+\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 m c h}{\lambda}-\frac{2 m c h}{\lambda^{\prime}}+\frac{2 h^{2}}{\lambda \lambda^{\prime}}-m^{2} c^{2}
\end{aligned}
$$

## Compton scattering derivation (cont.)

After expansion, cancellation,

$$
\begin{aligned}
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
& =m^{2} c^{2}+\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 m c h}{\lambda}-\frac{2 m c h}{\lambda^{\prime}}+\frac{2 h^{2}}{\lambda \lambda^{\prime}}-m^{2} c^{2} \\
& =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)+\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}}
\end{aligned}
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## Compton scattering derivation (cont.)

After expansion, cancellation,

$$
\begin{aligned}
\frac{h^{2} /}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
& =m^{2} c^{2}+\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 m c h}{\lambda}-\frac{2 m c h}{\lambda^{\prime}}+\frac{2 h^{2}}{\lambda \lambda^{\prime}}-m^{2} c^{2} \\
& =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)+\frac{h^{2} /}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}}
\end{aligned}
$$

## Compton scattering derivation (cont.)

After expansion, cancellation, and rearrangement, we obtain

$$
\begin{aligned}
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
& =m^{2} c^{2}+\frac{h^{2}}{\lambda^{2}}+\frac{h^{2}}{\lambda^{\prime 2}}-\frac{2 m c h}{\lambda}-\frac{2 m c h}{\lambda^{\prime}}+\frac{2 h^{2}}{\lambda \lambda^{\prime}}-m^{2} c^{2} \\
& =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)+\frac{h^{2} /}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \\
\frac{2 h^{2}}{\lambda \lambda^{\prime}}(1-\cos \phi) & =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)
\end{aligned}
$$

## Compton scattering derivation (cont.)

After expansion, cancellation,

$$
\begin{aligned}
\frac{h^{2}}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
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& =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)+\frac{h^{2} /}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \\
\frac{2 h^{2}}{\lambda \lambda^{\prime}}(1-\cos \phi) & =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)=2 m h c\left(\frac{\lambda^{\prime}-\lambda}{\lambda \lambda^{\prime}}\right)
\end{aligned}
$$

## Compton scattering derivation (cont.)

After expansion, cancellation,

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\end{aligned}
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## Compton scattering derivation (cont.)

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\frac{h^{2}}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi & =\left(m c+\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}}\right)^{2}-m^{2} c^{2} \\
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& =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)+\frac{h^{2} /}{\lambda^{2}}+\frac{h^{2} /}{\lambda^{\prime 2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \\
\frac{2 h^{2}}{\lambda \lambda^{\prime}}(1-\cos \phi) & =2 m\left(\frac{h c}{\lambda}-\frac{h c}{\lambda^{\prime}}\right)=2 m h c\left(\frac{\lambda^{\prime}-\lambda}{\lambda \lambda^{\prime}}\right)=\frac{2 m h c \Delta \lambda}{\lambda X^{\prime}} \\
\Delta \lambda & =\frac{h}{m c}(1-\cos \phi)
\end{aligned}
$$

## Compton scattering results

Thus, for an electron

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$$
\int \frac{d l}{l(z)}=-\int \mu d z
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integrating both sides
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I=e^{C} e^{-\mu z}=A e^{-\mu z}
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$$
I=e^{C} e^{-\mu z}=A e^{-\mu z}
$$

$$
I=I_{0} e^{-\mu z}
$$

## X-ray absorption


integrating both sides
For absorption coefficient $\mu$ and thickness $d z$ the x-ray intensity is attenuated as

$$
d l=-l(z) \mu d z \quad \longrightarrow \frac{d l}{I(z)}=-\mu d z
$$

$$
\int \frac{d l}{I(z)}=-\int \mu d z \longrightarrow \ln (I)=-\mu z+C
$$

$$
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$$

if the intensity at $z=0$ is $I_{0}$, then

$$
I=I_{0} e^{-\mu z}
$$

This is just Beer's law with an absorption coefficient which depends on x-ray parameters.

## Absorption event



- X-ray is absorbed by an atom


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- Ion remains with a core-hole


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- The result is a cascade of fluorescence photons which are characteristic of the absorbing atom


## Auger emission

While fluorescence is the most probable method of core-hole relaxation there are other possible mechanisms


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While fluorescence is the most probable method of core-hole relaxation there are other possible mechanisms


- In the Auger process, a higher level electron will drop down in energy to fill the core hole
- The energy liberated causes the secondary emission of an electron
- This leaves two holes which then filled from higher shells
- So that the secondary electron is accompanied by fluorescence emissions at lower energies


## Absorption coefficient

The absorption coefficient $\mu$, depends strongly on the x-ray energy $E$, the atomic number of the absorbing atoms $Z$, as well as the density $\rho$, and atomic mass $A$ :
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Isolated gas atoms show a sharp jump and a smooth curve



## Absorption coefficient

Isolated gas atoms show a sharp jump and a smooth curve Atoms in a solid or liquid show fine structure after the absorption edge called XANES and EXAFS



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where $\rho_{j}$ and $\sigma_{s j}$ are the atomic density and atomic absorption cross-section of each component

## Absorption coefficient of a compound

$\mu\left[\mathrm{cm}^{-} 1\right]$ is the linear absorption coefficient. It is useful in practice to define the mass absorption coefficient, $\mu_{m}\left[\mathrm{~cm}^{2} / \mathrm{g}\right]$

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#### Abstract

pound, distributed over an area $A$ is then:


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## Absorption of $\mathrm{Fe}_{2} \mathrm{O}_{3}$ at 5 keV

The most commonly tabulated cross-sections are not the atomic cross-sections but the mass cross sections, $\sigma_{j}=N_{A} \sigma_{a j} / M_{j}$ so we have $I=I_{0} e^{-\left(\mu_{m} / A\right) m}, \mu_{m}=\frac{N_{A}}{M_{c}} \sum_{j} x_{j} \sigma_{a j}$

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begin by finding tabulated values of the cross-section for the elements Fe and O at 5 keV

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begin by finding tabulated values of the cross-section for the elements Fe and O at 5 keV
assuming a 5 mm diameter pellet

## Absorption of $\mathrm{Fe}_{2} \mathrm{O}_{3}$ at 5 keV

The most commonly tabulated cross-sections are not the atomic cross-sections but the mass cross sections, $\sigma_{j}=N_{A} \sigma_{a j} / M_{j}$ so we have $I=I_{0} e^{-\left(\mu_{m} / A\right) m}, \mu_{m}=\frac{N_{A}}{M_{c}} \sum_{j} x_{j} \sigma_{a j}=\frac{N_{A}}{M_{c}} \sum_{j} \frac{M_{j}}{N_{A}} x_{j} \sigma_{j}=\frac{1}{M_{c}} \sum_{j} M_{j} x_{j} \sigma_{j}$
the molecular mass and density of $\mathrm{Fe}_{2} \mathrm{O}_{3}$ are

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\begin{aligned}
\rho & =5.24 \mathrm{~g} / \mathrm{cm}^{2} \\
M_{F e} & =55.895 \mathrm{~g} / \mathrm{mol} \\
M_{O} & =16.000 \mathrm{~g} / \mathrm{mol} \\
M_{\mathrm{c}} & =159.69 \mathrm{~g} / \mathrm{mol} \\
\sigma_{F e} & =138.860 \mathrm{~cm}^{2} / \mathrm{g} \\
\sigma_{O} & =46.666 \mathrm{~cm}^{2} / \mathrm{g} \\
A & =\pi\left(0.25 \mathrm{~cm}^{2}=0.1963 \mathrm{~cm}^{2}\right.
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begin by finding tabulated values of

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\text { the cross-section for the elements } \mathrm{Fe}
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