## Today's Outline - January 15, 2015

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- Scattering from molecules and crystals


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- Scattering from molecules and crystals
- The reciprocal lattice
- Compton (inelastic) scattering
- X-ray absorption
- Refraction and reflection of x-rays
- Magnetic interactions of x-rays
- Coherence of x-ray sources


## Scattering from atoms: all effects

Scattering from an atom is built up from component quantities:

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Thomson scattering from a single electron

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-r_{0}=-\frac{e^{2}}{4 \pi \epsilon_{0} m c^{2}}
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$-r_{0}$
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atomic form factor

$$
f^{\circ}(\mathbf{Q})=\int \rho(\mathbf{r}) e^{i \mathbf{Q} \cdot \mathbf{r}} d^{3} r
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-r_{0} f(\mathbf{Q}, \hbar \omega) \quad=-r_{0}\left[f^{o}(\mathbf{Q})\right.
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$f^{\prime}(\hbar \omega)+i f^{\prime \prime}(\hbar \omega)$
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$$
f^{\prime}(\hbar \omega)+i f^{\prime \prime}(\hbar \omega)
$$

$$
P=\left\{\begin{array}{l}
1 \\
\sin ^{2} \psi \\
\frac{1}{2}\left(1+\sin ^{2} \psi\right)
\end{array}\right.
$$

$$
-r_{o} f(\mathbf{Q}, \hbar \omega) \sin ^{2} \psi=-r_{o}\left[f^{o}(\mathbf{Q})+f^{\prime}(\hbar \omega)+i f^{\prime \prime}(\hbar \omega)\right] \sin ^{2} \psi
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## Scattering from molecules

extending to a molecule ...

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F^{\text {molecule }}(\mathbf{Q})=\sum_{j} f_{j}(\mathbf{Q}) e^{i \mathbf{Q} \cdot \mathbf{r}_{j}}
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$$

## Scattering from a crystal

and similarly, to a crystal lattice ...

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... which is simply a periodic array of molecules


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F^{\text {crystal }}(\mathbf{Q})=\sum_{j} f_{j}(\mathbf{Q}) e^{i \mathbf{Q} \cdot \mathbf{r}_{j}} \sum_{n} e^{i \mathbf{Q} \cdot \mathbf{R}_{n}}
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The lattice term, $\sum e^{i \mathbf{Q} \cdot \mathbf{R}_{n}}$, is a sum over a large number so it is always small unless $\mathbf{Q} \cdot \mathbf{R}_{n}=2 \pi m$ where $\mathbf{R}_{n}=n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}$ is a real space lattice vector and $m$ is an integer.

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## Crystal lattices

There are 7 possible real space lattices: triclinic,


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## Crystal lattices

There are 7 possible real space lattices: triclinic, monoclinic, orthorhombic, tetragonal, hexagonal, rhombohedral, cubic


## Lattice volume

Consider the orthorhombic lattice for simplicity (the others give exactly the same result).


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\mathbf{a}_{1}=a \hat{\mathbf{x}}, \quad \mathbf{a}_{2}=b \hat{\mathbf{y}}, \quad \mathbf{a}_{3}=c \hat{\mathbf{z}}
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\mathbf{a}_{1} \times \mathbf{a}_{2}=a b \hat{\mathbf{z}} \\
\left(\mathbf{a}_{1} \times \mathbf{a}_{2}\right) \cdot \mathbf{a}_{3}=a b \hat{\mathbf{z}} \cdot c \hat{\mathbf{z}}
\end{gathered}
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$$
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A simple way of calculating the volume of the unit cell!

## Reciprocal lattice

Define the reciprocal lattice vectors in terms of the real space unit vectors

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$$
\mathbf{a}_{1}^{*}=2 \pi \frac{\mathbf{a}_{2} \times \mathbf{a}_{3}}{\mathbf{a}_{1} \cdot\left(\mathbf{a}_{2} \times \mathbf{a}_{3}\right)}
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& \mathbf{a}_{3}^{*}=2 \pi \frac{\mathbf{a}_{1} \times \mathbf{a}_{2}}{\mathbf{a}_{3} \cdot\left(\mathbf{a}_{1} \times \mathbf{a}_{2}\right)}
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In analogy to $\mathbf{R}_{n}$, we can construct an arbitrary reciprocal space lattice vector $\mathbf{G}_{h k l}$

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\mathbf{G}_{h k l}=h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}
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\mathbf{G}_{h k l}=h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}
$$

where $h, k$, and $I$ are integers called Miller indices

## Laue condition

Because of the construction of the reciprocal lattice

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$\mathbf{G}_{n k l} \cdot \mathbf{R}_{n}$

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$$
\mathbf{G}_{h k l} \cdot \mathbf{R}_{n}=\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot\left(h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}\right)
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\mathbf{G}_{h k l} \cdot \mathbf{R}_{n} & =\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot\left(h \mathbf{a}_{1}^{*}+k \mathbf{a}_{2}^{*}+l \mathbf{a}_{3}^{*}\right) \\
& =\left(n_{1} \mathbf{a}_{1}+n_{2} \mathbf{a}_{2}+n_{3} \mathbf{a}_{3}\right) \cdot 2 \pi\left(h \frac{\mathbf{a}_{2} \times \mathbf{a}_{3}}{V}+k \frac{\mathbf{a}_{3} \times \mathbf{a}_{1}}{V}+l \frac{\mathbf{a}_{1} \times \mathbf{a}_{2}}{V}\right)
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and therefore, the crystal scattering factor is non-zero only when

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and a significant number of molecules scatter in phase with each other

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A crystal is, therefore, a diffraction grating with $\sim 10^{20}$ slits!

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When $\mathbf{Q}$ is a reciprocal lattice vector, a very strong, narrow diffraction peak is seen at the detector.

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## Compton scattering

## A photon-electron collision

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$$
\mathbf{p}=\hbar \mathbf{k}=2 \pi \hbar / \lambda
$$

## Compton scattering

A photon-electron collision


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\begin{array}{r}
\mathbf{p}=\hbar \mathbf{k}=2 \pi \hbar / \lambda \\
\mathbf{p}^{\prime}=\hbar \mathbf{k}^{\prime}=2 \pi \hbar / \lambda^{\prime}
\end{array}
$$

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A photon-electron collision


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\mathbf{p}=\hbar \mathbf{k}=2 \pi \hbar / \lambda \\
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Treat the electron relativistically and conserve energy and momentum

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Treat the electron relativistically and conserve energy and momentum

$$
m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} \quad(\text { energy })
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Treat the electron relativistically and conserve energy and momentum

$$
\begin{array}{ll}
m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} & \text { (energy) } \\
\frac{h}{\lambda}=\frac{h}{\lambda^{\prime}} \cos \phi+\gamma m v \cos \theta & (x \text {-axis) }
\end{array}
$$

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$$

Treat the electron relativistically and conserve energy and momentum

$$
\begin{array}{cc}
m c^{2}+\frac{h c}{\lambda}=\frac{h c}{\lambda^{\prime}}+\gamma m c^{2} & \text { (energy) } \\
\frac{h}{\lambda}=\frac{h}{\lambda^{\prime}} \cos \phi+\gamma m v \cos \theta & (\text { x-axis }) \\
0=\frac{h}{\lambda^{\prime}} \sin \phi+\gamma m v \sin \theta & (y \text {-axis })
\end{array}
$$

## Compton scattering derivation

squaring the momentum equations

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squaring the momentum $\quad\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}=\gamma^{2} m^{2} v^{2} \cos ^{2} \theta$
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$$

now add them together,

$$
\gamma^{2} m^{2} v^{2}\left(\sin ^{2} \theta+\cos ^{2} \theta\right)=\left(\frac{h}{\lambda}-\frac{h}{\lambda^{\prime}} \cos \phi\right)^{2}+\left(-\frac{h}{\lambda^{\prime}} \sin \phi\right)^{2}
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\gamma^{2} m^{2} v^{2} & =\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}} \sin ^{2} \phi+\frac{h^{2}}{\lambda^{\prime 2}} \cos ^{2} \phi
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## Compton scattering derivation

Now take the energy equation and square it,

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\frac{h^{2}}{\lambda^{2}}-\frac{2 h^{2}}{\lambda \lambda^{\prime}} \cos \phi+\frac{h^{2}}{\lambda^{\prime 2}}=\frac{m^{2} c^{2} \beta^{2}}{1-\beta^{2}}
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\Delta \lambda=\frac{h}{m c}(1-\cos \phi)
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## Compton scattering results

$$
\lambda_{c}=\hbar / m c=3.86 \times 10^{-3} \AA \text { for an electron }
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Comparing to the Thomson scattering length: $r_{0} / \lambda_{C}=1 / 137$

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## X-ray absorption



Absorption coefficient $\mu$, thickness $d z$ $x$-ray intensity is attenuated as

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\mu=\rho_{\mathrm{a}} \sigma_{\mathrm{a}}=\left(\frac{\rho_{m} N_{A}}{A}\right) \sigma_{\mathrm{a}}
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with mass density $\rho_{m}$, Avogadro's number $N_{A}$, atomic number $A$

## Absorption event



- X-ray is absorbed by an atom


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- In the Auger process, a higher level electron will drop down in energy to fill the core hole
- The energy liberated causes the secondary emission of an electron
- This leaves two holes which then filled from higher shells
- So that the secondary electron is accompanied by fluorescence emissions at lower energies


## Absorption coefficient

The absorption coefficient $\mu$, depends strongly on the x-ray energy $E$, the atomic number of the absorbing atoms $Z$, as well as the density $\rho$, and atomic mass $A$ :
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Isolated gas atoms show a sharp jump and a smooth curve



## Absorption coefficient

Isolated gas atoms show a sharp jump and a smooth curve Atoms in a solid or liquid show fine structure after the absorption edge called XANES and EXAFS



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where $\alpha^{\prime}<\alpha$ unlike for visible light

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n \approx 1-\frac{\alpha_{c}^{2}}{2} \\
1-\delta+i \beta \approx 1-\frac{\alpha_{c}^{2}}{2} \\
\delta=\frac{\alpha_{c}^{2}}{2} \quad \longrightarrow \quad \alpha_{c}=\sqrt{2 \delta}
\end{gathered}
$$

## Uses of total external reflection

X-ray mirrors

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## X-ray mirrors

- harmonic rejection


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## X-ray mirrors

- harmonic rejection
- focusing \& collimation


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## Uses of total external reflection


X-ray mirrors

- harmonic rejection
- focusing \& collimation

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