• Scattering from molecules and crystals

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- The reciprocal lattice

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- Refraction and reflection of x-rays
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- Coherence of x-ray sources

Scattering from an atom is built up from component quantities:

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$$-r_o = -r_o$$

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Thomson scattering from a single electron

atomic form factor

$$-r_o = -\frac{e^2}{4\pi\epsilon_0 mc^2}$$

$$f^{o}(\mathbf{Q}) = \int \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d^{3}r$$

$$-r_o f(\mathbf{Q}, \hbar \omega) = -r_o \left[f^o(\mathbf{Q}) \right]$$

Scattering from an atom is built up from component quantities:

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anomalous scattering terms

 $f^{o}(\mathbf{Q}) = \int \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d^{3}r$

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 $f'(\hbar\omega) + if''(\hbar\omega)$

$$-r_o f(\mathbf{Q}, \hbar \omega) = -r_o \left[f^o(\mathbf{Q}) + f'(\hbar \omega) + i f''(\hbar \omega) \right]$$

Scattering from an atom is built up from component quantities:

Thomson scattering from a single electron

atomic form factor

anomalous scattering terms

polarization factor

$$f'(\hbar\omega) + if''(\hbar\omega)$$
$$P = \begin{cases} 1\\ \sin^2 \Psi\\ \frac{1}{2}(1 + \sin^2 \Psi) \end{cases}$$

 $-r_o = -\frac{e^2}{4\pi\epsilon_o mc^2}$

 $f^{o}(\mathbf{Q}) = \int \rho(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} d^{3}r$

 $-r_o f(\mathbf{Q}, \hbar\omega) \sin^2 \Psi = -r_o \left[f^o(\mathbf{Q}) + f'(\hbar\omega) + i f''(\hbar\omega) \right] \sin^2 \Psi$





$$F^{molecule}(\mathbf{Q}) = \sum_{j} f_{j}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}}$$



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$${\mathcal F}^{molecule}({f Q})=f_1({f Q})e^{i{f Q}\cdot{f r}_1}+$$



$$\mathcal{F}^{molecule}(\mathbf{Q}) = \sum_{i} f_{j}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}}$$

$$F^{molecule}(\mathbf{Q}) = f_1(\mathbf{Q})e^{i\mathbf{Q}\cdot\mathbf{r}_1} + f_2(\mathbf{Q})e^{i\mathbf{Q}\cdot\mathbf{r}_2} +$$

extending to a molecule ...



$$\mathcal{F}^{molecule}(\mathbf{Q}) = \sum_{i} f_{i}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}}$$

 $F^{molecule}(\mathbf{Q}) = f_1(\mathbf{Q})e^{i\mathbf{Q}\cdot\mathbf{r}_1} + f_2(\mathbf{Q})e^{i\mathbf{Q}\cdot\mathbf{r}_2} + f_3(\mathbf{Q})e^{i\mathbf{Q}\cdot\mathbf{r}_3}$

and similarly, to a crystal lattice ...

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... which is simply a periodic array of molecules

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$$F^{crystal}(\mathbf{Q}) = F^{molecule}F^{lattice}$$

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$$\mathcal{F}^{crystal}(\mathbf{Q}) = \sum_{j} f_{j}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}} \sum_{n} e^{i\mathbf{Q}\cdot\mathbf{R}_{n}}$$

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The lattice term, $\sum e^{i\mathbf{Q}\cdot\mathbf{R}_n}$, is a sum over a large number

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$$\mathcal{L}^{crystal}(\mathbf{Q}) = \sum_{j} f_{j}(\mathbf{Q}) e^{i\mathbf{Q}\cdot\mathbf{r}_{j}} \sum_{n} e^{i\mathbf{Q}\cdot\mathbf{R}_{n}}$$

The lattice term, $\sum e^{i\mathbf{Q}\cdot\mathbf{R}_n}$, is a sum over a large number so it is always small unless $\mathbf{Q}\cdot\mathbf{R}_n = 2\pi m$ where $\mathbf{R}_n = n_1\mathbf{a}_1 + n_2\mathbf{a}_2 + n_3\mathbf{a}_3$ is a real space lattice vector and m is an integer.

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There are 7 possible real space lattices: triclinic,



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There are 7 possible real space lattices: triclinic, monoclinic, orthorhombic, tetragonal, hexagonal,



There are 7 possible real space lattices: triclinic, monoclinic, orthorhombic, tetragonal, hexagonal, rhombohedral,



There are 7 possible real space lattices: triclinic, monoclinic, orthorhombic, tetragonal, hexagonal, rhombohedral, cubic



Lattice volume

Consider the orthorhombic lattice for simplicity (the others give exactly the same result).



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$$\mathbf{a}_1 \times \mathbf{a}_2 = ab\mathbf{\hat{z}}$$

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$$\mathbf{a}_1 = a\mathbf{\hat{x}}, \quad \mathbf{a}_2 = b\mathbf{\hat{y}}, \quad \mathbf{a}_3 = c\mathbf{\hat{z}}$$
 $\mathbf{a}_1 \times \mathbf{a}_2 = ab\mathbf{\hat{z}}$ $(\mathbf{a}_1 \times \mathbf{a}_2) \cdot \mathbf{a}_3 = ab\mathbf{\hat{z}} \cdot c\mathbf{\hat{z}}$



Consider the orthorhombic lattice for simplicity (the others give exactly the same result).





 $a \neq b \neq c$

Consider the orthorhombic lattice for simplicity (the others give exactly the same result).

A simple way of calculating the volume of the unit cell!

$$\mathbf{a}_1^* = 2\pi rac{\mathbf{a}_2 imes \mathbf{a}_3}{\mathbf{a}_1 \cdot (\mathbf{a}_2 imes \mathbf{a}_3)}$$

$$\mathbf{a}_1^* = 2\pi \frac{\mathbf{a}_2 \times \mathbf{a}_3}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)}$$
$$\mathbf{a}_2^* = 2\pi \frac{\mathbf{a}_3 \times \mathbf{a}_1}{\mathbf{a}_2 \cdot (\mathbf{a}_3 \times \mathbf{a}_1)}$$

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Define the reciprocal lattice vectors in terms of the real space unit vectors

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In analogy to \mathbf{R}_n , we can construct an arbitrary reciprocal space lattice vector \mathbf{G}_{hkl}

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In analogy to \mathbf{R}_n , we can construct an arbitrary reciprocal space lattice vector \mathbf{G}_{hkl}

$$\mathbf{G}_{hkl} = h\mathbf{a}_1^* + k\mathbf{a}_2^* + l\mathbf{a}_3^*$$

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In analogy to \mathbf{R}_n , we can construct an arbitrary reciprocal space lattice vector \mathbf{G}_{hkl}

$$\mathsf{G}_{hkl} = h\mathsf{a}_1^* + k\mathsf{a}_2^* + l\mathsf{a}_3^*$$

where h, k, and l are integers called Miller indices

Because of the construction of the reciprocal lattice

 $\mathbf{G}_{hkl} \cdot \mathbf{R}_n$

$$\mathbf{G}_{hkl} \cdot \mathbf{R}_n = (n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3) \cdot (h \mathbf{a}_1^* + k \mathbf{a}_2^* + l \mathbf{a}_3^*)$$

$$\mathbf{G}_{hkl} \cdot \mathbf{R}_n = (n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3) \cdot (h \mathbf{a}_1^* + k \mathbf{a}_2^* + l \mathbf{a}_3^*) \\ = (n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3) \cdot 2\pi \left(h \frac{\mathbf{a}_2 \times \mathbf{a}_3}{V} + k \frac{\mathbf{a}_3 \times \mathbf{a}_1}{V} + l \frac{\mathbf{a}_1 \times \mathbf{a}_2}{V} \right)$$

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= $2\pi (hn_1 + kn_2 + ln_3) = 2\pi m$

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and therefore, the crystal scattering factor is non-zero $\boldsymbol{\mathsf{only}}$ when

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and therefore, the crystal scattering factor is non-zero only when

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and a significant number of molecules scatter in phase with each other

A crystal is, therefore, a diffraction grating with $\sim 10^{20}$ slits!

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When \mathbf{Q} is a reciprocal lattice vector, a very strong, narrow diffraction peak is seen at the detector.

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$$\begin{aligned} \mathbf{p} &= \hbar \mathbf{k} = 2\pi \hbar / \lambda \\ \mathbf{p}' &= \hbar \mathbf{k}' = 2\pi \hbar / \lambda' \\ &|\mathbf{k}| \neq \left| \mathbf{k}' \right| \end{aligned}$$

A photon-electron collision



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$$mc^2 + \frac{hc}{\lambda} = \frac{hc}{\lambda'} + \gamma mc^2$$
 (energy)

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$$mc^{2} + \frac{hc}{\lambda} = \frac{hc}{\lambda'} + \gamma mc^{2} \quad \text{(energy)}$$
$$\frac{h}{\lambda} = \frac{h}{\lambda'} \cos \phi + \gamma mv \cos \theta \quad \text{(x-axis)}$$

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Compton scattering derivation

squaring the momentum equations

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$$\left(\frac{h}{\lambda} - \frac{h}{\lambda'}\cos\phi\right)^2 = \gamma^2 m^2 v^2 \cos^2\theta$$

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$$\left(\frac{h}{\lambda} - \frac{h}{\lambda'}\cos\phi\right)^2 = \gamma^2 m^2 v^2 \cos^2\theta$$
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squaring the momentum equations

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$$\left(-\frac{h}{\lambda'}\sin\phi\right)^2 = \gamma^2 m^2 v^2 \sin^2\theta$$

now add them together,

$$\gamma^2 m^2 v^2 \left(\sin^2 \theta + \cos^2 \theta \right) = \left(\frac{h}{\lambda} - \frac{h}{\lambda'} \cos \phi \right)^2 + \left(-\frac{h}{\lambda'} \sin \phi \right)^2$$

squaring the momentum equations

$$\left(\frac{h}{\lambda} - \frac{h}{\lambda'}\cos\phi\right)^2 = \gamma^2 m^2 v^2 \cos^2\theta$$
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now add them together, substitute $\sin^2 \theta + \cos^2 \theta = 1$,

$$\gamma^2 m^2 v^2 \left(\sin^2 \theta + \cos^2 \theta\right) = \left(\frac{h}{\lambda} - \frac{h}{\lambda'} \cos \phi\right)^2 + \left(-\frac{h}{\lambda'} \sin \phi\right)^2$$
$$\gamma^2 m^2 v^2 = \frac{h^2}{\lambda^2} - \frac{2h^2}{\lambda\lambda'} \cos \phi + \frac{h^2}{\lambda'^2} \sin^2 \phi + \frac{h^2}{\lambda'^2} \cos^2 \phi$$

squaring the momentum equations

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now add them together, substitute $\sin^2\theta+\cos^2\theta=1,$ and $\sin^2\phi+\cos^2\phi=1,$ then rearrange

$$\gamma^2 m^2 v^2 \left(\sin^2 \theta + \cos^2 \theta\right) = \left(\frac{h}{\lambda} - \frac{h}{\lambda'} \cos \phi\right)^2 + \left(-\frac{h}{\lambda'} \sin \phi\right)^2$$
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$$\frac{h^2}{\lambda^2} - \frac{2h^2}{\lambda\lambda'} \cos \phi + \frac{h^2}{\lambda'^2} = \frac{m^2 v^2}{1 - \beta^2}$$

squaring the momentum equations

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now add them together, substitute $\sin^2 \theta + \cos^2 \theta = 1$, and $\sin^2 \phi + \cos^2 \phi = 1$, then rearrange and substitute $v = \beta c$

$$\gamma^2 m^2 v^2 \left(\sin^2 \theta + \cos^2 \theta\right) = \left(\frac{h}{\lambda} - \frac{h}{\lambda'} \cos \phi\right)^2 + \left(-\frac{h}{\lambda'} \sin \phi\right)^2$$
$$\gamma^2 m^2 v^2 = \frac{h^2}{\lambda^2} - \frac{2h^2}{\lambda\lambda'} \cos \phi + \frac{h^2}{\lambda'^2} \sin^2 \phi + \frac{h^2}{\lambda'^2} \cos^2 \phi$$
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C. Segre (IIT)

Now take the energy equation and square it,

$$\left(mc^{2} + \frac{hc}{\lambda} - \frac{hc}{\lambda'}\right)^{2} = \gamma^{2}m^{2}c^{4} = \frac{m^{2}c^{4}}{1 - \beta^{2}}$$

Now take the energy equation and square it, then solve it for β^2

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ight)^2}$$

Now take the energy equation and square it, then solve it for β^2 which is substituted into the equation from the momenta.

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$$\frac{h^2}{\lambda^2} - \frac{2h^2}{\lambda\lambda'}\cos\phi + \frac{h^2}{\lambda'^2} = \frac{m^2c^2\beta^2}{1-\beta^2}$$

$$\frac{h^2}{\lambda^2} + \frac{h^2}{\lambda'^2} - \frac{2h^2}{\lambda\lambda'}\cos\phi = 2m\left(\frac{hc}{\lambda} - \frac{hc}{\lambda'}\right) + \frac{h^2}{\lambda^2} + \frac{h^2}{\lambda'^2} - \frac{2h^2}{\lambda\lambda'}$$

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Compton scattering results

 $\lambda_c = \hbar/mc = 3.86 imes 10^{-3}$ Å for an electron

Comparing to the Thomson scattering length: $r_o/\lambda_C = 1/137$

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with mass density ho_m , Avogadro's number N_A , atomic number A



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- Ion remains with a core-hole

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- The result is a cascade of fluorescence photons which are characteristic of the absorbing atom





While fluorescence is the most probable method of core-hole relaxation there are other possible mechanisms

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- In the Auger process, a higher level electron will drop down in energy to fill the core hole
- The energy liberated causes the secondary emission of an electron
- This leaves two holes which then filled from higher shells
- So that the secondary electron is accompanied by fluorescence emissions at lower energies

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Absorption coefficient

Isolated gas atoms show a sharp jump and a smooth curve



Absorption coefficient

Isolated gas atoms show a sharp jump and a smooth curve Atoms in a solid or liquid show fine structure after the absorption edge called XANES and EXAFS



$$n=1-\delta+ieta$$
 with $\delta\sim 10^{-5}$



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X-rays can be treated like light when interaction with a medium. However, unlike visible light, the index of refraction of x-rays in matter is very close to unity:



$$n=1-\delta+ieta$$
 with $\delta\sim 10^{-5}$

Snell's Law

 $\cos\alpha = n\cos\alpha'$

where $\alpha' < \alpha$ unlike for visible light

C. Segre (IIT)

PHYS 570 - Spring 2015

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$$n \approx 1 - \frac{\alpha_c^2}{2}$$
$$-\delta + i\beta \approx 1 - \frac{\alpha_c^2}{2}$$

1

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Since $\alpha' = 0$ when $\alpha = \alpha_c$



PHYS 570 - Spring 2015



X-ray mirrors



X-ray mirrors

• harmonic rejection



X-ray mirrors

- harmonic rejection
- focusing & collimation



X-ray mirrors

- harmonic rejection
- focusing & collimation



Evanscent wave experiments



X-ray mirrors

- harmonic rejection
- focusing & collimation



Evanscent wave experiments

• studies of surfaces



X-ray mirrors

- harmonic rejection
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Evanscent wave experiments

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For an x-ray of energy 5.11 keV, interacting with an electron with mass 0.511 MeV. Only with the advent of synchrotron radiation sources has magnetic x-ray scattering become a practical experimental technique.