



• First order perturbation theory review



- First order perturbation theory review
- Treating a two-fold degeneracy



- First order perturbation theory review
- Treating a two-fold degeneracy
- Lifting the degeneracy



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- Treating a two-fold degeneracy
- Lifting the degeneracy
- Higher order degeneracies

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$$E_{n}^{1}=\left\langle \psi_{n}^{0}\left| \mathcal{H}^{\prime}\right| \psi_{n}^{0}\right\rangle$$



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$$\begin{split} E_n^1 &= \left\langle \psi_n^0 \left| H' \right| \psi_n^0 \right\rangle \\ \psi_n^1 &= \sum_{m \neq n} \frac{\left\langle \psi_m^0 \left| H' \right| \psi_n^0 \right\rangle}{\left( E_n^0 - E_m^0 \right)} \psi_m^0 \end{split}$$



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$$E_n^2 = \sum_{m \neq n} \frac{\left| \left\langle \psi_m^0 \left| H' \right| \psi_n^0 \right\rangle \right|^2}{E_n^0 - E_m^0}$$



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There is a **BIG** problem with these results



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What can we do about degenerate states where the energy denominator vanishes?



$$H = H^0 + H'$$
  

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For the case where two states have the same energy, we can find the linear combinations which properly solve the total Hamiltonian

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the degeneracy is lifted and the two states have different energies.  $H^0 \psi^0_a = E^0 \psi^0_a$  $H^0 \psi^0_b = E^0 \psi^0_b$ 



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$$\psi_{+} = \alpha \psi_{a}^{0} + \beta \psi_{b}^{0}$$



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$$\psi_{\pm} = \alpha \psi_{a}^{0} + \beta \psi_{b}^{0}, \quad H^{0} \psi_{\pm} = E^{0} \psi_{\pm}$$
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$$\begin{split} \psi_{\pm} &= \alpha \psi_{a}^{0} + \beta \psi_{b}^{0}, \quad H^{0} \psi_{\pm} = E^{0} \psi_{\pm} \\ \psi_{-} &= \gamma \psi_{a}^{0} + \delta \psi_{b}^{0}, \quad \langle \psi_{\pm} | \psi_{-} \rangle = 0 \end{split}$$

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 $H^{0}\psi^{0} + \lambda(H'\psi^{0} + H^{0}\psi^{1}) + \dots = E^{0}\psi^{0} + \lambda(E^{1}\psi^{0} + E^{0}\psi^{1}) + \dots$ 

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$$\mathcal{H}^{0}\psi^{0} + \lambda(\mathcal{H}'\psi^{0} + \mathcal{H}^{0}\psi^{1}) + \cdots = \mathcal{E}^{0}\psi^{0} + \lambda(\mathcal{E}^{1}\psi^{0} + \mathcal{E}^{0}\psi^{1}) + \cdots$$

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# Lifting the degeneracy



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$$E = E^{0} + \lambda E^{1} + \lambda^{2} E^{2} + \cdots$$

$$\mathcal{H}^{0}\psi^{0} + \lambda(H'\psi^{0} + H^{0}\psi^{1}) + \dots = \mathcal{F}^{0}\psi^{0} + \lambda(\mathcal{E}^{1}\psi^{0} + \mathcal{E}^{0}\psi^{1}) + \dots$$
$$H'\psi^{0} + H^{0}\psi^{1} = \mathcal{E}^{1}\psi^{0} + \mathcal{E}^{0}\psi^{1}$$

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$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

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$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi^0_a |$ ,

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$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi^0_a |$ ,

$$\langle \psi^0_{\mathbf{a}} | \mathbf{H}' | \psi^0 \rangle + \langle \psi^0_{\mathbf{a}} | \mathbf{H}^0 | \psi^1 \rangle = E^1 \langle \psi^0_{\mathbf{a}} | \psi^0 \rangle + E^0 \langle \psi^0_{\mathbf{a}} | \psi^1 \rangle$$



$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi^0_a |$ , substitute  $\psi^0 = \alpha \psi^0_a + \beta \psi^0_b$ , and integrate through

$$\langle \psi_{a}^{0} | H' | \psi^{0} \rangle + \langle \psi_{a}^{0} | H^{0} | \psi^{1} \rangle = E^{1} \langle \psi_{a}^{0} | \psi^{0} \rangle + E^{0} \langle \psi_{a}^{0} | \psi^{1} \rangle$$



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 $\langle \psi_a^0 | H' | \alpha \psi_a^0 \rangle + \langle \psi_a^0 | H' | \beta \psi_b^0 \rangle$ 



$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi_a^0 |$ , substitute  $\psi^0 = \alpha \psi_a^0 + \beta \psi_b^0$ , and integrate through  $\langle \psi_a^0 | H' | \psi^0 \rangle + \langle \psi_a^0 | H^0 | \psi^1 \rangle = E^1 \langle \psi_a^0 | \psi^0 \rangle + E^0 \langle \psi_a^0 | \psi^1 \rangle$  $\langle \psi_a^0 | H' | \alpha \psi_a^0 \rangle + \langle \psi_a^0 | H' | \beta \psi_b^0 \rangle + \langle \psi_a^0 | H^0 | \psi^1 \rangle$ 



$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi^0_a|$ , substitute  $\psi^0=lpha\psi^0_a+eta\psi^0_b$ , and integrate through

$$\langle \psi_{a}^{0} | H' | \psi^{0} \rangle + \langle \psi_{a}^{0} | H^{0} | \psi^{1} \rangle = E^{1} \langle \psi_{a}^{0} | \psi^{0} \rangle + E^{0} \langle \psi_{a}^{0} | \psi^{1} \rangle$$

 $\langle \psi_a^0 | \mathcal{H}' | \alpha \psi_a^0 \rangle + \langle \psi_a^0 | \mathcal{H}' | \beta \psi_b^0 \rangle + \langle \psi_a^0 | \mathcal{H}^0 | \psi^1 \rangle = E^1 \langle \psi_a^0 | \alpha \psi_a^0 \rangle + E^1 \langle \psi_a^0 | \beta \psi_b^0 \rangle$ 



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 $\langle \psi^0_{a} | \mathbf{H}' | \alpha \psi^0_{a} \rangle + \langle \psi^0_{a} | \mathbf{H}' | \beta \psi^0_{b} \rangle + \langle \psi^0_{a} | \mathbf{H}^0 | \psi^1 \rangle = E^1 \langle \psi^0_{a} | \alpha \psi^0_{a} \rangle + E^1 \langle \psi^0_{a} | \beta \psi^0_{b} \rangle + E^0 \langle \psi^0_{a} | \psi^1 \rangle$ 



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 $\langle \psi^0_{a} | \mathbf{H}' | \alpha \psi^0_{a} \rangle + \langle \psi^0_{a} | \mathbf{H}' | \beta \psi^0_{b} \rangle + E^0 \langle \psi^0_{a} | \psi^1 \rangle = E^1 \langle \psi^0_{a} | \alpha \psi^0_{a} \rangle + E^1 \beta \langle \psi^0_{a} | \psi^0_{b} \rangle + E^0 \langle \psi^0_{a} | \psi^1 \rangle$ 



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 $\langle \psi^0_a | H' | \alpha \psi^0_a \rangle + \langle \psi^0_a | H' | \beta \psi^0_b \rangle + \underline{E^0} \langle \psi^0_a | \overline{\psi^1} \rangle = E^1 \langle \psi^0_a | \alpha \psi^0_a \rangle + \underline{E^1} \beta \langle \psi^0_a | \overline{\psi^0_b} \rangle + \underline{E^0} \langle \psi^0_a | \overline{\psi^1} \rangle$ 



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$$H'\psi^{0} + H^{0}\psi^{1} = E^{1}\psi^{0} + E^{0}\psi^{1}$$

Apply  $\langle \psi_a^0 |$ , substitute  $\psi^0 = \alpha \psi_a^0 + \beta \psi_b^0$ , and integrate through  $\langle \psi_a^0 | H' | \psi^0 \rangle + \langle \psi_a^0 | H^0 | \psi^1 \rangle = E^1 \langle \psi_a^0 | \psi^0 \rangle + E^0 \langle \psi_a^0 | \psi^1 \rangle$   $\langle \psi_a^0 | H' | \alpha \psi_a^0 \rangle + \langle \psi_a^0 | H' | \beta \psi_b^0 \rangle + E^0 \langle \psi_a^0 | \psi^1 \rangle = E^1 \langle \psi_a^0 | \alpha \psi_a^0 \rangle + E^1 \beta \langle \psi_a^0 | \psi_b^0 \rangle + E^0 \langle \psi_a^0 | \psi^1 \rangle$   $\alpha \langle \psi_a^0 | H' | \psi_a^0 \rangle + \beta \langle \psi_a^0 | H' | \psi_b^0 \rangle = \alpha E^1 \langle \psi_a^0 | \psi_a^0 \rangle$  $\alpha W_{aa} + \beta W_{ab} = \alpha E^1$ 

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where 
$$\mathsf{W}_{\mathsf{ij}} \equiv \langle \psi^{\mathsf{0}}_{\mathsf{i}} | \mathsf{H}' | \psi^{\mathsf{0}}_{\mathsf{j}} 
angle,$$
  $(i,j=a,b)$ 

doing the same with  $\langle \psi^0_b |$ 

$$\langle \psi^0_b | \mathbf{H}' | \psi^0 \rangle + \langle \psi^0_b | \mathbf{H}^0 | \psi^1 \rangle = \mathbf{E}^1 \langle \psi^0_b | \psi^0 \rangle + \mathbf{E}^0 \langle \psi^0_b | \psi^1 \rangle$$

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$$H'\psi^0 + H^0\psi^1 = E^1\psi^0 + E^0\psi^1$$

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doing the same with  $\langle \psi^0_b |$ 

$$\begin{split} \langle \psi_b^0 | \mathbf{H}' | \psi^0 \rangle + \underline{\langle \psi_b^0 | \mathbf{H}^0 | \psi^1 \rangle} &= E^1 \langle \psi_b^0 | \psi^0 \rangle + \underline{E^0} \langle \psi_b^0 | \psi^1 \rangle \\ \alpha \langle \psi_b^0 | \mathbf{H}' | \psi_a^0 \rangle + \beta \langle \psi_b^0 | \mathbf{H}' | \psi_b^0 \rangle &= \beta E^1 \langle \psi_b^0 | \psi_b^0 \rangle \end{split}$$

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$$\begin{split} \langle \psi_b^0 | H' | \psi^0 \rangle + \underline{\langle \psi_b^0 | H^0 | \psi^1 \rangle} &= E^1 \langle \psi_b^0 | \psi^0 \rangle + \underline{E^0} \langle \psi_b^0 | \psi^1 \rangle \\ \alpha \langle \psi_b^0 | H' | \psi_a^0 \rangle + \beta \langle \psi_b^0 | H' | \psi_b^0 \rangle &= \beta E^1 \langle \psi_b^0 | \psi_b^0 \rangle \\ \alpha W_{ba} + \beta W_{bb} &= \beta E^1 \end{split}$$

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Solve these two equations for the energies of the two "ideal" orthogonal states



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$$lpha W_{aa} + eta W_{ab} = lpha E^1$$

V

$$\frac{\alpha W_{aa} + \beta W_{ab} = \alpha E^{1}}{\alpha W_{ba} + \beta W_{bb} = \beta E^{1}}$$

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$$0 = (E^1)^2 - E^1(W_{aa} + W_{bb}) + (W_{aa}W_{bb} - W_{ab}W_{ba})$$

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$$= \frac{1}{2} \left[ W_{aa} + W_{bb} \pm \sqrt{(W_{aa} - W_{bb})^{2} + 4|W_{ab}|^{2}} \right]$$

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$$egin{array}{ll} W_{ab}=0, & E^1_-=W_{bb} \ W_{ba}=0, & E^1_+=W_{aa} \end{array}$$



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$$W_{ab} = 0, \quad E^{1}_{-} = W_{bb} = \langle \psi^{0}_{b} | H' | \psi^{0}_{b} \rangle$$
$$W_{ba} = 0, \quad E^{1}_{+} = W_{aa} = \langle \psi^{0}_{a} | H' | \psi^{0}_{a} \rangle$$

these are simply the non-degenerate first order pertubation results and it means that  $\psi_a^0$  and  $\psi_b^0$  are already the "ideal" states


#### Ideal energies

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It is often possible to choose the "ideal" linear combination through use of a convenient theorem

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**Theorem:** If A is a hermitian operator which commutes with both  $H^0$  and H' and  $\psi_a^0$  and  $\psi_b^0$  are also eigenfunctions of A with distinct eigenvalues

$$A\psi^0_a=\mu\psi^0_a, \quad A\psi^0_b=
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eq
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**Proof:** Since A and H' commute, we have that  $[A, H'] = AH' - H'A \equiv 0$ 



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**Proof:** Since A and H' commute, we have that  $[A, H'] = AH' - H'A \equiv 0$ 

$$\begin{split} \langle \psi_a^0 | [A, H'] \psi_b^0 \rangle &= 0 \\ &= \langle \psi_a^0 | A H' \psi_b^0 \rangle - \langle \psi_a^0 | H' A \psi_b^0 \rangle = \langle A \psi_a^0 | H' \psi_b^0 \rangle - \langle \psi_a^0 | H' \nu \psi_b^0 \rangle \\ &= \langle \mu \psi_a^0 | H' \psi_b^0 \rangle - \langle \psi_a^0 | H' \nu \psi_b^0 \rangle = (\mu - \nu) \langle \psi_a^0 | H' \psi_b^0 \rangle \end{split}$$

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**Theorem:** If A is a hermitian operator which commutes with both  $H^0$  and H' and  $\psi_a^0$  and  $\psi_b^0$  are also eigenfunctions of A with distinct eigenvalues

$$A\psi_a^0 = \mu\psi_a^0, \quad A\psi_b^0 = \nu\psi_b^0, \quad \mu \neq \nu$$

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since  $\mu \neq \nu$ ,  $W_{ab} \equiv 0$ 

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When there are more than two degenerate states, a matrix formulation is more useful



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The  $E^1$  are the eigenvalues of the *W*-matrix and the "good" linear combinations of the unperturbed states are the eigenvectors of  $W_{ij}$ 

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$$W_{ij} = \left\langle \psi_i^0 \left| H' \right| \psi_j^0 \right\rangle$$

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$$H^{0}\psi_{i}^{0} = E^{0}\psi_{i}^{0}, \quad \left\langle \psi_{i}^{0} \right| \psi_{j}^{0} \right\rangle = \delta_{ij}$$



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$$\psi^{0} = \sum_{i=1}^{n} \alpha_{i}\psi_{i}^{0}$$

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